# GENIUS PROJECT, NEUTRINO OSCILLATIONS AND COSMOLOGY: NEUTRINOS REVEAL THEIR NATURE?* ** 

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The neutrinoless double beta decay as well as any other laboratory experiment has not been able to answer the question of the neutrino's nature. Hints on the answer are available when neutrino oscillations and $(\beta \beta)_{0 \nu}$ are considered simultaneously. In this case phenomenologically interesting neutrino mass schemes can lead to non-vanishing and large values of $\left\langle m_{\nu}\right\rangle$. As a consequence, some schemes with Majorana neutrinos can be ruled out even now. If we assume that in addition neutrinos contribute to Hot Dark Matter then the window for Majorana neutrinos is even more restricted, $e . g$. GENIUS experiment will be sensitive to scenarios with three Majorana neutrinos.

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## 1. Introduction

There are two main problems in neutrino physics. First is the problem of neutrino mass. In the light of present observations [1] this question seems to be solved, neutrinos are massive particles. The second problem is the one

[^0]of the neutrino's nature. Massive neutrinos can be either Dirac or Majorana particles. As their visible interactions are left-handed and known sources generate ultrarelativistic states, it is very difficult to distinguish experimentally between the two.

Dirac spin $1 / 2$ fermions were introduced to describe interactions which are invariant under spatial reflections. Majorana fermions were invented later without special purpose. In those times, parity was conserved and it was generally believed that Majorana particle interactions must be asymmetric under spatial reflection. Today we know that particle characters are not responsible for parity symmetry breaking [2]. Only one property the charge - discriminates Dirac from Majorana massive fermions. Dirac particles carry charge. Massive Majorana particles must be chargeless and cannot carry static electric or magnetic moments.

Neutrinos are "special" fermions, they have no electric charge and only one "charge" - the lepton number can characterize them. From all present terrestrial experiments it follows that family lepton numbers $L_{e}, L_{\mu}$ and $L_{\tau}$ are separately conserved and as a result, their sum, the total lepton number $L=L_{e}+L_{\mu}+L_{\tau}$ has the same property.

For massive Dirac neutrinos, flavor lepton numbers can be broken and only $L$ must be conserved. For Majorana neutrinos both, family and total lepton numbers are broken. It is even impossible to define these numbers in the way known from Dirac particles.

We have to stress that Majorana neutrinos are more fundamental objects and naturally arise in most extensions of the Standard Model. Only in models where the lepton number ( $L$ and $B-L$ ) is conserved, neutrinos are Dirac particles. However, there are many arguments to abandon lepton number conservation. It is not a fundamental quantity, unlike electric charge and does not govern the dynamics. Also, lepton number violation is naturally induced by the presence of right-handed neutrinos $\left(\nu_{\mathrm{R}}\right)$ which are usually necessary to form the Dirac mass term ( $\bar{\nu}_{\mathrm{L}} \nu_{\mathrm{R}}$ ). In spite of these obvious theoretical arguments, supporting the Majorana nature of neutrinos, finding direct experimental indications which would determine the neutrino character is very important.

It is common belief that the first place where the nature of massive neutrinos will be revealed is the neutrinoless double $\beta$ decay of nuclei, $(\beta \beta)_{0 \nu}$. Many experimental searches for $(\beta \beta)_{0 \nu}$ decay of different nuclei have been done and are presently underway [3]. Unfortunately, up to now this decay has not been found and the experimental data can only help to estimate the lower bound on the life times of $(\beta \beta)_{0 \nu}$ decay modes. The most stringent limit was found in the germanium Heidelberg-Moscow experiments. Their latest result on the half-life time is [4]

$$
\begin{equation*}
T_{1 / 2}^{o \nu}(\mathrm{Ge})>5.7 \times 10^{25} \text { year }(\text { at } 90 \% \mathrm{CL}) \tag{1}
\end{equation*}
$$

from which the following upper bound on the effective Majorana mass was found

$$
\begin{equation*}
\left|\left\langle m_{\nu}\right\rangle\right|=\left|\sum_{i} U_{e i}^{2} m_{i}\right|<0.2 \mathrm{eV} \tag{2}
\end{equation*}
$$

The above number has been used to restrict many aspects of the neutrino mass spectrum or the solar neutrino mechanism [5]. We propose an opposite way of thinking. Using the present data from oscillation experiments, and tritium $\beta$ decay we can find the modules $\left|U_{e i}\right|$ of mixing matrix elements and the possible values of neutrino masses $m_{i}$. Then we check whether the bound Eq. (2) is satisfied or not. If it is, the problem is unsolved. If, however the bound Eq. (2) is not satisfied, then neutrinos are Dirac particles. In the latter case the effective mass is calculated as [6]

$$
\begin{equation*}
\sum_{i=1}^{n} U_{e i}^{2} m_{i} \Rightarrow \sum_{i=1}^{n} \frac{1}{2}\left[\left(-i U_{e i}\right)^{2}+\left(U_{e i}\right)^{2}\right] m_{i}=0 \tag{3}
\end{equation*}
$$

and is strictly equal zero.
Ambitious plans [7] are to shift up the limit Eq. (1) and to move the upper limit of $\left\langle m_{\nu}\right\rangle$ down to 0.02 eV (using a tank of 1 ton of Germanium, after one year) or in a further time scale even to 0.006 eV ( $1 \mathrm{t}, 10$ years).

In a previous work [8] we have considered three neutrino mixing schemes. Here we present analytical results for both three and four neutrino mixing scenarios. Information about the same subject with numerical estimations is given in [9]. In the next Chapter we summarize the efforts undertaken in order to find lepton number violating processes. Explanation is given of why the family and total lepton numbers are so strongly conserved. In Chapter 3 we collect all the relevant information about mixing matrix elements and masses extracted from experimental data. Four presently accepted neutrino mass schemes which cover the case of three and four neutrino mixing are discussed. All necessary information from oscillation experiments, tritium $\beta$ decay and cosmology is given. Chapter 4 is the main of the paper. All data are connected together with the bound on effective neutrino mass from $(\beta \beta)_{0 \nu}$, and restrictions on various neutrino mass schemes are presented. Conclusions are to be found in Chapter 5.

## 2. Lepton numbers and neutrino character of light SM neutrino states

In order to explain the lack of lepton flavor violating processes, the concept of the flavor lepton number $L_{\alpha}[10]$ followed by the idea of the total lepton number $L$ [11] have been introduced. The upper bounds on branching
ratios of $L_{\alpha}$ violating processes are very small, for instance:

$$
\begin{align*}
& \operatorname{BR}\left(\mu^{-} \rightarrow e^{-} \gamma\right)<4.9 \times 10^{-11}, \quad \operatorname{BR}\left(\mu^{-} \rightarrow e^{+} e^{-} e^{-}\right)<1.0 \times 10^{-12} \\
& \operatorname{BR}\left(\pi^{0} \rightarrow \mu^{-} e^{+}\right)<1.72 \times 10^{-8}, \quad \operatorname{BR}\left(K_{\mathrm{L}}^{0} \rightarrow \mu^{-} e^{+}\right)<3.3 \times 10^{-11} \\
& \operatorname{BR}\left(\tau^{-} \rightarrow \mu^{-} \gamma\right)<4.2 \times 10^{-6} \tag{4}
\end{align*}
$$

