

# RECENT RESULTS FROM THE H1 EXPERIMENT AT HERA ON DEEP INELASTIC ELECTRON-PROTON SCATTERING\*

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H1 COLLABORATION

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This note presents the results obtained by the H1 collaboration at HERA from the analysis of deep inelastic electron-proton scattering data collected in 1992 — the first year of HERA operation. Measurements of the structure function  $F_2(x, Q^2)$ , particle energy flow, jet rates and results of direct searches for leptoquarks are discussed.

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## 1. Introduction

The  $ep$  collider, HERA, in which 26.7 GeV electrons collide with 820 GeV protons, opens a new research domain in the physics of deep inelastic processes. For the first time, owing to increased centre of mass energy, processes involving partons which carry a very small fraction of the proton momentum (down to  $\approx 10^{-4}$ ) can be studied in the perturbative ( $Q^2 \geq 5 \text{ GeV}^2$ ) regime. Furthermore, the proton structure will be probed at 10 times smaller distances than previously accessible. The two collider experiments H1 and ZEUS, owing to their almost  $4\pi$  coverage geometry, allow precise studies of hadronic systems produced in deep inelastic interactions.

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In this note, I shall discuss results obtained by the H1 collaboration from the analysis of deep inelastic scattering data collected in 1992, the first year of data taking at HERA. The data correspond to an integrated luminosity of  $22.5 \text{ nb}^{-1}$ .

## 2. The HERA collider

The Hera collider consists of a proton ring and an electron ring. The proton ring contains 104 sections of superconducting magnets. Each section includes: 4 dipoles, 2 quadrupoles and correction magnets. Protons accelerated by PETRA to 40 GeV are injected to the HERA proton ring and accelerated to 820 GeV with warm cavities operated at the frequency of 52 MHz. The electron ring contains 416 warm magnet sections. Each section includes: 1 dipole, 1 quadrupole and 2 sextupole magnets. 12 GeV electrons injected from PETRA are accelerated to 26.7 GeV using, at present, warm cavities operating at 500 MHz frequency.

Most of the luminosity delivered by HERA in 1992 corresponds to a bunch configuration in which 10 proton bunches and 10 electron bunches are filled (the design number of circulating bunches is 210). The bunches are separated by 96 ns. Nine bunches were colliding whereas one electron and one proton bunch (called hereafter "pilot bunches") had no collision partners. Such a configuration provides the means to control the proton and electron beam induced background. The bunches were  $\approx 60 \text{ cm}$  (protons) and  $\approx 1 \text{ cm}$  (electrons) long determining the length of the collision region to be  $\approx 30 \text{ cm}$  (half of of the proton bunch length).

The luminosity delivered in 1992 was limited by the maximal electron current (of about 7 mA) beyond which the electron beam life time decreased rapidly. The cause of this limitation was identified at the end of the running period to be a faulty pump, which was replaced leading to an increase of attainable long-live electron current up to  $\approx 23 \text{ mA}$ .

## 3. The H1 detector

A schematic view of the H1 detector is shown in Fig. 1. The forward-backward asymetry of the detector design reflects the difference in the electron and proton beam energies.

The detector consists of:

- The central tracking detector (1) composed of two large jet drift chamber modules, two z drift chambers and two multiwire proportional chambers. The angular coverage of the central tracker is  $15^\circ - 170^\circ$ .
- The forward tracking (2), which consists of three modules of drift and multiwire proportional chambers. It covers the angular angle between  $7^\circ$  and  $25^\circ$ .

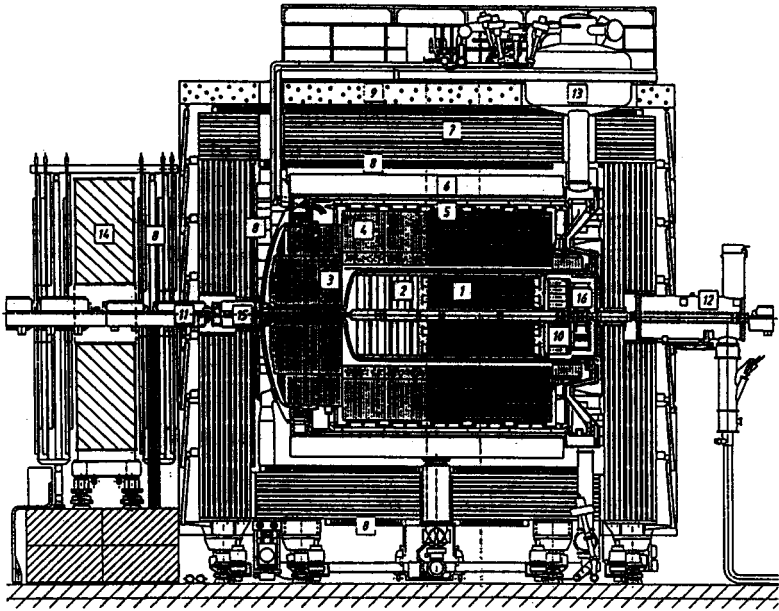


Fig. 1. Schematic view of the H1 detector.

- The backward multiwire proportional chamber (BPC) covering the angular region of  $155^\circ - 175^\circ$ .
- A superconducting coil (6) which provides a uniform magnetic field of 1.2 T in the tracking region.
- The backward electromagnetic calorimeter (BEMC) (10), made of 88 lead-scintillator sandwich stacks, each with a depth of 22 radiation lengths, corresponding to about 1 interaction length, and with transverse dimensions of 16 by 16 cm<sup>2</sup>.
- The LAr calorimeter, which consists of an electromagnetic section with lead absorber (3) and a hadronic section with stainless steel absorber (4). The total depth of the electromagnetic part varies between 20 and 30 radiation lengths whereas the total depth of both calorimeters varies between 4.5 and 8 interaction lengths. The LAr calorimeter covers the angular range between  $4^\circ$  and  $153^\circ$ .
- The iron yoke, (7) which is instrumented with plastic limited streamer tubes and acts as muon detector and tail catcher calorimeter.
- Muon detection system consisting of muon chambers added inside and outside of the iron yoke (8) and a forward spectrometer consisting of an iron toroid (14) and 6 layers of drift chambers (8).
- The time of flight (TOF) system (16), located behind the backward calorimeter, which consists of two scintillator planes, each with a time resolution of about 3 ns, and provides a separation of genuine  $ep$  events

from proton beam-wall and beam-gas interactions upstream of the detector at the first trigger level.

- The luminosity detector system not shown in Fig. 1, which provides detection of the the  $e-\gamma$  coincidence from the reaction  $e+p \rightarrow e+\gamma+p$ . The electron tagging calorimeter is located at the distance of 33 m from the interaction region in the backward or  $-z$  direction and detects electrons scattered at small angles (less than 5 mrad with respect to the electron beam direction). The photon tagging calorimeter is located at  $z = -103$  m and detects photons at angles less than 0.5 mrad with respect to the electron beam direction (both detectors are TlCl/TlBr crystal calorimeters).

## 4. Deep inelastic electron proton scattering

### 4.1. Reconstruction of the kinematical variables

The process discussed in this note is shown schematically in Fig. 2. Here  $k = (E_0, \vec{k})$  and  $k' = (E_e, \vec{k}')$  are 4-momenta of the incident and scattered electron and  $P$  is the 4-momentum of the proton.

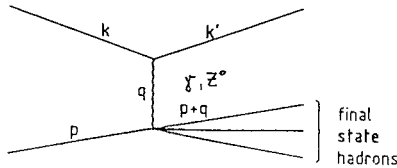


Fig. 2. The Born diagram for electron-proton scattering.

