

MAGNETIC ORDER IN TRANSITION METAL OXIDES WITH ORBITAL DEGREES OF FREEDOM*

ANDRZEJ M. OLEŚ

Institute of Physics, Jagellonian University,
Reymonta 4, 30-059 Kraków, Poland
e-mail: amoles@if.uj.edu.pl

(Received June 21, 2001)

We investigate the frustrated magnetic interactions in cubic transition metal oxides with orbital degeneracy. The e_g orbitals order easier and their ordering explains the A -type antiferromagnetic phase in KCuF_3 and LaMnO_3 . In t_{2g} systems the magnetic order changes at a transition from an orbital liquid to orbital ordered states. The fluctuations of t_{2g} orbitals play a prominent role in LaVO_3 and YVO_3 , where they compete with the Jahn-Teller effect and trigger the C -type antiferromagnetic order.

PACS numbers: 75.10.Jm, 71.27.+a, 75.30.Et, 75.30.Vn

1. Spin-orbital physics in transition metal oxides

Large on-site Coulomb interactions $\propto U$ in transition metal oxides suppress charge fluctuations and lead to the (partial) localization of d electrons which consequently interact by effective superexchange interactions. When such localized electrons occupy degenerate orbital states, one has to consider orbital degrees of freedom at equal footing with electron spins [1]. The importance of the orbital degrees of freedom in such systems has been emphasized long ago for cuprates [2] and for V_2O_3 [3], when it was also realized that FerroMagnetic (FM) superexchange could be induced by the Hund's exchange interaction $\propto J_H$ [4], but only recently it has been fully appreciated that *orbital physics* leads to several novel and interesting phenomena.

The superexchange which involves the orbital degrees of freedom is described by the so-called spin-orbital models [5], and is typically *highly frustrated* even on a cubic lattice [6]. Although this frustration might even lead to the collapse of magnetic (or orbital) long-range order in the limit of weak

* Presented at the XII School of Modern Physics on Phase Transitions and Critical Phenomena, Łądek Zdrój, Poland, June 21–24, 2001.

J_H , in real e_g systems it is largely suppressed by $J_H/U \simeq 0.12$ [7], where U is the intraorbital interaction, and structural phase transitions stabilize a particular ordering of occupied orbitals, supporting the A -type AntiFerro-magnetic (AF) order. Here we show that this happens even in the absence of the Jahn-Teller (JT) effect in the e_g systems with degenerate orbitals filled either by one hole (KCuF_3) [8], or by one electron (LaMnO_3) [9].

The transition metal oxides with partly filled t_{2g} orbitals are even more fascinating. The quantum phenomena are here more important and stabilize the *coherent orbital liquid* ground state in the spin $S = 1/2$ Mott-insulator LaTiO_3 [10], which preserves the cubic symmetry and explains the observed isotropic G -type AF order [11]. In vanadium compounds rather involved spin-orbital models, which describe coexisting AF and FM interaction, were recently introduced for LiVO_2 [12] and V_2O_3 [13]. The superexchange is again frustrated in cubic systems, and C -type AF order, observed both in LaVO_3 at $T = 0$ [14] and in YVO_3 for $77 < T < 114$ K [15], can be explained as supported by quantum one-dimensional (Q1D) orbital fluctuations [16].

2. Magnetic and orbital order in cuprates and manganites

Conceptually the simplest realistic spin-orbital model can be derived for d^9 ions interacting on a cubic lattice, as in KCuF_3 . The charge excitations $d_i^9 d_j^9 \Rightarrow d_i^8 d_j^{10}$ lead to: one high spin 3A_2 state, and two low-spin 1E and 1A_1 states [8]. The energy spectrum in Fig. 1(a) is obtained from the model Hamiltonian which includes the on-site U and J_H interactions for degenerate d orbitals [17], and reproduces the exact spectrum [18]. The superexchange