In the frame of the SM with massless neutrinos the above processes are strictly forbidden. If neutrinos are massive, then in analogy to the quark sector neutrinos should mix and lepton numbers are not conserved. However, these effects must be very small, below sensitivity of processes given in Eq. (4). That means that the concept of leptons numbers $L, L_{\alpha}$ is still useful, at least in all neutrino nonoscillation phenomena. For Dirac neutrinos represented by a bispinor $\Psi_{\mathrm{D}}$ it is possible to change the phase of the field

$$
\begin{equation*}
\Psi_{\mathrm{D}} \rightarrow \mathrm{e}^{i \alpha} \Psi_{\mathrm{D}} \tag{5}
\end{equation*}
$$

The charge connected with such a global gauge transformation is just the flavor charge operator. This operator can, but not necessarily must, commute with the interaction Hamiltonian, $\left[L_{\alpha}, H\right]=0$ for a massless, $\left[L_{\alpha}, H\right] \neq 0$ for a massive neutrino. Majorana neutrinos on the other hand are described by self-conjugate fields

$$
\begin{equation*}
\Psi_{\mathrm{M}}=\Psi_{\mathrm{M}}^{c} \equiv C \bar{\Psi}_{\mathrm{M}}^{T} \tag{6}
\end{equation*}
$$

and it is not possible to define the same kind of gauge transformation as in Eq. (5). There is then no special reason why $L_{\alpha}$ and $L$ should be conserved for Majorana neutrinos. All processes in Eq. (4) break $L_{\alpha}$ but not $L$, so they can be realized by both kind of neutrinos at the one loop level. At this level only very heavy, nonstandard, neutrinos matter [12]. We do not go to details and focus only on direct effects connected with light, SM neutrinos. Let us mention only that in see-saw models heavy neutrino effects are also negligible, both at tree [13] and loop levels [14]. To make life easier and to understand how processes with Majorana (Dirac) neutrinos mimic family $L_{\alpha}$ and total lepton $L$ numbers conservation let us consider a tree level process of electron (positron) production using electron and muon neutrinos scattering on nuclear target

$$
\begin{equation*}
\nu_{e(\mu)} N \rightarrow e^{ \pm} X \tag{7}
\end{equation*}
$$

Let us define the connection between flavor $\nu_{\alpha}$ and massive $\nu_{i}$ states in the following way

$$
\begin{equation*}
\left|\nu_{\alpha}\left(\lambda=-\frac{1}{2}\right)\right\rangle=\sum_{i} U_{\alpha i}\left|\nu_{i}\left(\lambda=-\frac{1}{2}\right)\right\rangle \tag{8}
\end{equation*}
$$

for negative helicity states and

$$
\begin{equation*}
\left|\nu_{\alpha}\left(\lambda=+\frac{1}{2}\right)\right\rangle=\sum_{i} U_{\alpha i}^{*}\left|\nu_{i}\left(\lambda=+\frac{1}{2}\right)\right\rangle \tag{9}
\end{equation*}
$$

for $\lambda=+\frac{1}{2}$. In the same way Weyl particle and antiparticle states are connected. Note that Eqs. (8)-(9) for massive particles seems to be in contradiction with the special theory of relativity. The real problem is that states on the right hand side of Eqs. (8)-(9) can not be defined, in general [15]. However, the left-handed interaction cannot change the neutrino helicity and it is practically impossible to find a real frame moving faster than the neutrino itself (neutrinos are ultrarelativistic) and the relations Eqs. (8)-(9) can be safely used [15]. This is what is usually considered to be true when neutrino oscillation phenomena are discussed. To be more general, let us assume that there is also a right-handed neutrino interaction ${ }^{1}$

$$
\begin{align*}
L_{C C}= & \frac{g}{\sqrt{2}}\left[A_{\mathrm{L}}\left(\bar{N}_{i} \gamma^{\mu} P_{\mathrm{L}}\left(U^{T}\right)_{i \alpha} l_{\alpha}\right) W_{\mathrm{L} \mu}^{+}\right. \\
& \left.+A_{\mathrm{R}}\left(\bar{N}_{i} \gamma^{\mu} P_{\mathrm{R}}\left(U^{\dagger}\right)_{i \alpha} l_{\alpha}\right)\right] W_{\mathrm{R} \mu}^{+}+\text {h.c. } \tag{10}
\end{align*}
$$

Then in the ultrarelativistic regime $\left(m_{i} \ll E\right)$ using the unitarity of the $U$ matrix, the following amplitudes to the $\mathcal{O}\left(\left(\frac{m_{i}}{E}\right)^{2}\right)$ order are obtained:

$$
\begin{align*}
& A\left(\nu_{e}\left(-\frac{1}{2}\right) \rightarrow e^{-}\right)=A\left(e^{-}\right)\left[A_{\mathrm{L}}^{*}+A_{\mathrm{R}}^{*} \sum_{i} \frac{m_{i}}{2 E}\left(U_{e i}\right)^{2}\right]  \tag{11}\\
& A\left(\nu_{e}\left(+\frac{1}{2}\right) \rightarrow e^{-}\right)=A\left(e^{-}\right)\left[A_{\mathrm{L}}^{*} \sum_{i} \frac{m_{i}}{2 E}\left(U_{e i}^{*}\right)^{2}+A_{\mathrm{R}}^{*}\right]  \tag{12}\\
& A\left(\nu_{\mu}\left(-\frac{1}{2}\right) \rightarrow e^{-}\right)=A\left(e^{-}\right)\left[-A_{\mathrm{L}}^{*} \sum_{i} \frac{m_{i}^{2}}{8 E^{2}} U_{\mu i} U_{e i}^{*}+A_{\mathrm{R}}^{*} \sum_{i} \frac{m_{i}}{2 E} U_{\mu i} U_{e i}\right], \\
& A\left(\nu_{\mu}\left(+\frac{1}{2}\right) \rightarrow e^{-}\right)=A\left(e^{-}\right)\left[A_{\mathrm{L}}^{*} \sum_{i} \frac{m_{i}}{2 E} U_{\mu i}^{*} U_{e i}^{*}-A_{\mathrm{R}}^{*} \sum_{i} \frac{m_{i}^{2}}{8 E^{2}} U_{\mu i}^{*} U_{e i}\right], \tag{13}
\end{align*}
$$

[^1]and
\[

$$
\begin{align*}
& A\left(\nu_{e}\left(-\frac{1}{2}\right) \rightarrow e^{+}\right)=A\left(e^{+}\right)\left[-A_{\mathrm{L}} \sum_{i} \frac{m_{i}}{2 E}\left(U_{e i}\right)^{2}+A_{\mathrm{R}}\right]  \tag{15}\\
& A\left(\nu_{e}\left(+\frac{1}{2}\right) \rightarrow e^{+}\right)=A\left(e^{+}\right)\left[-A_{\mathrm{L}}+A_{\mathrm{R}} \sum_{i} \frac{m_{i}}{2 E}\left(U_{e i}^{*}\right)^{2}\right]  \tag{16}\\
& A\left(\nu_{\mu}\left(-\frac{1}{2}\right) \rightarrow e^{+}\right)=A\left(e^{+}\right)\left[-A_{\mathrm{L}} \sum_{i} \frac{m_{i}}{2 E} U_{\mu i} U_{e i}+A_{\mathrm{R}} \sum_{i} \frac{m_{i}^{2}}{8 E^{2}} U_{\mu i} U_{e i}^{*}\right], \\
& A\left(\nu_{\mu}\left(+\frac{1}{2}\right) \rightarrow e^{+}\right)=A\left(e^{+}\right)\left[A_{\mathrm{L}} \sum_{i} \frac{m_{i}^{2}}{8 E^{2}} U_{\mu i}^{*} U_{e i}+A_{\mathrm{R}}^{*} \sum_{i} \frac{m_{i}}{2 E} U_{\mu i}^{*} U_{e i}^{*}\right], \tag{17}
\end{align*}
$$
\]

where $A\left(e^{+}\right)$and $A\left(e^{-}\right)$are appropriate amplitudes for massless neutrinos. In the approximation $\frac{m_{i}}{E} \ll 1$ and $\left|A_{\mathrm{L}}\right| \gg\left|A_{\mathrm{R}}\right|$ only two cross sections for electron production by a $\nu_{e}(\lambda=-1 / 2)$ beam Eq. (11) and positron production by a $\nu_{e}(\lambda=+1 / 2)$ beam Eq. (16) are large enough to be seen

$$
\begin{align*}
& \sigma\left(\nu_{e}\left(-\frac{1}{2}\right) \rightarrow e^{-}\right) \sim\left|A\left(e^{-}\right)\right|^{2}  \tag{19}\\
& \sigma\left(\nu_{e}\left(+\frac{1}{2}\right) \rightarrow e^{+}\right) \sim\left|A\left(e^{+}\right)\right|^{2} \tag{20}
\end{align*}
$$