In the low  $Q^2$  region the exchanged particle is a virtual photon. The diagram shown in Fig. 2 is the lowest-order diagram corresponding to the Born approximation. The higher-order diagrams yield corrections to the cross-section which will be referred to as radiative corrections.

It is convenient to introduce the following variables:

$$\begin{aligned} Q^2 &= -(k' - k)^2 = -q^2, \\ W^2 &= (P + q)^2, \\ x &= \frac{Q^2}{2Pq}, \quad y = \frac{Pq}{Pk}. \end{aligned} \quad (1)$$

The H1 experiment at HERA measures both the scattered electron and the hadronic final state, providing various methods of determining the

kinematical variables defined above. This novel feature of the H1 experiment, compared to the fixed target ones, provides an important cross-check of systematic effects. In particular, the cross-section determined in terms of various variable sets has a different sensitivity to the processes of real photon emission from the incoming and scattered electron leading to an experimental cross-check of radiative corrections.

At the fixed centre-of-mass energy and in the absence of radiative processes involving real photons only two out of these variables are independent.

In the analysis of the data recorded in 1992 two distinct reconstruction methods were used by the H1 collaboration. In the first method  $y$  is reconstructed from the energy  $E_e$ , the polar angle  $\theta_e$ , of the scattered electron measured relative to the proton beam direction, and from the known energy of the incident electron,  $E_0$

$$y_e = 1 - \frac{E_e}{E_0} \sin^2 \frac{\theta_e}{2}. \quad (2)$$

In the second reconstruction method  $y$  is determined from the hadrons using the relation [1]

$$y_h = \sum_{\text{hadrons}} \frac{E_h - p_{z,h}}{2E_0}, \quad (3)$$

where  $E_h$  is the energy of a hadron and  $p_{z,h}$  its momentum component along the incident proton direction. The 4-momentum transfer  $Q^2$  is determined from the electron observables  $\theta_e$  and  $E_e$  as

$$Q_e^2 = 4E_0E_e \cos^2 \frac{\theta_e}{2}, \quad (4)$$

and  $x$  is calculated using the electron variables

$$x_e = \frac{Q_e^2}{sy_e}, \quad (5)$$

or, by combining the hadron measurement of  $y$  and the electron measurement of  $Q^2$

$$x_m = \frac{Q_e^2}{sy_h}. \quad (6)$$

The centre-of-mass energy squared,  $s$ , is given by

$$s = 4E_0E_p, \quad (7)$$

where  $E_p$  is the incident proton energy.

The energy of the scattered electron  $E_e$  is measured in the electromagnetic calorimeters: in the BEMC at low  $Q^2$  (below about 100 GeV<sup>2</sup>) and in the LAr at higher  $Q^2$ . The polar angle  $\theta_e$  of a low  $Q^2$  scattered electron was calculated from the position of the reconstructed event vertex and a reconstructed space point in the backward proportional chamber (BPC). The vertex position is determined on an event by event basis, from at least one track reconstructed in the CJC, originating from the interaction region. At higher  $Q^2$ ,  $\theta_e$  is calculated from the position of the centre of gravity of the reconstructed electron cluster and from the position of the reconstructed vertex. The hadron energies and angles are measured in the LAr, the BEMC and the instrumented iron backing calorimeter as well as redundantly from charged particle tracks measured in the central drift chamber.

In the determination of  $y_h$ , according to relation 3, combined calorimetric measurements and reconstructed charged tracks in the central region are used. The contribution of tracks to the  $y_h$  measurement is about 40 % which reduces the influence of energy scale uncertainties.

#### 4.2. Physics aspects of deep inelastic scattering at HERA

HERA, owing to its large centre-of-mass energy, provides means to extend studies of the proton structure towards smaller distances than achieved in the fixed target experiments. In addition, for the first time, processes involving "wee partons", *i.e.*, partons carrying a fraction of the proton momentum down to  $\approx 10^{-4}$  can be investigated and confronted with the perturbative QCD.

The  $Q^2$  evolution of parton densities measured in the fixed target experiments is well described by the perturbative QCD [2], using the Gribov-Lipatov-Altarelli-Parisi (GLAP) equations [3]. The GLAP equations were derived for the large  $Q^2$ , large  $x$  region, where terms  $\sim \alpha_s \lg Q^2$  are dominant. These equations neglect terms of the order of  $\sim \alpha_s \lg(1/x)$ , which become dominant in the low  $x$  region. Here, the adequate evolution equation, which sums up all  $\sim \alpha_s \lg(1/x)$  terms, is that of Balitski, Fadin, Kuraev and Lipatov (BFKL) [4]. This evolution equation predicts a fast rise of parton densities in the low  $x$  region. In the large parton density system, processes of parton-parton rescattering, leading eventually to a saturation of parton densities, can be observed and analysed using the perturbative QCD. Therefore, measurements in the low  $x$  region at HERA will provide novel means of confronting predictions of the perturbative QCD with the data.

The above discussion is illustrated in Fig. 3, where the regions of validity of GLAP and BFKL equations are shown together with a "critical line" defining a region where parton rescattering processes become important [5]. Note that the scale of the  $\lg(1/x)$  on this plot is not fixed by QCD and it

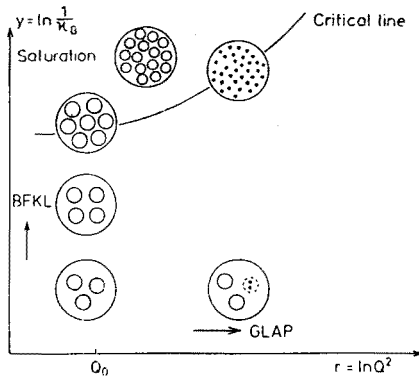


Fig. 3. Regions of validity of the BKFL and of the GLAP evolution equations.

remains to be demonstrated experimentally if HERA will access the BFKL domain.

Several measurements can be made at HERA to establish novel effects in the low  $x$  region including: measurements of structure functions, measurements of jets associated with deep inelastic scattering at low  $x$ , particle correlations, *etc.* At present only measurement of the structure function  $F_2$  can yield statistically significant results, as will be discussed in Section 6.

At HERA the perturbative QCD can be tested in processes of jet production associated with deep inelastic scattering at large  $Q^2$ . In contrast to the LEP experiments, where large jet statistics have been accumulated at the fixed virtuality scale, at HERA evolution of jet rates as a function of  $Q^2$  can be observed in a single experiment. This will enable in the future a precise determination of the running coupling constant  $\alpha_s$ . The H1 results on jet production are discussed in Section 7.

The HERA collider can be considered as a dedicated machine to look for leptoquarks and leptogluons in the region of their masses and couplings where no constraints from other experiments can be expected. Leptoquarks and leptogluons appear naturally in various extensions of the standard model. The searches for these particles in the H1 1992 data will be discussed in Section 8.

## 5. Selection of the deep inelastic scattering events

In Fig. 4a and 4b displays of deep inelastic neutral current events observed in the H1 detector are shown. In the event shown in Fig. 4a the scattered electron give rise to an electromagnetic energy deposit in the BEMC, whereas associated current-quark and proton-remnant jets are observed both in the central jet chamber and in the LAr calorimeter (this class

of events will be called hereafter the "low  $Q^2$ " class). In the event shown in Fig. 4b the scattered electron is observed in the LAr calorimeter and is balanced by a high energetic quark current jet observed back-to-back in the LAr calorimeter and in the tracker (this class of events will be called hereafter the "high  $Q^2$ " class).

