# THE STRUCTURE OF BARYON RESONANCES IN $\pi N$ SCATTERING\*

### O. KREHL AND J. SPETH

# Institut für Kernphysik, Forschungszentrum Jülich GmbH 52425 Jülich, Germany

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We present a coupled channel model for  $\pi N$  scattering, based on meson and baryon exchange processes. The inclusion of the reaction channels  $\pi N, \pi \Delta, \sigma N$  and  $\eta N$  leads to a good description of  $\pi N$  phase shifts and inelasticities up to c.m. energies of 1600 MeV. The coupling between the channels  $\pi N, \pi \Delta$  and  $\sigma N$  turns out to be large in the  $P_{11}$  and the  $N^*(1440)$ (Roper) resonance can be explained solely by meson-baryon dynamics, *i.e.* without the inclusion of a genuine  $N^*(1440)$  resonance. For the investigation of the  $N^*(1535)$  resonance, the model is extended by including the  $\eta N$ reaction channel. A genuine  $N^*(1535)$  resonance is needed for a quantitative description of the  $\pi N$  phase shift in the  $S_{11}$ , but the background from meson and baryon exchanges is large and cannot be neglected.

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#### 1. Introduction

The interaction of a pion and a nucleon is one of the most fundamental and interesting hadronic reactions in medium energy physics. It is the lightest meson-baryon system and therefore a testing ground for the description of meson-baryon interactions in general. Furthermore the low energy part of  $\pi N$  scattering is dominated by the spontaneously broken chiral symmetry of the underlying QCD Lagrangian, which has proven to be a very important symmetry for low energy meson-meson [1] and meson-baryon interaction [2].

At energies above 1300 MeV,  $\pi N$  scattering is closely related to the observed baryonic spectrum. Most of the the  $N^*$  and  $\Delta$  resonances decay into  $\pi N$ . The coupling of these resonances to other decay channels is characterized by the opening of the inelasticities in corresponding partial

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O. KREHL, J. SPETH

waves. There are several attempts to describe the baryonic spectrum in the framework of the quark model [3–5]. However, none of them accounts for any meson-baryon interaction. Here the question has to be addressed: How strong is the meson-baryon interaction and can it shift the position of or even generate baryonic resonances as quasi bound meson-baryon states. A good example to illustrate the importance of the background contribution in the mesonic sector is the decay of the  $a_1(1260)$ . Mass and width of the the  $a_1$  extracted from leptonic decays ( $\tau \rightarrow \nu_{\tau} a_1 \rightarrow \nu_{\tau} 3\pi$ ) are about 100 MeV larger than the mass and the width extracted from hadronic reactions ( $\pi p \rightarrow 3\pi p$  and  $\pi p \rightarrow 3\pi n$ ). This shift is generated by the strong  $\pi \rho$ background contribution in the hadronic reactions, which is not present in the lepton decay [6].

Meson and baryon exchange models, based on effective Lagrangians *i.e.* Lagrangians formulated in mesonic and baryonic degrees of freedom — have proven to be very successful in describing medium energy hadronic reactions such as  $\pi\pi$  [7,8], elastic  $\pi N$  [9–12] and NN [13] scattering. In order to investigate the importance of background contributions and the structure of the lowest lying baryonic resonances, namely  $N^*(1440)$  and  $N^*(1535)$ , we have extended the  $\pi N$  scattering meson exchange model of Ref. [12] by including the reaction channels  $\pi\Delta$ ,  $\sigma N$  and  $\eta N$ . The next section gives a short review of the basic features of our model and will then concentrate on the  $N^*(1440)$  resonance. The 3rd Section deals with the  $N^*(1535)$  resonance and it's coupling to the  $\eta N$  channel. More details of the calculation can be found in Refs. [12, 14].