All other helicity cross sections are suppressed by factors

$$
\begin{equation*}
\left(\frac{m_{i}}{E}\right)^{2}, \quad \frac{m_{i}}{2 E}\left|A_{\mathrm{R}}\right| \quad \text { or }\left|A_{\mathrm{R}}\right|^{2} \tag{21}
\end{equation*}
$$

and for instance, for $m_{i} \simeq 1 \mathrm{eV}$ and $E \simeq 1 \mathrm{MeV}$ we have $\left(\frac{m_{i}}{E}\right)^{2} \simeq 10^{-12}$. Such factors cause that the cross sections for flavor lepton number $L_{\alpha}$ violating processes Eqs. (13)-(14), Eqs. (17)-(18) are invisibly small. The total lepton $L$ non-conserving processes Eqs. (12), (15), share the same property. Neglecting the factors from Eq. (21), our amplitudes are identical to those of massless Weyl neutrinos whose family and total lepton numbers are strictly conserved. Turning our results around we can see that processes where neutrino masses (and right-handed currents) are not important give no chance to distinguish Dirac from Majorana neutrinos. Could CP phases help? In the case of Dirac [Majorana] neutrinos the mixing matrix $U$ has $(n-1)(n-2) / 2[n(n-1) / 2]$ phases. Let us look into processes where the neutrino mass is important. Though the transition probability of neutrino oscillations depends on CP phases, the physical phases by which the neutrino mixing matrices differ do not enter into transition probabilities and
the results are the same for Dirac and Majorana neutrinos [16, 17]. The neutrino mass distortion measured in tritium $\beta$ decay is a function of absolute values of mixing matrix elements (see next chapter) so it is not sensible to CP phases, either.

There are also processes which do not conserve the total lepton number in which only Majorana neutrinos could participate. Since many years the most promising investigation along this line is connected with the neutrinoless double beta decay.

Surprisingly, we will see that even if this process is not observed, it can solve the problem of the nature of neutrinos, when augmented with Cosmology (assuming neutrinos as Hot Dark Matter) and neutrino oscillations results.

## 3. Neutrino masses and mixing matrix $U_{e i}$ elements

There are two completely different situations which depend on the present status of the LSND experiment. Three light neutrinos are necessary to explain solar [18] and atmospheric [19] anomalies. With the LSND result [20] an extra light neutrino must be introduced.

### 3.1. Three neutrinos scenario

For neutrino mixing 3 flavor states $\left(\nu_{e}, \nu_{\mu}, \nu_{\tau}\right)$ are related to 3 eigenmass states $\left(\nu_{1}, \nu_{2}, \nu_{3}\right)$ through [21]

$$
\left(\begin{array}{c}
\nu_{e}  \tag{22}\\
\nu_{\mu} \\
\nu_{\tau}
\end{array}\right)=\left(\begin{array}{ccc}
U_{e 1} & U_{e 2} & U_{e 3} \\
U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\
U_{\tau 1} & U_{\tau 2} & U_{\tau 3}
\end{array}\right)\left(\begin{array}{c}
\nu_{1} \\
\nu_{2} \\
\nu_{3}
\end{array}\right)
$$

Our concern is about the first row of the mixing matrix. We use the standard parameterization [22]

$$
U=\left(\begin{array}{ccc}
c_{12} c_{13} & s_{12} c_{13} & s_{13} \mathrm{e}^{i \delta}  \tag{23}\\
-s_{12} c_{23}-c_{12} s_{23} s_{13} \mathrm{e}^{-i \delta} & c_{12} c_{23}-s_{12} s_{23} s_{13} \mathrm{e}^{-i \delta} & s_{23} c_{13} \\
s_{12} s_{23}-c_{12} c_{23} s_{13} \mathrm{e}^{-i \delta} & -c_{12} s_{23}-s_{12} c_{23} s_{13} \mathrm{e}^{-i \delta} & c_{23} c_{13}
\end{array}\right)
$$

To explain the solar neutrino anomaly the mass splitting between two neutrinos must be extremely tiny, $\delta m_{\text {sun }}^{2} \simeq 10^{-5} \div 10^{-11} \mathrm{eV}^{2}$. Only slightly larger mass splitting between neutrino masses is needed in the case of atmospheric oscillations, $\delta m_{\mathrm{atm}}^{2} \simeq 10^{-2} \div 10^{-3} \mathrm{eV}^{2}$. These relations leave us with two possible neutrino mass scenarios: $\delta m_{12}^{2}=\delta m_{\text {sun }}^{2}, \delta m_{23}^{2} \simeq \delta m_{13}^{2}=\delta m_{\text {atm }}^{2}$ (Scheme $A_{3}$, Fig.1) and $\delta m_{23}^{2}=\delta m_{\text {sun }}^{2}, \delta m_{12}^{2} \simeq \delta m_{13}^{2}=\delta m_{\text {atm }}^{2}$ (Scheme $B_{3}$, Fig. 1) Reactor experiments are of the so-called short baseline and are able


Fig. 1. Two possible neutrino mass spectra which can describe the oscillation data.
to measure the neutrino mass splitting of the order $\delta m^{2}<10^{-3}$. Then we can neglect terms with $\delta m_{\text {sun }}^{2} \ll 10^{-3}$ and the disappearance probability for $\bar{\nu}_{e}$ reactor neutrino oscillations is (the following discussion is given for the $A_{3}$ scheme)

$$
\begin{equation*}
P_{\bar{\nu}_{e} \rightarrow \bar{\nu}_{e}}=P_{\nu_{e} \rightarrow \nu_{e}}=1-\sin ^{2} 2 \Theta_{13} \sin ^{2} \Delta_{\text {reactor }} \tag{24}
\end{equation*}
$$

where

$$
\Delta_{\text {reactor }}=\Delta_{23}\left(L_{\text {reactor }}, E_{\text {reactor }}\right), \quad \Delta_{i j}(L, E)=\frac{1.27 \times \delta m_{i j}^{2}\left[\mathrm{eV}^{2}\right] L[\mathrm{~km}]}{E[\mathrm{GeV}]}
$$

In reactor experiments the disappearance of $\bar{\nu}_{e}$ is not seen, which means that $\sin ^{2} 2 \Theta_{13}$ must be small. CHOOZ gives [23]

$$
\begin{equation*}
\sin ^{2} 2 \Theta_{13}<0.18 \tag{25}
\end{equation*}
$$

and two solutions for $\Theta_{13}$ can be found:

$$
\begin{equation*}
\sin ^{2} \Theta_{13}<0.05 \quad \text { or } \quad \sin ^{2} \Theta_{13}>0.95 \tag{26}
\end{equation*}
$$