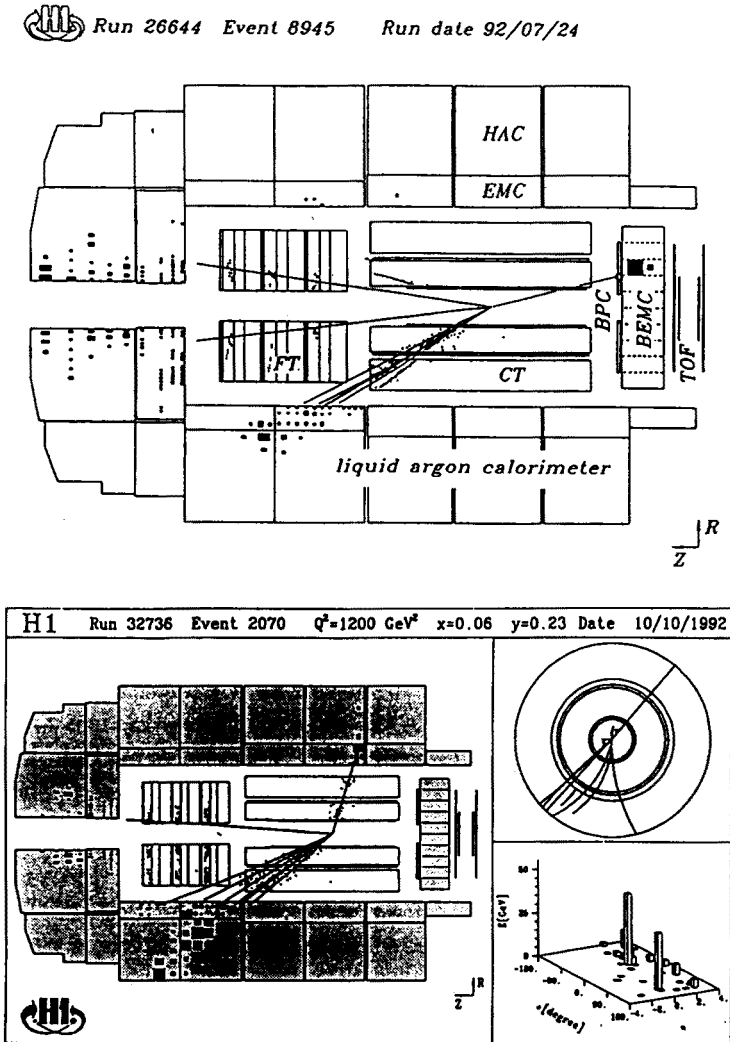


Fig. 4. Deep inelastic scattering events in the H1 detector: a) an event belonging to the "low  $Q^2$ " sample b) an event belonging to the "high  $Q^2$ " sample.



Events of these types represent a tiny fraction (about  $10^{-4}$ ) of all events in which sizeable energy is deposited in the H1 detector. Their efficient triggering and fast data reduction is a real challenge at HERA. In the H1 detector the deep inelastic candidates are triggered by requiring a localised energy deposit of more than 4 GeV in the BEMC or by requiring a significant transverse energy observed in the LAr calorimeter. The low  $Q^2$  BEMC trigger is dominated by interactions of beam protons with residual gas and beam line elements upstream of the H1 detector. The majority of these events are efficiently rejected early, at the trigger level, using a time of flight system (TOF) installed behind the BEMC. The “low  $Q^2$ ” deep inelastic scattering candidates were selected off-line by the following requirements:

- A BEMC energy cluster was required to be associated with at least one reconstructed space point in the BPC. The distance between the cluster centre-of-gravity and the BPC space point was required to be smaller than about  $3 \sigma$  of the cluster position resolution.
- The lateral size of the cluster was required to be smaller than 5 cm, as expected from beam test results for the signature of an electron.
- The number of tracks pointing outside the interaction region had to be small.
- An event vertex, reconstructed from tracks in the central tracker, within  $\pm 50$  cm from the nominal interaction point was required in order to determine the electron scattering angle.
- The missing energy, defined as  $E_{\text{miss}} = E_0 \cdot (y_e - y_h)$ , was required to be smaller than 11.7 GeV.

In addition to the above criteria the following kinematical criteria had to be fulfilled for the “low  $Q^2$ ” sample:

- An electron candidate was demanded to be reconstructed with an angle  $160^\circ < \theta_e < 172.5^\circ$  with respect to the proton beam axis to ensure full containment of the electron shower in the BEMC calorimeter
- The energy of the scattered electron,  $E_e$ , had to be larger than  $E_e > 10.4$  GeV for the analysis of the structure functions  $E_e > 14.0$  GeV for the analysis of the hadronic final states to avoid, in the later case, a photoproduction contribution, which in the analysis of structure functions was statistically subtracted.

The “high  $Q^2$  sample” consists of events with the scattered electron detected in the LAr calorimeter. This sample was selected off-line by the following requirements:

- The electromagnetic cluster in the LAr calorimeter having the largest transverse energy (the scattered electron cluster) was fully contained in the LAr calorimeter.
- There was no muon track candidate within a cone of half opening angle of  $5^\circ$  around an electron cluster (to reject cosmic showers),

- The energy deposited in the electromagnetic section in a cylinder of radius between 15 and 30 cm around the electron direction had to be less than 1.2 GeV.
- The energy deposited in the hadronic section within 30 cm around the electron direction had to be less than 0.5 GeV
- As with the "low  $Q^2$ " sample, at least one charged particle track was required in the central tracking system to define an event vertex within  $\pm 50$  cm from the nominal interaction point.
- $Q^2 > 100 \text{ GeV}^2$  and  $y < 0.7$ .

In addition, for the analysis of the hadronic final states an explicit cut on the invariant mass  $W$ ,  $W^2 > 5000 \text{ GeV}^2$ , was made to ensure large enough hadronic energy flow into the detector.

## 6. Measurement of the proton structure function

### 6.1. Introduction

Assuming current conservation and invariance under time reversal the cross-section for deep inelastic scattering from an unpolarised target nucleon can be expressed in terms of three structure functions  $F_1$ ,  $F_2$  and  $F_3$ . For the charged lepton scattering mediated by the virtual photon, only two structure functions  $F_1$  and  $F_2$  are necessary owing to the pure vector current type of electromagnetic interactions. Neglecting the lepton masses and weak interaction effects, the cross-section can be written as:

$$\frac{d\sigma^{eP}}{dx dy} = \frac{4\pi\alpha^2 s}{Q^4} (y^2 x F_1^{eP}(x, Q^2) + (1-y) F_2^{eP}(x, Q^2)), \quad (8)$$

where  $\alpha$  is the electromagnetic coupling constant. Another decomposition of the differential cross-section can be made in terms of photoabsorption cross-section for right-handed ( $\sigma_+(x, Q^2)$ ), left-handed ( $\sigma_-(x, Q^2)$ ) and longitudinally ( $\sigma_L(x, Q^2)$ ) polarised vector boson. In case of the electromagnetic interaction  $\sigma_+ = \sigma_-$  and photoabsorption cross-sections  $\sigma_L$  and  $\sigma_T = \frac{1}{2}(\sigma_+ + \sigma_-)$  are related to the structure functions by:

$$\begin{aligned} F_1^{eP}(x, Q^2) &= \frac{JM}{4\pi^2\alpha} \sigma_T^{eP}(x, Q^2) \\ F_2^{eP}(x, Q^2) &= \frac{J\nu}{4\pi^2\alpha} \left( \frac{Q^2}{Q^2 + \nu^2} \right) (\sigma_T^{eP}(x, Q^2) + \sigma_L^{eP}(x, Q^2)), \end{aligned} \quad (9)$$

where:  $J = (W^2 - M^2)/2M$ . Using the equations above, the cross-section ratio of longitudinally and transverse polarised photons  $R = \sigma_L/\sigma_T$  can be written in terms of structure functions as:

$$R = \frac{F_2}{2xF_1} \left( 1 + \frac{Q^2}{\nu^2} \right) - 1 = \frac{F_L}{2xF_1}. \quad (10)$$