# 2. The $N^*(1440)$ (Roper) resonance

The  $N^*(1440)$  (Roper) resonance occupies a special place in the spectrum of nucleon and delta resonances. It does not show up as a clear resonance peak in the total  $\pi N$  cross section — only after performing a partial wave analysis, the Roper resonance can be found as resonant behavior of the phase shift and inelasticity in the  $P_{11}$ . The first direct experimental confirmation of the Roper resonance was obtained in  $\alpha p \rightarrow \alpha' X$  reactions [16]. Furthermore, the  $N^*(1440)$  is the lowest lying nucleon resonance with the quantum numbers of the nucleon (*i.e.*  $J^P = 1/2^+$ ), whereas the first negative parity states are located higher in energy, namely around 1530 MeV and 1675 MeV. These resonances can be immediately understood in a simple quark model where the a quark interactions are modeled by a confining oscillator potential plus two-body forces based on one gluon exchange. The  $1\hbar\omega$  excitation, corresponding to a three quark state of angular momentum L = 1, results in a doublet and a triplet of  $N^*$  resonances ( $N^*(1520)$ ,  $N^*(1535)$ ) and  $N^*(1650), N^*(1700), N^*(1675)$ , respectively). An excitation of the quarks of  $2\hbar\omega$  (L = 0 and L = 2) leads to a state with positive parity, but this state should lie higher in energy than the negative parity states. The explanation of the Roper resonance in this simple quark picture requires additional mechanisms to bring the positive parity state below the first negative parity resonances [3]. Glozman and Riska [4] attribute the lowering of the positive parity state to a flavor symmetry breaking quarkquark interaction due to the exchange of a pseudoscalar meson. Brown *et al.* introduce a anharmonic collective oscillation of the bag surface to explain the mass of the Roper resonance [5].

All these additional mechanisms can be avoided if the Roper resonance is not assumed to be a genuine three quark state: the first positive parity three quark state is then above the negative parity states. To address this issue quantitatively, we have extended the  $\pi N$  model of Ref. [12] by coupling the  $\pi N$  system to  $\pi \Delta$  and  $\sigma N$  reaction channels. In the basic  $\pi N$  model the potential contains N and  $\Delta$  pole and crossed pole diagrams and correlated two pion exchange in the sigma and rho channel. This potential is used as a driving term in a relativistic scattering equation. The iteration guarantees unitarity but violates crossing symmetry and chiral symmetry. However the low energy theorems are obeyed to the order  $m_{\pi}^2$  through a proper choice of the parameters.

Above 1300 MeV inelasticity starts to open especially in the  $P_{11}$ . Our extension therefore has to take pion production mechanisms into account. This is achieved by treating the  $\pi\Delta$  and  $\sigma N$  states not as intermediate states of stable particles but as effective description of  $\pi\pi N$  states. Thus, the  $\pi\Delta$ channel describes a  $\pi\pi N$  state in which one pion and the nucleon form a  $P_{33}$  state, and the  $\sigma N$  system represents a  $\pi\pi N$  state with a interacting  $\pi\pi$  state in the scalar-isoscalar channel. In order to simulate these  $\pi\pi N$ systems, we have included the  $\Delta$  and  $\sigma$  self-energies in the intermediate two particle propagator of the scattering equation. The self-energies are calculated in one loop approximation with simple separable potentials. The parameters of these potentials are fixed by reproducing the  $P_{33}$  partial wave of  $\pi N$  scattering and the IJ = 00 partial wave of  $\pi\pi$  scattering, respectively.

We start with the coupling to the  $\pi\Delta$  channel. This coupling acts in partial waves with isospin I = 1/2 and I = 3/2, whereas the  $\sigma N$  channel only contributes to the interaction with I = 1/2. The transition potential  $\pi N \to \pi\Delta$  as well as the direct  $\pi\Delta$  interaction are built up by nucleon,  $\Delta$ , and  $\rho$  exchange processes, which are all attractive in the  $P_{11}$ . The coupling constants are taken from the SU(2)× SU(2) quark model [15]. To ensure the convergence of the scattering equation, all potentials are supplemented by form-factors and the cut-off parameters are fixed by a fit to the data.

The  $\sigma N$  channel is coupled to the  $\pi N$  channel by nucleon exchange. The direct  $\sigma N$  potential contains nucleon and sigma exchange diagrams. O. KREHL, J. SPETH

The coupling constant  $g_{\sigma NN}$  is taken from Ref. [17], whereas there is no source for determining the  $\sigma\sigma\sigma$  coupling, which we therefore treat as a free parameter together with the cutoffs.

After having described the basic features of our model, we now proceed in the discussion of the Roper resonance. In doing so, we aim at a simultaneous description of the  $P_{11}$  partial wave together with all  $\pi N$  scattering data for  $J \leq 3/2$ , providing a consistent procedure to fix the background contributions, arising from t- and u- channel exchange processes.