The observed $\nu_{\mu}$ neutrino deficit from the atmosphere is favorable describe by a $\nu_{\mu} \rightarrow \nu_{\tau}$ transition where matter effects are not important $\left(\Delta_{\mathrm{atm}}=\right.$ $\left.\Delta_{23}\left(L_{\text {atm }}, E_{\text {atm }}\right)\right)$ :

$$
\begin{equation*}
P_{\nu_{\mu} \rightarrow \nu_{\tau}}=\sin ^{2} 2 \Theta_{23} \cos ^{4} \Theta_{13} \sin ^{2} \Delta_{\mathrm{atm}} \tag{27}
\end{equation*}
$$

We know that the atmospheric neutrino mixing is very large [24]

$$
\begin{equation*}
0.72 \leq \sin ^{2} 2 \Theta_{23} \cos ^{4} \Theta_{13} \leq 1 \quad \text { and } \quad \delta m_{\mathrm{atm}}^{2} \simeq 4 \times 10^{-3} \mathrm{eV}^{2} \tag{28}
\end{equation*}
$$

and only a small value of $\sin ^{2} \Theta_{13}$ in Eq. (26) is compatible with the bound in Eq. (28). The recent fit to the new (830-920 days) atmospheric data of SuperKamiokande gives the minimum of $\chi^{2}$ for [25]

$$
\begin{equation*}
\sin ^{2} \Theta_{13}=0.03 \tag{29}
\end{equation*}
$$

Similar values $\left(\sin ^{2} \Theta_{13}<0.03 \div 0.04[23,26]\right)$ are given by the reactor data.
In all solar neutrino experiments the deficit of electron antineutrinos is measured and four different solutions are possible [27]. The first, "just so" solution, is based on the hypothesis of neutrino oscillations in vacuum (VO), $\delta m_{\text {sun }}^{2} \sim 10^{-10} \mathrm{eV}^{2}$ in this case. The other three solutions are based on the Wolfenstein [28]-Mikheyev-Smirnov [29] mechanism of coherent neutrino scattering in matter (so called small mixing angle (SMA MSW), large mixing angle (LMA MSW) and low $\delta m^{2}$ (LOW MSW) solutions).

For VO the $\nu_{e}$ disappearance probability is given by $\left(\Delta_{\text {sun }}=\right.$ $\left.\Delta_{12}\left(L_{\text {sun }}, E_{\text {sun }}\right)\right)$

$$
\begin{equation*}
P_{\nu_{e} \rightarrow \nu_{e}}^{\text {sun }}=1-\frac{1}{2} \sin ^{2} 2 \Theta_{13}-\sin ^{2} 2 \Theta_{12} \cos ^{4} \Theta_{13} \sin ^{2} \Delta_{\text {sun }} \tag{30}
\end{equation*}
$$

This expression can be rewritten in the form

$$
\begin{equation*}
P_{\nu_{e} \rightarrow \nu_{e}}^{\text {sun }}=\cos ^{4} \Theta_{13}\left(1-\sin ^{2} 2 \Theta_{12} \sin ^{2} \Delta_{\text {sun }}\right)+\sin ^{4} \Theta_{13} . \tag{31}
\end{equation*}
$$

Taking into account that $\sin ^{4} \Theta_{13} \simeq 0$ (Eq. (29)) we get

$$
\begin{equation*}
P_{\nu_{e} \rightarrow \nu_{e}}^{\text {sun }} \simeq 1-\sin ^{2} 2 \Theta_{\mathrm{sun}} \sin ^{2} \Delta_{\mathrm{sun}}, \tag{32}
\end{equation*}
$$

where $\Theta_{\text {sun }} \simeq \Theta_{12}$.
Similarly we get for the case of the MSW solution:

$$
\begin{equation*}
P_{\nu_{e} \rightarrow \nu_{e}}^{\text {sunn }(M W)} \simeq 1-\sin ^{2} 2 \tilde{\Theta}_{\text {sun }} \sin ^{2} \tilde{\Delta}_{\text {sun }}, \tag{33}
\end{equation*}
$$

where now

$$
\begin{equation*}
\sin ^{2} 2 \tilde{\Theta}_{\mathrm{sun}}=\frac{\sin ^{2} 2 \Theta_{\mathrm{sun}}}{\left[\left(\frac{A}{\delta m_{\text {sun }}^{2}}-\cos 2 \Theta_{\text {sun }}\right)^{2}+\sin ^{2} 2 \Theta_{\text {sun }}\right]^{1 / 2}} \tag{34}
\end{equation*}
$$

$\tilde{\Delta}_{\text {sun }}$ includes the effective neutrino mass parameter with $\delta m_{\text {sun }}^{2}$ replaced by

$$
\begin{equation*}
\tilde{\delta} m_{\text {sun }}^{2}=\delta m_{\text {sun }}^{2}\left[\left(\frac{A}{\delta m_{\text {sun }}^{2}}-\cos 2 \Theta_{\text {sun }}\right)^{2}+\sin ^{2} 2 \Theta_{\text {sun }}\right]^{1 / 2} \tag{35}
\end{equation*}
$$

where $A=2 \sqrt{2} G_{F} E N_{e}$ ( $N_{e}$ - electron number density).
From Eq. (35) we can see that in order to fulfil the resonance condition we need for $\delta m_{\text {sun }}^{2}>0[30]$

$$
\begin{equation*}
\cos 2 \Theta_{\text {sun }}>0, \tag{36}
\end{equation*}
$$

and this means that

$$
\cos \Theta_{12}>\sin \Theta_{12}
$$

Many fits have been done to the solar neutrino data [31]. The results of the fit [32] which takes into account the full set of measurements (rates, energy spectrum, day-night asymmetry in the case of the MSW solution and seasonal variation for VO solution) are presented in Table I. For VO only the best fit value $\sin ^{2} 2 \Theta_{\text {sun }}$ is given in [33].

TABLE I
The allowed ranges and best fit values of $\sin ^{2} 2 \Theta_{\text {sun }}$ and $\delta m^{2}$ for different types of solar neutrino oscillations.

| Possible solutions | $\sin ^{2} 2 \Theta_{\text {sun }}[95 \%$ c.l. $]$ | Best fits |  |
| :--- | :---: | :--- | :--- |
|  |  | $\delta m^{2}$ |  |
| MSW SMA | $0.001-0.01$ | 0.0065 | $5.2 \times 10^{-6} \mathrm{eV}^{2}$ |
| MSW LMA | $0.59-0.98$ | 0.77 | $2.94 \times 10^{-5} \mathrm{eV}^{2}$ |
| MSW LOW | $0.68-0.98$ | 0.9 | $1.24 \times 10^{-7} \mathrm{eV}^{2}$ |
| VO |  | 0.93 | $4.42 \times 10^{-10} \mathrm{eV}^{2}$ |

For a scheme $B_{3}$ a change $U_{e 3} \leftrightarrow U_{e 1}$ must be done.

### 3.2. Four neutrinos scenario

The electron (anti)neutrino appearance in the LSND experiment [20,34] can be explained by $\nu_{\mu} \rightarrow \nu_{e}$ oscillation with additional large $\delta m^{2}$ scale

$$
\begin{equation*}
\delta m_{\mathrm{LSND}}^{2} \sim 0.2 \div 2 \mathrm{eV}^{2} . \tag{38}
\end{equation*}
$$

In principle there are six possible four-neutrino mass schemes with three different scales of $\delta m^{2}$. They are widely discussed in literature [35] and it is known that only two schemes (Fig. 2) are accepted by reactor, LSND, solar and atmospheric neutrino data.