At HERA, the structure functions can be measured for the first time for the values of  $x$  in the range  $x = 10^{-2} - 10^{-4}$  in the deep inelastic regime ( $Q^2 > 5 \text{ GeV}^2$ ). At present the ratio  $R(x, Q^2)$  remains unmeasured in this region. Therefore, in order to extract  $F_2(x, Q^2)$  from the measured differential cross-section, the  $R$  shape had to be assumed. The  $R$  values were calculated according to the QCD prescription [6] using the MRSD-parton distributions [7]. The assumed form of  $R_{\text{QCD}}$  gives rise to an increase of the cross-section by at most 7 % with respect to the  $R = 0$  assumption.

The measurement of  $F_2$  is important for many reasons. The  $x$  shape and  $Q^2$  dependence of  $F_2$  in this  $x$  range cannot be reliably predicted by extrapolating present fixed target data. Available parametrizations for the low  $x$  region rely on model assumptions which have to be confronted with experimental results. If, as was discussed in Section 4,  $F_2(x, Q^2)$  grows sufficiently fast at low  $x$ , HERA will allow us to test perturbative QCD in the domain of high parton densities where both the GLAP and BFKL equations may fail. The measurement of  $F_2$  at low  $x$  is indispensable for the interpretation of hard collisions at future hadron colliders both for  $pp$  and heavy ion collisions in the quark-parton picture.

## 6.2. Acceptance and efficiency studies

The differential cross-section was, determined by the H1 collaboration, using several separate analysis methods of the "low  $Q^2$ " data sample. Different combinations of kinematical variables and different unfolding procedures were applied. Two of these methods are discussed in this chapter.

In method I, the event kinematics was calculated from the scattered electron variables. The acceptance, efficiency and cross-section were determined in  $\sqrt{E'_e}, \theta_e$  bins which match the resolution and geometrical acceptance of the detector. The calculated cross-sections in these detector oriented bins were then transformed to cross-sections in  $x_e$  and  $Q_e^2$ . In method II, bins in the variables  $x_m$ , Eq. (5), and  $Q_e^2$  were used directly for cross-section, acceptance and efficiency calculations.

In method I three equidistant  $\theta_e$  bins and eight equidistant bins in  $\sqrt{E'_e}$ , matching the energy dependence of the BEMC resolution, were used. In method II three  $Q^2$  bins and four  $x$  bins per decade were chosen. The choice of large bin sizes in both methods is determined by the limited statistics of the data rather than by resolution considerations. As a result smearing corrections are less than 10% everywhere.

The redundancy of the H1 apparatus allows to determine all efficiencies of the cuts used to select the final data sample directly from the data. The uncertainty of the event selection efficiency is dominated by uncertainties in the measured "electron signatures" as well as by uncertainties in the

efficiency of vertex reconstruction, which is low at the highest energy (in the large  $x$  region).

Geometrical acceptance and smearing corrections were determined from detailed simulation of large event samples. These corrections were found to be almost independent of the form of input parton densities. The measured differential cross-sections are extrapolated to the centre of each bin using the MRSD – parametrization of parton densities. The corrections are below 10 % and do not depend significantly on the exact shape of  $F_2$ .

Radiative corrections and corrections for residual background were made prior to the extraction of the structure function  $F_2(x, Q^2)$  (for details see [8]).

### 6.3. The structure function $F_2(x, Q^2)$

The H1 collaboration measured  $F_2(x, Q^2)$  in four different  $Q^2$  bins, with the central values of 8.5, 15, 30 and 60 GeV<sup>2</sup>. The results of these measurements have been presented in [8]. In the lowest  $Q^2$  bin only the low  $x$  region is accessible due to the limited angular acceptance of the BEMC. In this region the  $F_2$  measurement of method I is systematically superior to method II relying on the hadronic measurement of  $y$ . In the highest  $Q^2$  bin, the high  $x$  domain is accessed, where the results based on the mixed  $(x_m, Q_e^2)$  variables (method II) are more accurate than the electron measurement. For the two intermediate  $Q^2$  values both methods yield similar precision and a meaningful comparison can be made.

The  $F_2$  measurements of method I and II is shown in Fig. 5 for two  $Q^2$  values, together with data points from the NMC [9] and BCDMS [10] fixed target muon proton scattering experiments. The statistical and point dependent systematic errors are added in quadrature. The systematic point-to-point error includes: possible shifts of the electron energy scale by 2%; uncertainty in the BEMC energy resolution of 2%; uncertainty in the measurement of  $y_h$  including model dependence, an absolute scale uncertainty of the hadronic energy measurement in the LAr calorimeter of 7 %, and effects resulting from the treatment of noise in the calorimeter; possible shifts of  $\theta_e$  by 5 mrad; uncertainty in the event selection efficiency; uncertainty in the electron and proton beam induced background; uncertainty in the photoproduction contamination; uncertainty in the detector acceptance calculation due to the assumed form of the input parton distributions; uncertainty in the size of the radiative corrections; uncertainty in the bin centre correction. The global systematic error of 8% resulting mostly from the uncertainty of luminosity monitoring is not shown in the figure. The results of method I and of method II, which are to a large extent subject to different systematic effects, are found to be in good agreement. The two highest  $x$  data points agree well with the available measurements from fixed target experiments

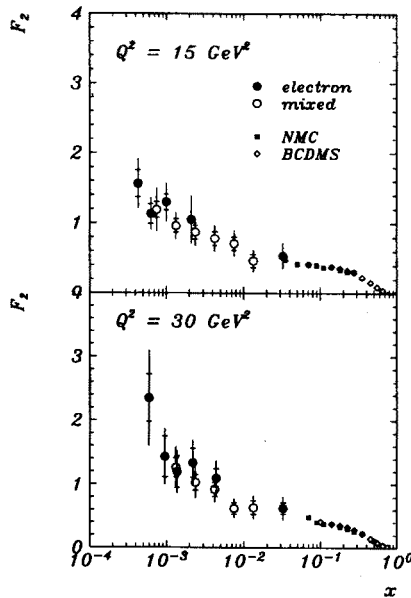


Fig. 5. The  $F_2(x, Q^2)$  values as a function of  $x$  for two  $Q^2$  bins. The full circles correspond to method I and the open circles to method II. The error bars show statistical and total errors obtained by adding statistical and systematic errors in quadrature. Data points of the NMC and BCDMS experiments are shown for comparison.

yielding an independent cross-check of the absolute normalization with an accuracy of  $\sim 20\%$ .