The elastic  $\pi N$  scattering model of Ref. [12] already gave a good description of the  $P_{11}$  phase shift up to energies of about 1450 MeV (dashed line in Fig. 1). The rise of the phase shift above 1300 MeV is provided by the attraction of the  $\rho$  exchange in  $\pi N$  interaction. However, the inelasticity in the  $P_{11}$  opens at about 1300 MeV, where the elastic model fails. The coupling to the  $\pi \Delta$  channel already results in a resonant behavior of the  $P_{11}$ phase shift but the inelasticity is only slightly affected (dotted-dashed curve in Fig. 1). By increasing the cutoffs in the  $\pi N \to \pi \Delta$  transition potential, it is indeed possible to improve the inelasticity — but only at the cost of a much worse description of the  $P_{33}$ . It is also important to mention, that in the  $P_{11} \rho, N$  and  $\Delta$  exchange are attractive, whereas the nucleon exchange changes sign in the I = 3/2 states. Therefore nucleon exchange cancels partially the other contributions, which results in a very small inelasticity in the  $P_{31}$  — in agreement with the data. Considering only  $\rho$  exchange for the coupling to the  $\pi \Delta$  channel would result in a large inelasticity in the  $P_{31}$ , which is not observed.



Fig. 1. Phase shift and inelasticity in the  $P_{11}$  partial wave in  $\pi N$  scattering. The dashed line is the basic  $\pi N$  model without coupling to other channels. The dotted-dashed line is the coupled  $\pi N/\pi \Delta$  model. The solid line corresponds to the coupled channel  $\pi N/\pi \Delta/\sigma N$  model.

The description of the data can be improved significantly by including the coupling to the  $\sigma N$  channel. As can be seen from the solid line in Fig. 1,

the  $\sigma N$  channel accounts for most of the inelasticity in the  $P_{11}$ . The coupling to the  $\sigma N$  channel is much larger than the coupling to  $\pi \Delta$ , because the  $\sigma N$ state is in a relative S-wave, whereas the  $\pi \Delta$  forms a P-wave state. The quantitative description of the inelasticity requires a contribution from the direct  $\sigma N$  interaction. The  $\sigma \sigma \sigma$  coupling was treated as a free parameter and was adjusted to the inelasticity. This coupling is therefore a measure for the strength of the diagonal interaction in the  $\sigma N$  channel. Note that in this calculation no genuine  $N^*(1440)$  resonance is included. The resonant behavior of the  $P_{11}$  is completely generated by meson-baryon dynamics. Thus, in our model the Roper resonance appears as a quasi bound  $\pi \pi N$ state.



Fig. 2. Phase shift and inelasticity for partial waves with I = 1/2 and  $J \leq 3/2$ . The solid line corresponds to the full  $\pi N/\eta N/\pi \Delta/\sigma N$  model.

The coupling to the  $\pi\Delta$  and  $\sigma N$  channel leads to a good description of the  $P_{11}$  without genuine  $N^*(1440)$  resonance. As already mentioned, our aim is a consistent description of the  $\pi N$  scattering data in all partial waves with  $J \leq 3/2$ . The complete results of the coupled channel  $\pi N/\eta N/\pi\Delta/\sigma N$ model for isospin I = 1/2 are shown in Fig. 2 and those for isospin I = 3/2in Fig. 3. The inclusion of the  $\eta N$  channel only affects the  $S_{11}$ , so the results discussed in this section are also valid for the model including the  $\eta N$  channel. For the isospin  $I = 1/2 S_{11}$  (which will be discussed in the next section) as well as the  $P_{11}$  are well described. We get a little too much repulsion in the  $P_{13}$  and our model yields only a background to the resonant shape of the  $D_{13}$ . Since the  $N^*(1520)$  couples strongly to the  $\rho N$  channel, this channel has to be included for a detailed investigation of the structure of this resonance.



Fig. 3. Phase shift and inelasticity for partial waves with I = 3/2 and  $J \leq 3/2$  calculated with the full  $\pi N/\eta N/\pi \Delta/\sigma N$  model.

The partial waves with isospin I = 3/2 are well reproduced up to 1500 MeV. In the  $S_{31}$  we do not find a structure around 1.6 GeV, where the  $\Delta(1620)$  is located, because the  $\pi\Delta$  system cannot form a relative S-wave and the  $\pi N \to \pi\Delta$  transition is therefore weak.