As the parameterization of the $4 \times 4$ neutrino mixing matrix is very complicated [36] in the case when all entries of the mass matrix are nonzero we will use only the symbolic denotations and take

$$
\left(\begin{array}{c}
\nu_{e}  \tag{39}\\
\nu_{\mu} \\
\nu_{\tau} \\
\nu_{s}
\end{array}\right)=\left(\begin{array}{cccc}
U_{e 1} & U_{e 2} & U_{e 3} & U_{e 4} \\
U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\
U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\
U_{s 1} & U_{s 2} & U_{s 3} & U_{s 4}
\end{array}\right)\left(\begin{array}{c}
\nu_{1} \\
\nu_{2} \\
\nu_{3} \\
\nu_{4}
\end{array}\right) .
$$



Fig. 2. Two accepted by present data four-neutrino schemes. In the scheme $A_{4}$ $\delta m_{\text {sun }}^{2}=\delta m_{12}^{2}, \delta m_{\text {atm }}^{2}=\delta m_{34}^{2}$ with opposite situation in $B_{4}$ scheme where $\delta m_{\text {sun }}^{2}=$ $\delta m_{34}^{2}, \delta m_{\mathrm{atm}}^{2}=\delta m_{12}^{2}$. In both schemes $\delta m_{\mathrm{LSND}}^{2} \simeq \delta m_{13}^{2} \simeq \delta m_{14}^{2} \simeq \delta m_{23}^{2} \simeq \delta m_{24}^{2}$.

For the short baseline experiment (and for scheme $A_{4}$ ) the probability of disappearance of $\nu_{e}$ neutrinos is given by $\left(\Delta_{\mathrm{SBL}}=\Delta_{32}\left(L_{\mathrm{SBL}}, E_{\mathrm{SBL}}\right)\right)$

$$
\begin{equation*}
P_{\nu_{e} \rightarrow \nu_{e}}=1-c_{e}\left(1-c_{e}\right) \sin ^{2} \Delta_{\mathrm{SBL}} \tag{40}
\end{equation*}
$$

where

$$
c_{e}=\left|U_{e 3}\right|^{2}+\left|U_{e 4}\right|^{2}
$$

Again we can implement the CHOOZ result [23] and get

$$
\begin{equation*}
4 c_{e}\left(1-c_{e}\right)<0.18 \tag{41}
\end{equation*}
$$

and there are two solutions for $c_{e}$, namely

$$
\begin{equation*}
c_{e}<0.05 \text { or } c_{e}>0.95 \tag{42}
\end{equation*}
$$

On the other hand the deficit of solar neutrinos in the VO scenario and in four-neutrino language reads $\left(\Delta_{\text {sun }}=\Delta_{12}\left(L_{\text {sun }}, E_{\text {sun }}\right)\right)$

$$
\begin{align*}
P_{\nu_{e} \rightarrow \nu_{e}}^{(4)}= & \left(1-\left|U_{e 3}\right|^{2}-\left|U_{e 4}\right|^{2}\right)^{2}\left[1-\frac{4\left|U_{e 1}\right|^{2}\left|U_{e 2}\right|^{2}}{\left(\left|U_{e 1}\right|^{2}+\left|U_{e 2}\right|^{2}\right)^{2}} \sin ^{2} \Delta_{\mathrm{sun}}\right] \\
& +\left|U_{e 3}\right|^{4}+\left|U_{e 4}\right|^{4} \tag{43}
\end{align*}
$$

By comparison with the two flavour oscillations formula

$$
\begin{equation*}
P_{\nu_{e} \rightarrow \nu_{e}}=1-\sin ^{2} 2 \Theta_{\mathrm{sun}} \sin ^{2} \Delta_{\mathrm{sun}} \tag{44}
\end{equation*}
$$

we can see that the factor $\left(1-\left|U_{e 3}\right|^{2}-\left|U_{e 4}\right|^{2}\right)^{2}$ must be close to one, so only one solution of Eq. (42) is possible, namely $c_{e}<0.05$. For small values
of $c_{e}$ the probability $P_{\nu_{e} \rightarrow \nu_{e}}^{(4)}$ is well described in the frame of the 3 neutrino scenario by $P_{\nu_{e} \rightarrow \nu_{e}}^{(3)}$ (Eq. (31)) with the following substitutions

$$
\begin{align*}
\left|U_{e 3}\right|^{2}+\left|U_{e 4}\right|^{2} & \rightarrow \sin ^{2} \Theta_{13}<0.05 \\
\frac{\left|U_{e 1}\right|^{2}}{\left|U_{e 1}\right|^{2}-\left|U_{e 2}\right|^{2}} & =\cos ^{2} \Theta_{12}, \quad \frac{\left|U_{e 2}\right|^{2}}{\left|U_{e 1}\right|^{2}-\left|U_{e 2}\right|^{2}}=\sin ^{2} \Theta_{12} \tag{45}
\end{align*}
$$

That means that fitted parameters are the same as in 3-neutrino case

$$
\begin{equation*}
\sin ^{2} \Theta_{13}=\left|U_{e 3}\right|^{2}+\left|U_{e 4}\right|^{2} \equiv c_{e}<0.05 \tag{46}
\end{equation*}
$$

The MSW and VO solutions are described by $\sin ^{2} 2 \Theta_{12}=\sin ^{2} 2 \Theta_{\text {sun }}$ with the same values as in 3 neutrino scenario given in Table I. For a scheme $B_{4}$ a change $U_{e 3(4)} \leftrightarrow U_{e 1(2)}$ must be done.

### 3.3. Tritium beta decay

Other constraints on neutrino masses and mixings come from the observation of the end of the Curie plot for the tritium $\beta$ decay. Two collaborations from Mainz and Troitsk give similar results for the upper limit ( $95 \%$ of c.l.) on the effective electron neutrino mass

$$
\begin{gather*}
\left\langle m_{\nu_{e}}\right\rangle_{\beta}=\left[\sum_{i=1}^{n}\left|U_{e i}\right|^{2} m_{i}^{2}\right]^{1 / 2}  \tag{47}\\
\left\langle m_{\nu_{e}}\right\rangle_{\beta}<2.8 \mathrm{eV} \quad \text { Mainz Collaboration }[37] \\
\left\langle m_{\nu_{e}}\right\rangle_{\beta}<2.5 \mathrm{eV} \quad \text { Troitsk Collaboration }[38] .
\end{gather*}
$$

Both collaborations have ambitious plans to probe the mass region below 1 eV during the next five years [39].

### 3.4. Cosmological bounds

There are also astrophysical and cosmological bounds on neutrino masses and mixings. All this information depends on many other assumptions (as $e . g$. nonzero cosmological constant $\Lambda$ ) and is not as strict as laboratory data. We will take into account only one data which comes from the so called dark matter problem. If neutrinos compose all invisible matter in the Universe then [40]

$$
\begin{equation*}
\sum m_{\nu} \leq 30 \mathrm{eV} \tag{48}
\end{equation*}
$$

If only $20 \%$ of all dark matter is formed by neutrinos (the so called Hot Dark Matter) then

$$
\begin{equation*}
\sum m_{\nu} \simeq 6 \mathrm{eV} \tag{49}
\end{equation*}
$$

The best fit to many cosmological quantities is obtained if around $70 \%$ of dark matter is given by nonzero cosmological constant, $24 \%$ by Cold Dark Matter and $6 \%$ by Hot Dark Matter. In such a case

$$
\begin{equation*}
\sum m_{\nu} \simeq 2 \mathrm{eV} \tag{50}
\end{equation*}
$$