A final  $F_2$  in the full range of  $x$  and  $Q^2$  is obtained by taking the systematically more accurate  $F_2$  values. The  $x$  dependence of  $F_2$  is shown in Fig. 6. A clear rise of  $F_2$  with decreasing  $x$  is observed. The rise of  $F_2$  at small  $x$  indicates that high parton density effects may become detectable at HERA. Such a rise is not expected from Regge-parton models [11], but can be accommodated in the models based on the linear QCD evolution equations. Various QCD based models of parton density parametrizations exist. They result from fits to the low energy deep inelastic scattering data. Due to the absence of experimental data prior to the HERA results, these parametrizations generally make assumptions on the behaviour of the parton densities at  $x$  values below  $10^{-2}$ . Some examples of  $F_2$  structure functions calculated for different parton density parametrizations are shown in Fig. 6. They include: the MRSD [7] parametrizations where, at small  $x$ , the gluon density is either singular (Lipatov behaviour)  $\sim x^{-0.5}$  for MRSD-1 or constant for MRSD0'; the CTEQ1MS [12] parametrization

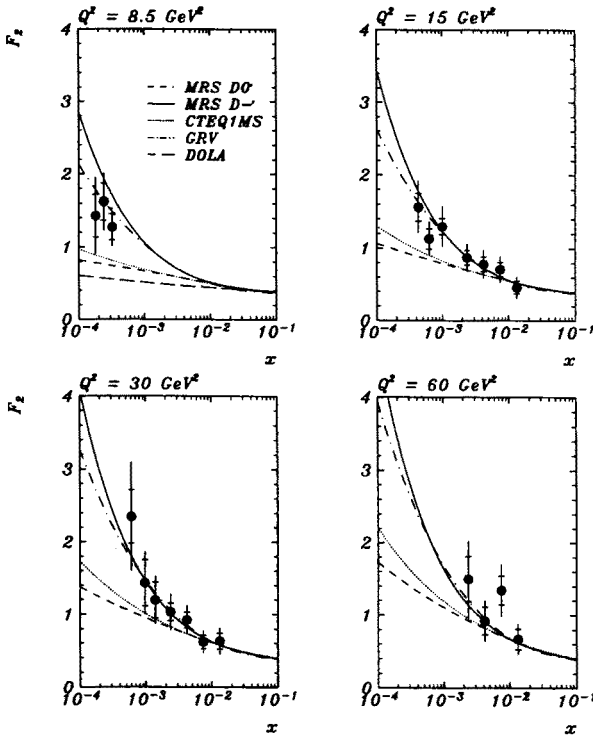


Fig. 6. Comparison of the measured  $F_2(x, Q^2)$  with several parametrizations (see text for further explanation). The error bars show statistical and total errors. The overall normalization uncertainty of the data points of 8 % is not included in the size of error bars.

where the gluon density at low  $x$  is  $\sim x^{-0.5}$ , but the sea quark distribution is not strongly coupled to the gluon density, leading to a much slower rise of  $F_2$  with decreasing  $x$ ; the GRV [13] parametrization, where small  $x$  partons are radiatively generated according to the Altarelli-Parisi equations, starting from “valence-like” quark and gluon distributions at  $Q_0^2 = 0.3 \text{ GeV}^2$ ; the DOLA [11] parametrization derived within a Regge phenomenology. These parametrizations describe well the existing low energy fixed target data. They predict, however, the  $F_2$  values at  $x \simeq 10^{-4}$  which differ by more than a factor 4. The present measurement favors clearly the MRSD-’ and the GRV parametrizations.

## 7. Study of hadronic system produced in the deep inelastic electron proton scattering

At HERA, owing to a large centre of mass energy the hadronic final state associated with deep inelastic scattering should reflect closely the par-

ton dynamics. The hadronization effects which dominate at low energies play a less significant role in interpretation of the measurements allowing quantitative tests of Quantum Chromodynamics in this domain.

In the selected data sample, most of the events populate the  $Q^2$  range accessed already in the previous experiments. However, at HERA, this  $Q^2$  domain corresponds to a large hadronic invariant mass  $W$  of the hadronic final state (of about 100 GeV) as the average  $x$  values of observed events is small. This is clearly a new domain that has not been explored so far. In this section results obtained by the H1 collaboration on the hadronic energy flow and jet production in the deep inelastic scattering events are discussed.

A first measurement of the hadronic final state in the H1 experiment based on a data sample corresponding to an integrated luminosity of  $1.6\text{nb}^{-1}$  was reported in [14]. The first results on jet production were reported in [15].

### 7.1. QCD models and simulation

In order to interpret the observed distributions in terms of partonic processes, Monte Carlo models are used which include: the parton dynamics controlled by QCD, soft hadronization processes and simulation of the response of the detector. Various prescriptions for the simulation of QCD effects in deep inelastic scattering are compared to the data:

- Leading log parton showers (PS).

The leading log parton shower models used are those implemented in LEPTO 5.2 [16], labelled PS, and in HERWIG 5.5 [17], labelled HERWIG. In the parton shower approach implemented in LEPTO, the amount of hardness of the gluon radiation depends on the virtuality of the parton before and after the quark-photon vertex. In  $ep$  scattering two virtuality scales  $Q^2$  or  $W^2$  are present and each combination of them is allowed by the lower energy experiments. In the H1 experiment one can investigate for the first time the kinematic region where  $\langle Q^2 \rangle \approx 15 \text{ GeV}^2$  and  $\langle W^2 \rangle \approx 10^4 \text{ GeV}^2$ , in which significantly more gluon radiation is predicted using the higher  $W^2$  scale. For comparison with data, three scales  $Q^2$ ,  $W^2$  and an intermediate scale  $WQ$  were chosen. Distributions for each of these cases are denoted with  $\text{PS}(Q^2)$ ,  $\text{PS}(W^2)$  and  $\text{PS}(WQ)$ . In the model implemented in the HERWIG event generator the only energy scale which enters is  $Q^2$ . This model contains the colour coherence effects.

- Matrix elements with matched parton showers (ME+PS).

In this approach, implemented in LEPTO 6.1, the photon gluon fusion and gluon radiation processes are simulated using exact order  $\alpha_s$  matrix elements. Soft gluon emission is added using the parton shower model.

- The colour dipole model (CDM).

This model in contrast to the previous ones does not distinguish between initial and final state radiation. Here the gluon radiation is originating from colour dipoles initiated by a dipole formed between the scattered quark and the proton remnant. This model is implemented in ARIADNE 4.03 program [18].

### 7.2. Energy flow

The distributions presented in this section are not corrected for detector acceptance and resolution. The data are compared with model calculations including full simulation of the H1 detector. The detector effects are, however, small (never exceeding 20 %).

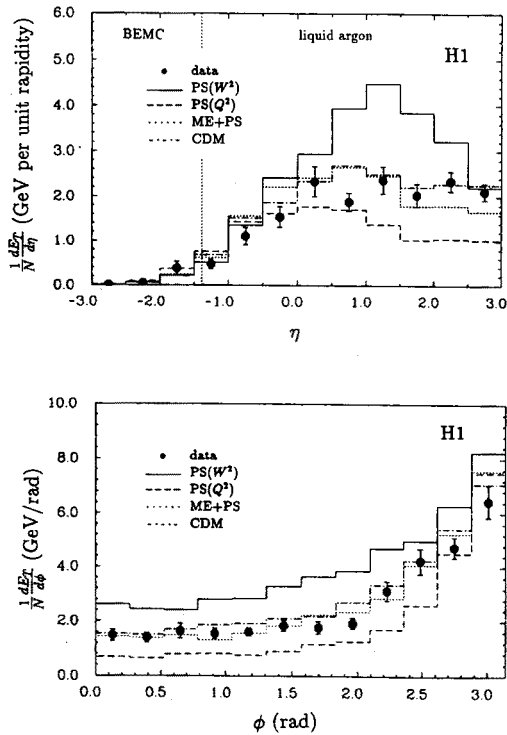


Fig. 7. Transverse energy flow  $E_T$  as a function of pseudorapidity  $\eta$  (a) and as a function of azimuthal angle  $\phi$  (b) with respect to the scattered electron direction in the plane transverse to the beam directions. The predictions of models discussed in the text are also given.