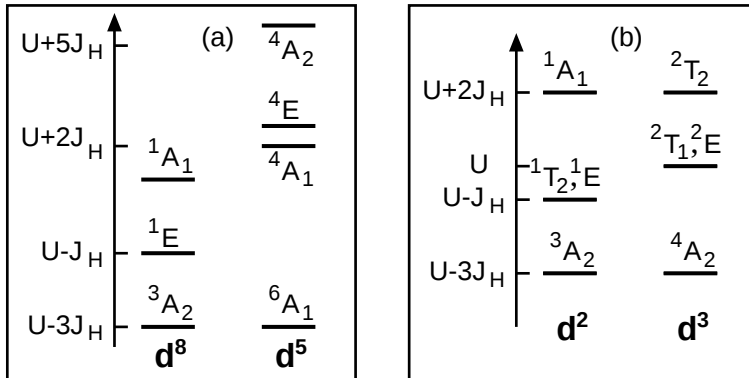


Fig. 1. Excitation spectra in cubic transition metal oxides for: (a) e_g systems: Cu^{3+} (d^8) and Mn^{2+} (d^5) ions; (b) t_{2g} systems: Ti^{2+} (d^2) and V^{2+} (d^3) ions.

is $\propto J_e = t_\sigma^2/U$, where t_σ is the largest hopping element between two $3z^2 - r^2$ orbitals along the c axis (note that this is a natural unit for the anisotropic hopping between e_g orbitals [5]), and is given by

$$\mathcal{H}(d^9) = J_e \sum_{\gamma} \sum_{\langle ij \rangle || \gamma} \left[\left(\vec{S}_i \cdot \vec{S}_j + \frac{1}{4} \right) \hat{J}_{ij}^{(\gamma)}(d^9) + \hat{K}_{ij}^{(\gamma)}(d^9) \right], \quad (1)$$

where $\vec{S}_i$ are spin $S = 1/2$ operators. The operator expressions:

$$\hat{J}_{ij}^{(\gamma)}(d^9) = (2 + \eta p_2 - \eta p_3) \mathcal{P}_{\langle ij \rangle}^{\zeta\zeta} - \eta(3p_1 - p_2) \mathcal{P}_{\langle ij \rangle}^{\zeta\xi}, \quad (2)$$

$$\hat{K}_{ij}^{(\gamma)}(d^9) = - \left[1 + \frac{\eta}{2}(3p_1 + p_2) \right] \mathcal{P}_{\langle ij \rangle}^{\zeta\xi} - \left[1 + \frac{\eta}{2}(p_2 - p_3) \right] \mathcal{P}_{\langle ij \rangle}^{\xi\xi}, \quad (3)$$

describe spin and orbital superexchange, with $\eta = J_H/U$, $p_1 = 1/(1 - 3\eta)$, $p_2 = 1/(1 - \eta)$, and $p_3 = 1/(1 + \eta)$. It depends on orbital operators:

$$\mathcal{P}_{\langle ij \rangle}^{\zeta\xi} = \left(\frac{1}{2} + \tau_i^\gamma \right) \left(\frac{1}{2} - \tau_j^\gamma \right) + \left(\frac{1}{2} - \tau_i^\gamma \right) \left(\frac{1}{2} + \tau_j^\gamma \right), \quad (4)$$

$$\mathcal{P}_{\langle ij \rangle}^{\xi\xi} = 2 \left(\frac{1}{2} - \tau_i^\gamma \right) \left(\frac{1}{2} - \tau_j^\gamma \right), \quad (5)$$

which project on the orbital states, being either parallel to the bond $\langle ij \rangle$ direction on one site ($P_{i\zeta} = \frac{1}{2} - \tau_i^\gamma$) and perpendicular on the other ($P_{j\xi} = \frac{1}{2} + \tau_j^\gamma$), or parallel on both sites. They are represented by the orbital operators τ_i^α associated with the three cubic axes ($\gamma = a, b$, or c),

$$\tau_i^{a(b)} = -\frac{1}{4}\sigma_i^z \pm \frac{\sqrt{3}}{4}\sigma_i^x, \quad \tau_i^c = \frac{1}{2}\sigma_i^z, \quad (6)$$

where the σ 's are Pauli matrices acting on: $|x\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|z\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$, which transform as $|x\rangle \propto x^2 - y^2$ and $|z\rangle \propto (3z^2 - r^2)/\sqrt{3}$.