## 4. Neutrinoless double beta decay and constraints on neutrino nature

Neutrinoless double beta decay is sensitive to the first element of the neutrino mass matrix

$$
\begin{equation*}
m_{\alpha \beta}=\sum_{i=1}^{n} U_{\alpha i} U_{\beta i} m_{i} \tag{51}
\end{equation*}
$$

and luckily, is very well constrained. This is not the case of other entries which are also measured in various laboratory experiments, for instance

$$
\begin{align*}
\left|m_{e \mu}\right| & \text { in } \mathrm{Ti}+\mu^{-} \rightarrow C a+e^{+}, \\
\left|m_{\mu \mu}\right| & \text { in } K^{+} \rightarrow \pi^{-} \mu^{+} \mu^{+} \\
\left|m_{e \tau}\right|,\left|m_{\mu \tau}\right|,\left|m_{\tau \tau}\right| & \text { in HERA from } e^{-} p \rightarrow \nu_{e} l^{-} l^{\prime-} X . \tag{52}
\end{align*}
$$

All these quantities have quite large bounds, in the $\mathrm{MeV}-\mathrm{GeV}$ range [41] e.g.

$$
\begin{align*}
&\left|m_{e \mu}\right|<17 \mathrm{MeV} \\
&\left|m_{\mu \mu}\right|<500 \mathrm{GeV} \\
&\left|m_{e \tau}\right|<8.4 \mathrm{TeV} \tag{53}
\end{align*}
$$

The mixing matrix for Majorana neutrino has 3(6) phases for 3(4) neutrinos so we have
for $n=3(4)$ neutrinos, respectively.
We should stress that all our results are obtained in the approximation in which the lightest of neutrinos $\left(m_{\nu}\right)_{\text {min }}$ is heavier than the difference of squares of neutrino masses responsible for solar neutrino oscillations $\left(\left(m_{\nu}\right)_{\min } \gg \delta m_{\text {sun }}^{2}\right)$.

### 4.1. A schemes

Let us first discuss the schemes $A_{3}$ and $A_{4}$. We have

- for $A_{3}$

$$
\begin{align*}
& m_{1}=\left(m_{\nu}\right)_{\min } \\
& m_{2}=\sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{sun}}^{2}} \simeq m_{1} \\
& m_{3}=\sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{atm}}^{2}+\delta m_{\mathrm{sun}}^{2}} \simeq \sqrt{\left(m_{\nu}\right)_{\mathrm{min}}^{2}+\delta m_{\mathrm{atm}}^{2}} \tag{56}
\end{align*}
$$

- for $A_{4}$

$$
\begin{align*}
m_{1}, m_{2} & \text { as for } A_{3} \\
m_{3} & =\sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{LSND}}^{2}+\delta m_{\mathrm{sun}}^{2}} \simeq \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{LSND}}^{2}} \\
m_{4} & =\sqrt{m_{3}^{2}+\delta m_{\mathrm{atm}}^{2}} \simeq m_{3} . \tag{57}
\end{align*}
$$

Using the relation

$$
\min \left|z_{1}+z_{2}+z_{3}+z_{4}\right|=\left\{\begin{array}{l}
\left|z_{3}+z_{4}\right|_{\min }-\left|z_{1}+z_{2}\right|_{\max }>0  \tag{58}\\
0 \\
\left|z_{1}+z_{2}\right|_{\min }-\left|z_{3}+z_{4}\right|_{\max }>0
\end{array}\right.
$$

we get for both schemes

$$
\left|\left\langle m_{\nu}\right\rangle\right|_{\min }= \begin{cases}s_{\min }-\left(\left|U_{e 1}\right|^{2} m_{1}+\left|U_{e 2}\right|^{2} m_{2}\right) & \left(m_{\nu}\right)_{\min } \in\left(0, x_{1}^{A}\right)  \tag{59}\\ 0 & \left(m_{\nu}\right)_{\min } \in\left(x_{1}^{A}, x_{2}^{A}\right) \\ \left|\left|U_{e 1}\right|^{2} m_{1}-\left|U_{e 2}\right|^{2} m_{2}\right| \mid-s_{\max } & \left(m_{\nu}\right)_{\min }>x_{2}^{A}\end{cases}
$$

where

$$
\begin{align*}
& s_{\min }=s_{\max }=c_{e} m_{3}, \quad\left(A_{3}\right) \text { scheme }  \tag{60}\\
& s_{\min }=\left|\left|U_{e 3}\right|^{2} m_{3}-\left|U_{e 4}\right|^{2} m_{4}\right|, \quad\left(A_{4}\right) \text { scheme }  \tag{61}\\
& s_{\max }=\left|U_{e 3}\right|^{2} m_{3}+\left|U_{e 4}\right|^{2} m_{4}, \quad\left(A_{4}\right) \text { scheme } \tag{62}
\end{align*}
$$

$x_{1}^{A}$ and $x_{2}^{A}$ are the values of $\left(m_{\nu}\right)_{\min }=m_{1}>0$ for which

$$
\begin{equation*}
s_{\min }-\left(\left|U_{e 1}\right|^{2} m_{1}+\left|U_{e 2}\right|^{2} m_{2}\right)=0 \text { and }\left|\left|U_{e 1}\right|^{2} m_{1}-\left|U_{e 2}\right|^{2} m_{2}\right|-s_{\max }=0 \tag{63}
\end{equation*}
$$

respectively.

In both schemes there is (in agreement with Eq. (37) we take $\left|U_{e 1}\right|^{2}>$ $\left|U_{e 2}\right|^{2}$ )

$$
\begin{equation*}
\left|U_{e 1}\right|^{2} m_{1}+\left|U_{e 2}\right|^{2} m_{2}=\left(m_{\nu}\right)_{\min }\left(1-c_{e}\right) \tag{64}
\end{equation*}
$$

and

$$
\begin{equation*}
\left|\left|U_{e 1}\right|^{2} m_{1}-\left|U_{e 2}\right|^{2} m_{2}\right|=\left(m_{\nu}\right)_{\min }\left(1-c_{e}\right) \sqrt{1-\sin ^{2} 2 \theta_{\text {sun }}} . \tag{65}
\end{equation*}
$$

In the $A_{4}$ scheme we do not know $\left|U_{e 3}\right|^{2}$ and $\left|U_{e 4}\right|^{2}$ separately and only approximate values for $s_{\text {max }}$ can be found

$$
\begin{equation*}
s_{\max }=\left|U_{e 3}\right|^{2} m_{3}+\left|U_{e 4}\right|^{2} \sqrt{m_{3}+\delta m_{\mathrm{atm}}^{2}} \approx c_{e} \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{LSND}}^{2}} \tag{66}
\end{equation*}
$$

The $s_{\text {min }}$ is unknown so the region of $\left(m_{\nu}\right)_{\min } \in\left(0, x_{1}^{A}\right)$ cannot be checked precisely. We can find however that in both schemes $\left(\delta m^{2}=\delta m_{\text {atm }}^{2}\right.$ or $\delta m_{\text {LSND }}^{2}$ )

$$
\begin{align*}
\left|\left\langle m_{\nu}\right\rangle\right|_{\min } & \leq s_{\max }-\left|U_{e 1}\right|^{2} m_{1}-\left|U_{e 2}\right|^{2} m_{2} \\
& =c_{e} \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m^{2}}-\left(m_{\nu}\right)_{\min }\left(1-c_{e}\right) \\
& <\left\{\begin{array}{l}
c_{e} \sqrt{\delta m_{\mathrm{atm}}^{2}} \approx 0.002 \mathrm{eV} \text { for } A_{3}, \\
c_{e} \sqrt{\delta m_{\mathrm{LSND}}^{2}} \approx 0.03 \mathrm{eV} \text { for } A_{4} .
\end{array}\right. \tag{67}
\end{align*}
$$