The flow of energy measured in the calorimeter transverse to the beam axis,  $E_T$ , is shown in Fig.7a, for “low  $Q^2$ ” events, as a function of pseudorapidity  $\eta = -\ln \tan \frac{\theta}{2}$ . Here  $\theta$  is the polar angle of the energy deposition.



Fig.7b shows the flow of  $E_T$ , measured with the calorimeter in the rapidity interval  $-3 < \eta < 3$ , as a function of  $\phi$ . Here  $\phi$  is the angle in the plane transverse to the beam direction between the scattered electron and the energy deposition. One observes the current jet as a collimated energy flow balancing the  $p_T$  of the electron at  $\phi = \pi$ . The ME+PS and the CDM models are in good agreement with the data. The parton shower simulation PS( $W^2$ ), predicts too large transverse energy, whereas PS( $Q^2$ ) predicts to small transverse energy flow. It has to be stressed that no attempt has been made to tune the parameters of the above models.

In Fig. 8 the  $x_F$  ( $x_F = 2p_z^*/W$ ) dependence of the mean transverse momentum squared measured in the centre of mass reference system is shown. In this frame the  $z^*$  axis is defined as the direction of the exchanged virtual photon. In the naive quark parton model the current and target jet fragmentation regions then correspond to the  $+z^*$  and  $-z^*$  hemispheres. In the hadronic CMS the distribution of particle momenta transverse to the virtual photon direction as a function of  $x_F$  is particularly sensitive to different QCD models. The PS( $WQ$ ), HERWIG and the ME+PS model describe the data quite well. The CDM model underestimates the average transverse momenta in the whole  $x_F$  range.

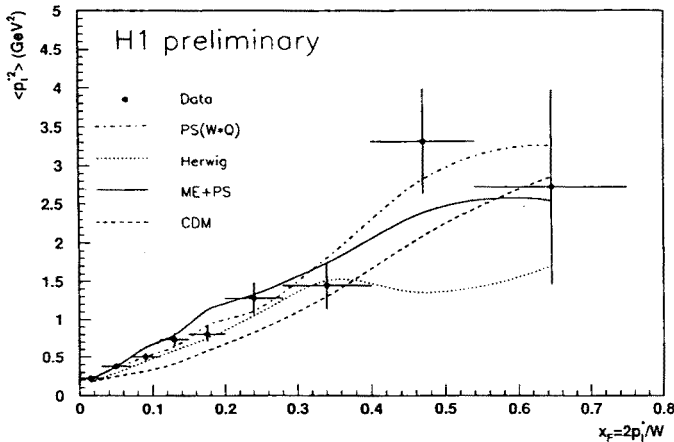


Fig. 8. Average  $p_T^{*2}$  as a function of  $x_F$ . Comparison of the data and the model predictions.

### 7.3. Jet rates

In the analysis of the jet production the JADE algorithm [19] was used and performed in the laboratory frame. Several Lorenz frames and other jet algorithms were investigated. No significantly better agreement of the

jet rates at the parton level with those obtained at the hadron level was achieved.

In the JADE algorithm the “particle” 4-vectors are reconstructed using the calorimeter cell energies and the vector joining the reconstructed event vertex with the centre of the cells. The invariant mass of all “particle” pairs (or combined objects)  $(i, j)$  is calculated using  $m_{ij}^2 = 2E_i E_j (1 - \cos \theta_{ij})$ , thus neglecting the masses of “particles”  $i$  and  $j$ . The pair with the smallest mass is taken to form a new combined object  $k$  by adding the 4-vectors,  $p_k = p_i + p_j$ . The procedure is repeated until all remaining pairs have masses  $m_{ij}$  exceeding a cut-off which is defined by  $m_{ij}^2 > y_{\text{cut}} M^2$ , where  $y_{\text{cut}}$  is a resolution parameter and  $M$  is a mass scale taken to be the invariant mass of all objects entering the cluster algorithm. The energy deposition attributed to the scattered electron is excluded from this procedure. To account for the loss in the beam pipe of most hadrons from the proton, a remnant pseudoparticle was introduced with its longitudinal momentum given by the missing longitudinal momentum of the event and no transverse momentum.

The dependence of the jet rates on the resolution parameter  $y_{\text{cut}}$  is shown for the low and high  $Q^2$  data sample in Fig. 9a and b, respectively.

The data are not corrected for detector bias.  $R_{N+1}$  is the fraction of  $(N + 1)$  jet events in the sample (the 1+1 configuration corresponds to a current and a target remnant jet). At  $y_{\text{cut}} = 0.02$ , the (1+1) and (2+1) classes dominate, with  $R_{2+1} \approx 10$  to 20%. It should be noted that the data points in Fig. 9 are strongly correlated as the same event sample is used for each value of  $y_{\text{cut}}$ . In Fig. 9 only the statistical errors are given.

To check if these rates reflect jet multiplicities at the parton level, the data are compared to Monte Carlo predictions at the parton level (dotted line), to the same model after hadronization (dashed line), and to the prediction including a complete simulation of the H1 detector (full line). In all cases the ME+PS model is used. The figure shows clearly that in the high  $Q^2$  sample, hadronization and detector effects are small ( $\approx 10\%$ ). For the low  $Q^2$  sample, the differences between these curves are larger ( $\approx 15\%$ ) for  $y_{\text{cut}} \geq 0.02$ . For lower values of  $y_{\text{cut}}$  more “jets” are resolved because the jet algorithm often fails to include individual hadrons in jet clusters.

It should be noted that there exists migrations of events classified as (2+1) jet at the parton level to the (1+1) class at the hadron level and vice versa. This migration is due to the finite resolution of the  $y_{\text{cut}}$  value at which a (2+1) jet event is turned into a (1+1) event at the parton and hadron levels. .

All QCD-based models discussed in section 7.1 reproduce the dependence of the jet rates upon  $y_{\text{cut}}$  for both “low  $Q^2$ ” and “high  $Q^2$ ” data samples, as shown in Fig. 9. The PSWQ model prediction of the (2+1) jet