# 3. The coupling to the $\eta N$ channel and the $N^*(1535)$

The  $N^*(1535)$  resonance plays an outstanding role in the baryonic spectrum, because it is the only resonance which couples strongly to the  $\eta N$  channel. In fact, the branching ratio  $N^*(1535) \rightarrow \eta N$  (30-55 % [18]) is

about as large as into the  $\pi N$  decay channel. Furthermore, this resonance is located near the  $\eta N$  threshold (1486 MeV) and in a recent speed plot analysis of the  $S_{11} \pi N$  partial wave by Höhler and Schulte [19], the resonance position was indistinguishable from the  $\eta N$  threshold. This raised up the question, whether the  $N^*(1535)$  is a genuine three quark resonance or if it can be understood by the dynamics of the opening of the  $\eta N$  threshold. In iterating the S-wave amplitude of the SU(3) chiral meson-baryon Lagrangian in a Lippmann–Schwinger equation, Kaiser *et al.* [20] found the  $N^*(1535)$  to be a quasi bound  $K\Sigma$  state.

In our model the coupling to the  $\eta N$  channel is realized by the following diagrams: the  $\pi N \to \eta N$  transition potential is built up by nucleon and  $a_0$  exchange and by nucleon and  $f_0$  exchange for the direct  $\eta N$  interaction. Furthermore we have included a  $N^*(1535)$  pole graph coupling to  $\pi N$  and  $\eta N$  channels These diagrams form the basis of our analysis of the structure of the  $N^*(1535)$ . Above the  $N^*(1535)$  region the  $S_{11}$  phase shift rises rapidly. This rise is due to the  $N^*(1650)$  resonance, which we have additionally included in the direct  $\pi N$  interaction. Since we do not investigate the structure of the high energy tail of the  $N^*(1535)$  resonance region.

In the first step of our analysis, we would like to see to what extend the  $S_{11}$  can be described as pure threshold phenomenon without including the  $N^*(1535)$  pole graph. As one can see from the dotted-dashed curve in Fig. 4, this model leads to a cusp like structure exactly at  $\eta N$  threshold, which cannot be shifted to higher energy, where the relative maximum of the phase shift is located in the data. In addition, the rapid decrease of the



Fig. 4. Phase shift and inelasticity in the  $S_{11}$ . The solid line is the full  $\pi N/\pi \Delta/\sigma N/\eta N$  model (with genuine  $N^*(1535)$  resonance). The dashed line corresponds to the full model and reduced *a*0 coupling. The dotted-dashed curve was calculated without genuine  $N^*(1535)$  diagram.

O. KREHL, J. SPETH

phase shift around 1540 MeV cannot be explained quantitatively. However, without including the  $N^*(1535)$  resonance explicitly, the coupling to the  $\eta N$  channel is generated only by t- and u-channel processes. The fact, that the  $S_{11}$  can be reproduced, at least qualitatively, illustrates the importance of the background contributions. The situation can be improved when the  $N^*(1535)$  resonance diagrams are included into the potential. The cusp like structure at  $\eta N$  threshold is still present but the maximum is now shifted to higher energy and agrees with the data. Also the rapid decrease mentioned above can be reproduced quantitatively. The improvement of describing the data after including the  $N^*(1535)$  resonance is in our model a clear sign that this resonance contains a genuine three quark core.

To illustrate the importance of the background contribution from the tand u- channel graphs in the presence of the  $N^*(1535)$  resonance, we have reduced the  $a_0$  exchange potential in the  $\pi N \to \eta N$  transition by 25 %. The result is shown as dashed line in Fig. 4. The maximum of the phase shift as well as the inelasticity is substantially reduced by lowering the background contributions, which therefore are large also in the case where the  $N^*(1535)$ resonance is included explicitly.

## 4. Summary

We presented a coupled channel  $\pi N/\eta N/\pi \Delta/\sigma N$  meson and baryon exchange model for investigating two prominent baryonic resonances: the  $N^*(1440)$  and the  $N^*(1535)$ . We found, that the opening of the  $\eta N$  threshold leads to a cusp effect at  $\eta N$  threshold. The inclusion of the  $N^*(1535)$ improves the description of the  $S_{11}$  significantly, which indicates, that the  $N^*(1535)$  contains a three quark core and cannot be generated by mesonbaryon dynamics only. However, the background contributions from t- and u-channel meson and baryon exchange processes are large and cannot be neglected. The situation for the Roper resonance is quite different. Here we find a large coupling to the  $\pi \Delta$  and especially the  $\sigma N$  channel, which generates the Roper dynamically. In contrast to the PDG [18], we found the  $\sigma N$  channel to be more important than the  $\pi \Delta$  channel. The meson and baryon exchange diagrams which couple the  $\pi N$  to the  $\pi \Delta$  and  $\sigma N$  system are not only fixed by their contribution to the  $P_{11}$  but also by their effects in other partial waves. This results in a good description of all  $\pi N$  partial waves with  $J \leq 3/2$ .

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