The region $\left(m_{\nu}\right)_{\min }>x_{2}^{A}$ is more interesting. In both schemes this region occurs if

$$
\begin{equation*}
\left(m_{\nu}\right)_{\min }\left(1-c_{e}\right) \sqrt{1-\sin ^{2} 2 \theta_{\mathrm{sun}}}-c_{e} \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m^{2}} \geq 0 \tag{68}
\end{equation*}
$$

from which the condition for $\sin ^{2} 2 \theta_{\text {sun }}$ follows

$$
\begin{equation*}
\sin ^{2} 2 \theta_{\mathrm{sun}} \leq \frac{1-2 c_{e}}{\left(1-c_{e}\right)^{2}} \tag{69}
\end{equation*}
$$

For such values of mixing angle $\theta_{\text {sun }}$ we can find $x_{2}^{A}$

$$
\begin{equation*}
x_{2}^{A}=\frac{\delta m^{2} c_{e}}{\sqrt{\left(1-c_{e}\right)^{2}\left(1-\sin ^{2} 2 \theta_{\mathrm{sun}}\right)-c_{e}}} \tag{70}
\end{equation*}
$$

### 4.2. B schemes

For $B_{3}$ and $B_{4}$ schemes the neutrino masses are connected with the lightest neutrino mass as follows:

- for $B_{3}$

$$
\begin{align*}
& m_{1}=\left(m_{\nu}\right)_{\min } \\
& m_{2}=\sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{atm}}^{2}}  \tag{71}\\
& m_{3}=\sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{atm}}^{2}+\delta m_{\mathrm{sun}}^{2}} \approx m_{3}
\end{align*}
$$

- for $B_{4}$

$$
\begin{align*}
& m_{1}, m_{2} \text { as in } B_{3} \\
& m_{3}=\sqrt{\left(m_{\nu}\right)_{\mathrm{min}}^{2}+\delta m_{\mathrm{atm}}^{2}+\delta m_{\mathrm{LSND}}^{2}}  \tag{72}\\
& m_{4}=\sqrt{m_{3}^{2}+\delta m_{\mathrm{sun}}} \approx m_{3}
\end{align*}
$$

Using the relation (58) we obtain

$$
\left|\left\langle m_{\nu}\right\rangle\right|_{\min }=\left\{\begin{array}{l}
w_{\min }-\left(\left|U_{e 3}\right|^{2} m_{3}+\left|U_{e 4}\right|^{2} m_{4}\right)>0, \quad\left(m_{\nu}\right)_{\min } \in\left(0, x_{1}^{B}\right)  \tag{73}\\
0, \quad\left(m_{\nu}\right)_{\min } \in\left(x_{1}^{B}, x_{2}^{B}\right) \\
\left|\left|U_{e 3}\right|^{2} m_{3}-\left|U_{e 4}\right|^{2} m_{4}\right|-w_{\max }, \quad\left(m_{\nu}\right)_{\min }>x_{2}^{B}
\end{array}\right.
$$

where $\left(c_{e}=\left|U_{e 1}\right|^{2}\right.$ or $\left.\left|U_{e 1}\right|^{2}+\left|U_{e 2}\right|^{2}\right)$
$x_{1}^{B}$ and $x_{1}^{B}$ are solutions of the equations

$$
\begin{equation*}
w_{\min }-\left(\left|U_{e 3}\right|^{2} m_{3}+\left|U_{e 4}\right|^{2} m_{4}\right)=0, \text { and }\left|\left|U_{e 3}\right|^{2} m_{3}-\left|U_{e 4}\right|^{2} m_{4}\right|-w_{\max }=0 \tag{77}
\end{equation*}
$$

respectively.
Now there is (once more we assume $\left|U_{e 3}\right|>\left|U_{e 4}\right|$ )

$$
\begin{gather*}
\left|U_{e 3}\right|^{2} m_{3}+\left|U_{e 4}\right|^{2} m_{4}=\left(1-c_{e}\right) \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m^{2}}, \text { and }  \tag{78}\\
\left|\left|U_{e 3}\right|^{2} m_{3}-\left|U_{e 4}\right|^{2} m_{4}\right|=\left(1-c_{e}\right) \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m^{2}} \sqrt{1-\sin ^{2} 2 \theta_{\mathrm{sun}}} \tag{79}
\end{gather*}
$$

where $\delta m^{2}=\delta m_{\mathrm{atm}}^{2}\left(\right.$ for $\left.B_{3}\right)$ and $\delta m^{2}=\delta m_{\mathrm{atm}}^{2}+\delta m_{\mathrm{LSND}}^{2}$ for $B_{4}$. As $0 \leq c_{e} \leq 0.05$
$w_{\min }-\left(\left|U_{e 3}\right|^{2} m_{3}+\left|U_{e 4}\right|^{2} m_{4}\right)<c_{e}\left(m_{\nu}\right)_{\min }-\left(1-c_{e}\right) \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{atm}}^{2}}<0$,
and the first two regions in Eq. (73) are not present. In the $B_{4}$ scheme, as in the $A_{4}$, we do not know $\left|U_{e 1}\right|^{2}$ and $\left|U_{e 2}\right|^{2}$ separately.

For $w_{\max }$ only the bound can be found

$$
\begin{equation*}
c_{e}\left(m_{\nu}\right)_{\min }<w_{\max }<c_{e} \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{atm}}^{2}} \tag{81}
\end{equation*}
$$

In this case the $\left|\left\langle m_{\nu}\right\rangle\right|$ satisfies
$\left|\left\langle m_{\nu}\right\rangle\right|_{\min } \geq\left(1-c_{e}\right) \sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m^{2}} \sqrt{1-\sin ^{2} 2 \theta_{\operatorname{sun}}}-c_{e} m_{\min } \equiv f\left[\left(m_{\nu}\right)_{\min }\right]$
where $m_{\min }=\left(m_{\nu}\right)_{\min }$ for $B_{3}$ and $m_{\min }=\sqrt{\left(m_{\nu}\right)_{\min }^{2}+\delta m_{\mathrm{atm}}^{2}}$ for $B_{4}$.
If condition (69) is satisfied $f\left[\left(m_{\nu}\right)_{\min }\right]$ is an increasing function of $\left(m_{\nu}\right)_{\min }$, if not, $f\left[\left(m_{\nu}\right)_{\min }\right]$ decreases from

$$
\begin{align*}
& f[0]=\left(1-c_{e}\right) \sqrt{\delta m^{2}} \sqrt{1-\sin ^{2} 2 \theta_{\operatorname{sun}}}-c_{e} m_{\min }\left[\left(m_{\nu}\right)_{\min }=0\right] \\
& \text { for }\left(m_{\nu}\right)_{\min }=0 \tag{83}
\end{align*}
$$

to

$$
\begin{align*}
& f\left[\left(m_{\nu}\right)_{\min }\right]=0 \\
& \text { for }\left(m_{\nu}\right)_{\min }=\left[\frac{\delta m^{2}\left(1-\sin ^{2} 2 \theta_{\operatorname{sun}}\right)-\frac{c_{e}^{2}}{\left(1-c_{e}\right)^{2}} m_{\min }\left[\left(m_{\nu}\right)_{\min }=0\right]}{\sin ^{2} 2 \theta_{\operatorname{sun}}-\frac{\left(1-2 c_{e}\right)}{\left(1-c_{e}\right)^{2}}}\right]^{1 / 2} \tag{84}
\end{align*}
$$