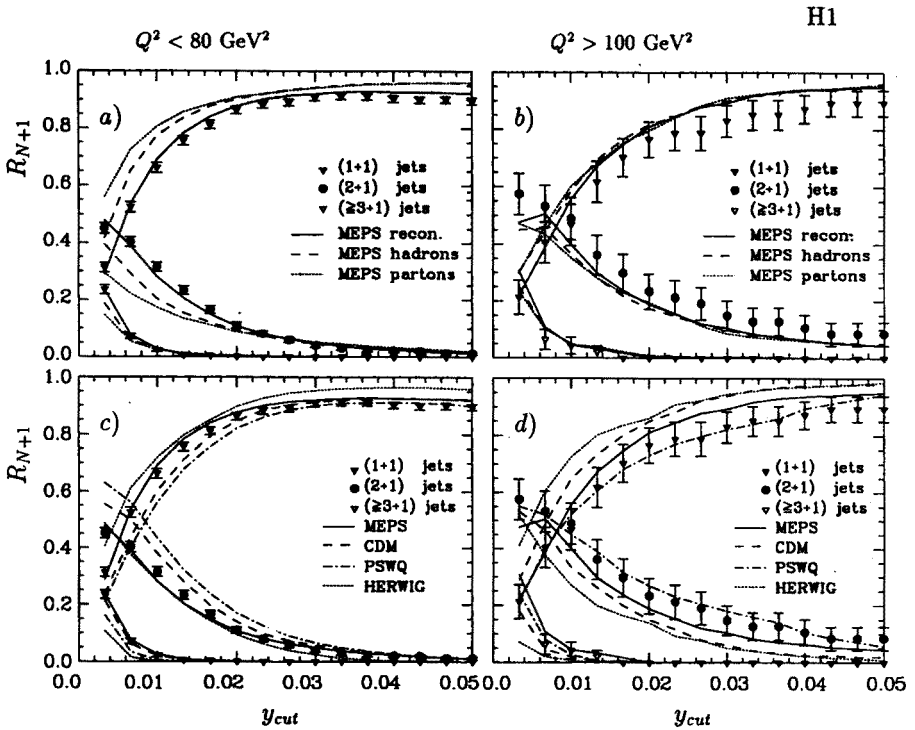


Fig. 9. Fractions of  $N+1$  jets as a function of  $y_{cut}$  for the "low  $Q^2$ " (a,c) and for the "high  $Q^2$ " (b,d) sample compared with simulations using MEPS model (a,b) and other models (c,d) discussed in the text and the model predictions.

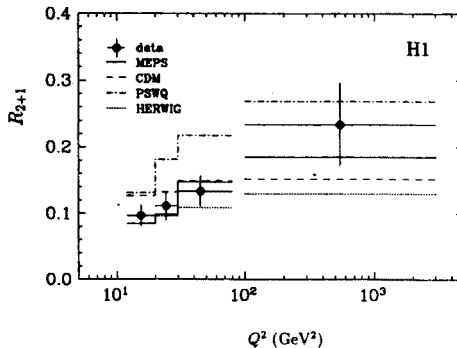


Fig. 10. (2+1) jet fraction corresponding to  $y_{cut} = 0.02$  as a function of  $Q^2$  compared with different model (see text) predictions.

rate in the region around  $y_{\text{cut}} = 0.02$  shows the most significant deviation from the data, namely by  $\approx +50\%$  at  $Q^2 < 80 \text{ GeV}^2$ .

The  $Q^2$  dependence of  $R_{2+1}$  is shown in Fig. 10 for fixed  $y_{\text{cut}} = 0.02$  together with the expectation of the different models discussed above. The experimental points are well described by the MEPS model. The PSWQ model results systematically exceed the measurement.  $R_{2+1}$  using HERWIG and especially CDM shows little  $Q^2$  dependence.

## 8. Search for leptoquarks

The  $ep$  collider HERA is well suited to look for leptoquarks. If exist, they will be produced as  $s$ -channel resonances between the incoming electron and a constituent of the proton.

Leptoquark bosons appear naturally, and possibly at accessible masses, in some grand unified theories [20], in superstring inspired models [21], in technicolour [22] and in some composite models [23].

In the narrow width approximation, the production cross-sections for leptoquarks can be described by a simple formula:

$$\sigma_{ep} \propto \frac{\Gamma f(x)}{M} \quad (11)$$

where  $M$  is the mass of the heavy state and  $f(x)$  describes the quark density in the proton. The widths  $\Gamma$  contain the model dependence on the couplings of the new particles and can be expressed as  $\Gamma = (\lambda^2/16\pi)M$  for scalar and  $\Gamma = (\lambda^2/24\pi)M$  for vector leptoquarks.

The results presented in this section have been reported in [24].

In Table I the isospin multiplets of scalar and vector leptoquarks characterised by their electric charge are listed. They represent the first generation of baryon and lepton number conserving scalar and vector bosons having  $SU(3) \otimes SU(2) \otimes U(1)$  invariant couplings to fermions. The effective Lagrangian for their interactions has been introduced in [25].

For leptoquark searches in the  $e + X$  final states, the "high  $Q^2$ " event sample was used. In addition to selection criteria specified in Section 5 a matching between  $y_e$  and  $y_h$  was required:  $|y_e - y_h| < 0.3$  corresponding to a more stringent  $E_{\text{miss}}$  cut than the one used in the structure function analysis. For leptoquark searches in the  $\nu + X$  final states, a missing transverse momentum of  $P_T^{\text{miss}} \equiv \sqrt{(\sum E_x)^2 + (\sum E_y)^2} > 20 \text{ GeV}$  measured by the calorimeters was required. Events with an electron candidate with  $E_T^e > 10 \text{ GeV}$  were rejected.

TABLE I

Isospin multiplets  $T$  of scalar  ${}^Q S_T$  and vector  ${}^Q V_T$  leptoquarks with electric charge  $Q$ , branching ratio  $B$  and fermion number  $F$ .

F=2	$T_3$	prod. decay	$B$	F=0	$T_3$	prod. decay	$B$
$-1/3 S_0$	0	$e_L^- u_L \rightarrow e^- u$ $\rightarrow \nu_e d$	1/2 1/2	$-2/3 V_0$	0	$e_L^- \bar{d}_R \rightarrow e^- \bar{d}$ $\rightarrow \nu_e \bar{u}$	1/2 1/2
		$e_R^- u_R \rightarrow e^- u$	1			$e_R^- \bar{d}_L \rightarrow e^- \bar{d}$	1
$-4/3 \tilde{S}_0$	0	$e_R^- d_R \rightarrow e^- d$	1	$-5/3 \tilde{V}_0$	0	$e_R^- \bar{u}_L \rightarrow e^- \bar{u}$	1
$-4/3 S_1$	-1	$e_L^- d_L \rightarrow e^- d$	1	$-5/3 V_1$	-1	$e_L^- \bar{u}_R \rightarrow e^- \bar{u}$	1
$-1/3 S_1$	0	$e_L^- u_L \rightarrow e^- u$ $\rightarrow \nu_e d$	1/2 1/2	$-2/3 V_1$	0	$e_L^- \bar{d}_R \rightarrow e^- \bar{d}$ $\rightarrow \nu_e \bar{u}$	1/2 1/2
$+2/3 S_1$	1	None		$+1/3 V_1$	1	None	
$-4/3 V_{1/2}$	-1/2	$e_R^- d_L \rightarrow e^- d$ $e_L^- d_R \rightarrow e^- d$	1 1	$-5/3 S_{1/2}$	-1/2	$e_L^- \bar{u}_L \rightarrow e^- \bar{u}$ $e_R^- \bar{u}_R \rightarrow e^- \bar{u}$	1 1
$-1/3 V_{1/2}$	+1/2	$e_R^- u_L \rightarrow e^- u$	1	$-2/3 S_{1/2}$	+1/2	$e_R^- \bar{d}_R \rightarrow e^- \bar{d}$	1
$-1/3 \tilde{V}_{1/2}$	-1/2	$e_L^- u_R \rightarrow e^- u$	1	$-2/3 \tilde{S}_{1/2}$	-1/2	$e_L^- \bar{d}_L \rightarrow e^- \bar{d}$	1
$+2/3 \tilde{V}_{1/2}$	+1/2	None		$+1/3 \tilde{S}_{1/2}$	+1/2	None	

A leptoquark clearly would be seen as a resonance in a mass plot. Leptoquarks would show up on top of the DIS background as a narrow resonance centred at a mass  $M \simeq \sqrt{x s}$ . For an  $e + X$  event, the resonance mass is calculated using  $M = \sqrt{s x_e}$ . For a  $\nu + X$  event candidate, the mass is calculated as  $M = \sqrt{s x_h}$  where  $x_h$  is computed from the measured hadronic energy flow as:

$$x_h = \frac{P_{T,h}^2}{(1 - y_h) y_h s} \quad (12)$$

The selected data sample was compared to Monte Carlo expectations based on the DJANGO event generator [26] which includes first order QED radiative corrections and QCD corrections (leading log parton showers).