In LaMnO₃ the superexchange couples *total spins* $S = 2$ at the d^4 Mn³⁺ ions and originates from the charge excitations, $d_i^4 d_j^4 \rightleftharpoons d_i^3 d_j^5$ [9]. The e_g part, following from $d_i^4 d_j^4 \rightleftharpoons d_i^3(t_{2g}^3)d_j^5(t_{2g}^3e_g^2)$ processes, involves FM terms due to the high-spin 6A_1 state, and AF terms due to the low-spin states: 4A_1 , 4E , and 4A_2 [Fig. 1(a)], and is orbital dependent. By contrast, the t_{2g} part $\propto j_t \simeq 0.09$, which follows from $d_i^4 d_j^4 \rightleftharpoons d_i^3(t_{2g}^3)d_j^5(t_{2g}^4e_g)$ excitations, is purely AF and nearly orbital independent. Both terms together give

$$\mathcal{H}(d^4) = J_e \sum_{\gamma} \sum_{\langle ij \rangle || \gamma} \left[(\vec{S}_i \cdot \vec{S}_j + 4) \hat{J}_{ij}^{(\gamma)}(d^4) + \hat{K}_{ij}^{(\gamma)}(d^4) \right], \quad (7)$$

where the exchange interactions depend on the multiplet structure,

$$\hat{J}_{ij}^{(\gamma)}(d^4) = \frac{1}{8} \left[1 - \frac{4}{3} \eta (q_3 + 2q_4) \right] \mathcal{P}_{\langle ij \rangle}^{\zeta\zeta} - \frac{1}{30} \eta \left(9q_1 + \frac{9}{4} q_2 + 5q_3 \right) \mathcal{P}_{\langle ij \rangle}^{\zeta\xi} + j_t, \quad (8)$$

with $q_1 = 1/(1 - 3\eta)$, $q_2 = 1/(1 + 2\eta)$, $q_3 \simeq 1/(1 + 8\eta/3)$, and $q_4 \simeq 1/(1 + 16\eta/3)$ [18]. The orbital part $\hat{K}_{ij}^{(\gamma)}(d^4)$ is given in Ref. [9].

Both d^9 model and d^4 model at $j_t = 0$ describe strongly frustrated superexchange in the limit of $J_H \rightarrow 0$, which takes a universal form,

$$\mathcal{H}_e^{(0)} = J_e \sum_{\gamma} \sum_{\langle ij \rangle || \gamma} \left[\left(\frac{1}{S^2} \vec{S}_i \cdot \vec{S}_j + 1 \right) \left(\frac{1}{2} - \tau_i^{\gamma} \right) \left(\frac{1}{2} - \tau_j^{\gamma} \right) - 1 \right]. \quad (9)$$

Several classical phases have the same energy of $-3J_e$ per site at this point [6]: the G -AF phases with arbitrary occupation of orbitals, and A -AF phases with $\langle (\frac{1}{2} - \tau_i^{\gamma})(\frac{1}{2} - \tau_j^{\gamma}) \rangle = 0$, as obtained for staggered planar orbitals along two cubic directions, *e.g.* for $x^2 - y^2/y^2 - z^2$ along a and b axes. The model (9) is qualitatively different from the idealized $SU(4)$ -symmetric case [19] due to the directionality of e_g orbitals.

At finite J_H the degeneracy of classical phases is removed, and the A -AF phase is stable, with two-sublattice alternating orbital order in both cuprate (1) and manganite (7) model, $|\imath\mu\sigma\rangle = \cos\theta_i |i\kappa\sigma\rangle \pm \sin\theta_i |i\chi\sigma\rangle$, where $\pm$ refers to $i \in A(B)$ sublattice. In the cuprates the orbital order, given by $\cos 2\theta = (1 - \eta/2)/(2 + 3\eta)$, induces FM interactions J_{ab} within the (a, b) planes, and AF interactions J_c between them [5]. The AF interactions