All the above analytical considerations lead us to the following conclusions (for plots see [9]).
A) Present bound $\left|\left\langle m_{\nu}\right\rangle\right|<0.2 \mathrm{eV}$. (see Fig. 3)

- If SMA MSW solution is the proper mechanism then:
- $B_{4}$ scheme is excluded for Majorana neutrinos,
- In schemes $A_{3}, A_{4}$ and $B_{3}$ Majorana neutrinos are accepted if $\left(m_{\nu}\right)_{\min }<0.22 \mathrm{eV}$. Above this mass all three schemes are open only for Dirac neutrinos.
- For LMA and LOW MSW solutions:
- the $A_{3}, A_{4}$ and $B_{3}$ schemes accept Majorana neutrinos only for $\left(m_{\nu}\right)_{\min }<1.5 \mathrm{eV}$, an analogous limit in the $B_{4}$ scheme is $\left(m_{\nu}\right)_{\text {min }}<1.1 \mathrm{eV}$.
B) If GENIUS I gives only a bound $\left|\left\langle m_{\nu}\right\rangle\right|<0.02 \mathrm{eV}$ : (see Fig. 3)
- For SMA MSW solution:
- scheme $B_{3}$ is excluded for Majorana neutrinos,
- in schemes $A_{3}$ and $A_{4}$ Majorana neutrinos are accepted only for small masses $\left(m_{\nu}\right)_{\min }<0.04 \mathrm{eV}$.
- For LMA and LOW solutions:
- the $B_{4}$ scheme is excluded for Majorana neutrinos,
- Majorana neutrinos can exist for $\left(m_{\nu}\right)_{\min }<0.16 \mathrm{eV}\left(A_{3}\right)$, $\left(m_{\nu}\right)_{\min }<0.14 \mathrm{eV}\left(B_{3}\right)$ and $\left(m_{\nu}\right)_{\min }<0.22 \mathrm{eV}\left(A_{4}\right)$.


Fig. 3. Upper limits on the mass of the lightest of Majorana neutrinos derived from present (left) and GENIUS I (right) $(\beta \beta)_{0 \nu}$ experimental bounds for different neutrino mass schemes and various solar neutrino oscillation solutions. The gray shaded area shows the allowed mass region for this neutrino. The HDM area applies in the three neutrino case only. We can see that Genius I with HDM solve the problem of neutrinos' nature in this case.
C) If finally GENIUS II does not find the $(\beta \beta)_{0 \nu}$ decay (see Fig. 4):


Fig. 4. Even more restricted area allowed for the mass of the lightest Majorana neutrino in the case of GENIUS II.

- For SMA MSW solution:
- Majorana neutrinos with $\left(m_{\nu}\right)_{\min } \leq 0.02 \mathrm{eV}$ can exist only in the $A_{3}$ and $A_{4}$ schemes
- For LMA MSW and LOW solutions
- the $B_{3}$ scheme is excluded for Majorana neutrinos,
- Majorana neutrinos can exist only in $A_{3}$ and $A_{4}$ schemes with $\left(m_{\nu}\right)_{\min }<0.05 \mathrm{eV}$ and $\left(m_{\nu}\right)_{\min }<0.12 \mathrm{eV}$, respectively.

There are additional restrictions with assumption that neutrinos contribute to the dark matter content. Three neutrinos with almost degenerate masses $m_{\nu} \sim 0.7 \mathrm{eV}(2 \mathrm{eV})$ must exist if $\sum m_{\nu} \approx 2 \mathrm{eV}(6 \mathrm{eV})$. This means that already the present $(\beta \beta)_{0 \nu}$ bound closes all schemes for three Majorana neutrinos if the SMA solution is the proper one. The GENIUS I bound will close schemes for three Majorana neutrinos. For schemes $A_{4}$ and $B_{4}$ with a sterile neutrino $\left(m_{\nu}\right)_{\min }$ must be very small if $\sum m_{\nu} \simeq 2 \mathrm{eV}$ and $\left(m_{\nu}\right)_{\min } \approx 1.0$ if $\sum m_{\nu} \approx 6 \mathrm{eV}$. Then the only scheme with one sterile neutrino is accepted if the sum of all Majorana neutrinos is approximately 2 eV .

If $\sum m_{\nu} \sim 6 \mathrm{eV}$ and GENIUS I will give negative results only 3 or 4 Dirac neutrinos can constitute the HDM. In such case there is a problem how to explain the number of neutrino degrees of freedom from the abundance of the ${ }^{4} \mathrm{He}$ and $\mathrm{D} / \mathrm{N}$. The present highest bound is $N_{\nu}<5.3$ [42].

## 5. Conclusions

We have entered an exciting era in neutrino physics. Mixing in the lepton sector seems to be established. An obvious consequence of this fact is the nonconservation of lepton family number $L_{\alpha}$. Breaking of the $L_{\alpha}$ is very weak. It is seen only in neutrino oscillation and in no other terrestrial laboratory experiments. The problem of the conservation or violation of the total lepton number $L$, which is connected with the Dirac or Majorana neutrino nature, is not solved up to now. Approximate conservation of $L_{\alpha}$ and $L$ follows from (i) smallness of neutrino masses, (ii) ultrarelativistic character of produced neutrinos, (iii) unitarity (exact or approximate) of the mixing matrix, (iv) left-handed nature of the neutrino interaction.

For Majorana neutrinos this approximate $L_{\alpha}$ and $L$ conservation can be proved even though lepton numbers are not defined for neutral particles.

The Majorana neutrino mass matrix elements $m_{\alpha \beta}(\alpha, \beta=e, \mu, \tau)$ are bounded by various experiments. Such bounds are usually in the $\mathrm{MeV}-\mathrm{GeV}$ range. Only one element $m_{e e}$ is limited with good enough precision to play a role in the reconstruction of the mixing in the lepton sector. The $m_{e e}$ element is measured in double $\beta$ decay of various nuclei. Up to now this decay has not been observed. The contrary would establish the Majorana nature of neutrinos. However the combination of various information about masses and mixing matrix elements from (i) oscillation experiments, (ii) tritium $\beta$ decay and (iii) cosmology together with $(\beta \beta)_{0 \nu}$ is able to discriminate between the accepted neutrino mass spectra allowed for Majorana or only for Dirac neutrinos. The data are not precise enough to make conclusive statements. The bound on $\left\langle m_{\nu}\right\rangle$ depends strongly on the determination of nuclear matrix elements. Our estimation was made with $95 \%$ CL. At $3 \sigma$ which corresponds to $99 \%$ CL, a value of one for $\sin ^{2} 2 \theta_{\text {sun }}$ is accepted and we cannot make any discrimination between the two natures.

Our estimation is interesting also for those who strongly believe that neutrinos are Majorana particles. We found the corner of the mass schemes where such neutral particles are still allowed. With the present experimental precision the room for the Majorana neutrino is bounded but still large. If next $(\beta \beta)_{0 \nu}$ experiments give negative results the Majorana neutrino corner will become smaller and smaller. More precise informations about (i) existence of sterile neutrino, (ii) which solution of the solar neutrino anomaly is accepted and (iii) knowledge of oscillation parameters with smaller error
are urgently needed. We hope that future (already working and planned) experiments will provide us with these informations and together with the neutrino mass scheme, the neutrino character will be established.

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[^1]:    ${ }^{1}$ For Dirac neutrinos there are actually two independent neutrino mixing matrices in left- and right-handed charged currents [16]. Even for Majorana neutrinos these could be in principle different (as is e.g. the case of see-saw type models where the light neutrino mixing matrix in the right-handed current is dumped by the heavy neutrino mass scale). These simplifications do not spoil the general idea given here.