Fig. 11a shows the measured  $P_T^{miss}$  for the 43  $e + X$  measured events compared to the DIS event simulation. The events are well balanced in the transverse plane and the DIS Monte Carlo reproduces well the tail of the  $P_T^{miss}$  distribution which is due to detector resolution and energy losses. The mass distribution is shown in Fig. 11b before and after a final kinematical cut of  $y_e > 0.25$ . This cut was chosen as a compromise to optimise the signal-to-background ratio for scalar leptoquark searches while maintaining efficient detection of vector leptoquarks. It also safely rejects the low  $y$  region where both  $y_e$  and  $x_e$  are badly measured. In Fig. 11b, both

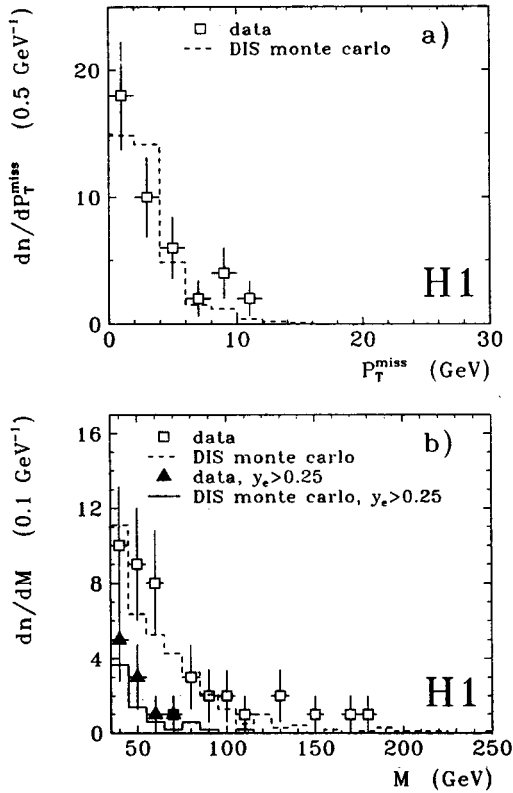


Fig. 11. The  $P_T^{\text{miss}}$  distribution (a) and mass spectrum (b) for before (open points) and after (closed points) the cut on  $y_e$ . The histogram curves show the absolute prediction of a DIS Monte Carlo simulation before (dashed) and after (solid) the  $y_e$  cut.

the absolute number of events and the shape of the mass spectra are well reproduced by a DIS Monte Carlo simulation before and after the  $y_e$  cut. For the  $\nu + X$  final states, no additional kinematical cuts are applied and a mean number of 0.66 charged current events are predicted by the Monte Carlo simulation after folding in the LAr trigger efficiencies for the hadronic flow. The data samples are compatible with expectations from standard DIS background. Consequently, one can derive rejection limits under the hypothesis that all observed events originate from such background.

The rejection limits on the mass versus coupling constant plane are shown in Fig. 12. For  $\lambda = 0.3$  coupling, which corresponds to an electro-

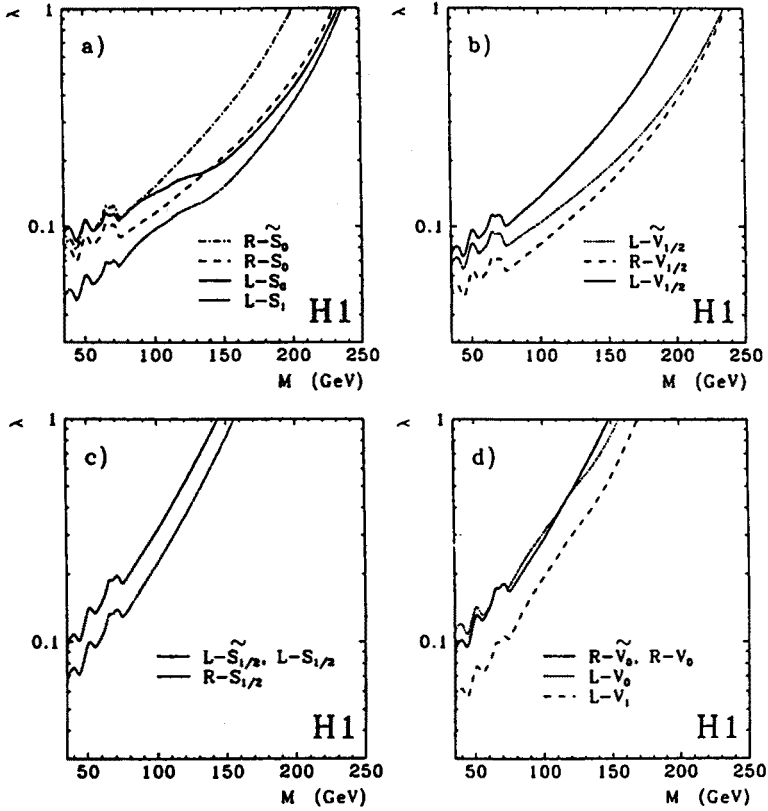


Fig. 12. Rejection limits at the 95% CL for the coupling  $\lambda_{L,R}$  as a function of mass for scalar and vector leptoquarks with fermion number  $F=2$  (a), (b) and  $F=0$  (c), (d). The regions above the curves are excluded.

magnetic coupling ( $\alpha = \frac{\lambda^2}{4\pi}$ ), the mass limits at 95% CL are:

$M \geq 181 (S_0^L), 178 (S_0^R), 145 (S_0^R), 192 (\tilde{S}_0^R), 152 (V_{1/2}^L), 190 (V_{1/2}^R)$   
and  $183 \text{ GeV } (\tilde{V}_{1/2}^L)$  for leptoquarks resulting from electron fusion with quarks and:

$M \geq 98 (V_0^L), 102 (V_0^R, \tilde{V}_0^R), 121 (V_1^L), 98 (S_{1/2}^L, \tilde{S}_{1/2}^L)$  and  $112 \text{ GeV } (S_{1/2}^R)$  in the case of fusion with antiquarks.

The current limits from  $p\bar{p}$  experiments have thus been improved after only a few months of data taking on HERA (for the  $S_0^L$  ( $S_0^R$ ) leptoquarks, which are characterised by a branching ratios of  $\mathcal{B} = 50\%$  ( $100\%$ ) for  $e + X$  decays, the CDF limits [27] are  $82 \text{ GeV}$  ( $113 \text{ GeV}$ ) almost independently of the coupling  $\lambda$ ).

## 9. Conclusions

The H1 results obtained in the analysis of deep inelastic scattering data corresponding to integrated luminosity of  $22.5 \text{ nb}^{-1}$  has been presented. The measured  $F_2$  structure function exhibits a significant rise with decreasing  $x$ . Global features of the hadronic system produced in deep inelastic scattering have been compared with model predictions. The parton shower approach fails if either  $W^2$  or  $Q^2$  scale is chosen as a scale of quark virtuality. The MEPS model describe well the characteristics of the hadronic system including the  $Q^2$  dependence of the jet rates. No leptoquarks have so far been found.

I am very grateful to all my colleagues of the H1 collaboration for their effort in getting the results presented in this note.

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