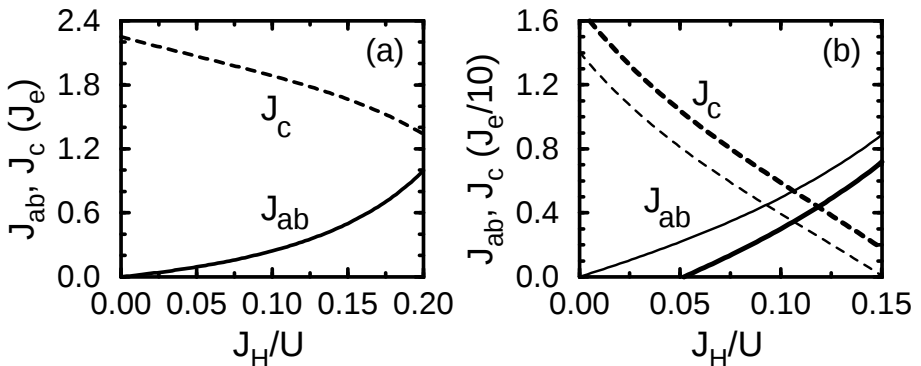


Fig. 2. Exchange constants FM J_{ab} (solid lines) and AF J_c (dashed lines) in A -AF phase of e_g systems as functions of J_H/U for: (a) cuprates (KCuF₃); (b) manganites (LaMnO₃), for: $j_t = 0$ (thin lines) and $j_t = 0.09$ (heavy lines).

determined in mean field decrease with increasing J_H/U [Fig. 2(a)], but still dominate at realistic $J_H/U \simeq 0.12$ [7], explaining why the excitation spectra of KCuF_3 are dominated by Q1D spin excitations of $S = 1/2$ spin chains [20].

Although the orbital order found in the manganite model (7) at $J_H/U = 0$ is again $x^2 - z^2/y^2 - z^2$, and the A-AF phase is stable, the situation is here *qualitatively* different as J_{ab} and J_c change much faster with increasing J_H/U [Fig. 2(b)], and have similar values in LaMnO_3 ($J_H/U \simeq 0.117$ [7]), demonstrating the proximity to ferromagnetism which is indeed observed in doped manganites [1, 5]. Including the (smaller) t_{2g} interactions one finds a somewhat enhanced tendency towards antiferromagnetism, with the G-AF (A-AF) phase stable for $J_H/U < 0.05$ ($J_H/U > 0.05$). In order to explain quantitatively the experimental ratio $J_c/J_{ab} \simeq 0.7$ in LaMnO_3 , one has to include also the JT effect which stabilizes the orbital order closer to $(|x\rangle + |z\rangle)/(|x\rangle - |z\rangle)$ alternation [9]. This modification of the orbital ordering changes not only the effective magnetic interactions, but also considerably reduces the scattering of a hole on spin excitations in LaMnO_3 [21].

3. Orbital fluctuations in t_{2g} systems

As in the d^9 case, the excitation spectra of d^2 and d^3 ions in the t_{2g} subspace [18], shown in Fig. 1(b), may be faithfully reproduced with a model Hamiltonian [17] containing only two parameters: U and J_H , with J_H standing now for the Hund's element between two t_{2g} orbitals (which is somewhat smaller than that between two e_g orbitals [18]). As usually, the excitation energy to high-spin (3A_2 and 4A_2) states is $U - 3J_H$, while the energy of the next (low-spin) excited states is either $U - J_H$ for d^2 ions ($^1T_2, ^1E$), or U for d^3 ions ($^2T_1, ^2E$), respectively. The highest excitation energy of $U + 2J_H$ is the same for d^2 (1T_1) and d^3 (2T_2) ions.

Each t_{2g} orbital is orthogonal to one of the cubic axes, so we label them as a, b , and c (for instance, xy orbitals are labelled as c). The superexchange interactions $\propto J = 4t^2/U$ follow from the hopping between two orbitals *active along a given direction* γ , for instance between the pairs of a and b orbitals along the c axis. Therefore, it is convenient to define pseudospin operators, $\vec{\tau}_i = \{\tau_i^x, \tau_i^y, \tau_i^z\}$, which act in the subspace spanned by two active orbital flavors [10, 16]. For instance, for a bond $\langle ij \rangle \parallel c$, these operators are: $\tau_i^+ = a_i^\dagger b_i$, $\tau_i^- = b_i^\dagger a_i$, $\tau_i^z = \frac{1}{2}(n_{ia} - n_{ib})$, and $n_i^{(c)} = n_{ia} + n_{ib}$, where $\{a_i^\dagger, b_i^\dagger\}$ are Schwinger bosons for a and b orbitals, respectively.

The model for titanates follows from the $d_i^1 d_j^1 \rightleftharpoons d_i^0 d_j^2$ processes,

$$\mathcal{H}(d^1) = J \sum_{\gamma} \sum_{\langle ij \rangle \parallel \gamma} \left[\left(\vec{S}_i \cdot \vec{S}_j + \frac{1}{4} \right) \hat{J}_{ij}^{(\gamma)}(d^1) + \hat{K}_{ij}^{(\gamma)}(d^1) \right], \quad (10)$$

with the exchange constants between $S = 1/2$ spins,

$$\begin{aligned} \hat{J}_{ij}^{(\gamma)}(d^1) &= 2 (\vec{\tau}_i \cdot \vec{\tau}_j + \frac{1}{4} n_i n_j)^{(\gamma)} \\ &+ \frac{1}{2} \eta \left[(-3r_1 + r_2)(n_{ia} n_{jb} + n_{ib} n_{ja} + n_{ic} + n_{jc} - n_{ic} n_{jc}) \right. \\ &\left. + (3r_1 + r_2)(\tau_i^+ \tau_j^- + \tau_i^- \tau_j^+) + \frac{8}{3}(r_2 - r_3)(\tau_i^z \tau_j^z + \frac{1}{4} n_i n_j) \right]^{(\gamma)}, \end{aligned} \quad (11)$$

depending on: $r_1 = 1 - 3\eta$, $r_2 = 1 - \eta$, $r_3 = 1 + 2\eta$, while $\hat{K}_{ij}^{(\gamma)}(d^1)$ stands for purely orbital interactions. *A priori*, the magnetic interactions are anisotropic, and may be either AF or FM, depending on the orbital correlations. In the limit of $J_H/U = 0$ the Hamiltonian (10) takes the form,

$$\mathcal{H}^{(0)} = \frac{1}{2} J \sum_{\gamma} \sum_{\langle ij \rangle \parallel \gamma} \left[\left(\frac{1}{S^2} \vec{S}_i \cdot \vec{S}_j + 1 \right) (\vec{\tau}_i \cdot \vec{\tau}_j + \frac{1}{4} n_i n_j)^{(\gamma)} - \frac{4}{3} S \right], \quad (12)$$

and shows again a strong frustration of superexchange interactions [10]. Although formally it resembles the SU(4)-symmetric spin-orbital models [19] even more than Eq. (9), the pseudospin operators $\vec{\tau}_i$ have here a different meaning and refer to different orbital flavors for each cubic direction γ . One may also notice a certain analogy with the models of valence bond solids [22], but this analogy is again only partial, as the formation of orbital singlets in all directions simultaneously is impossible.

In the Mean Field Approach (MFA) the G -AF phase is degenerate with FM phases, if $\langle \vec{\tau}_i \cdot \vec{\tau}_j + \frac{1}{4} n_i n_j \rangle^{(\gamma)} = 0$, as realized for alternating orbitals (*e.g.* for staggered a/b orbitals). Such FM states, with anisotropic exchange constants: J_{Fa} and J_{Fc} along a (b) and c axis [Fig. 3(a)], respectively, would be favored classically at finite J_H . However, the quantum fluctuations take over, remove the anisotropy, and stabilize the *orbital liquid* state, if the JT interactions are weak [10]. Indeed, the spin-wave spectrum of LaTiO₃ is nearly isotropic [11], showing that the orbital moments of t_{2g} ions are fully quenched [10]. Increasing J_H almost does not change the exchange constants J_{AF} evaluated using the MFA in this state [Fig. 3(a)].

The superexchange interactions between $S = 1$ spins in LaVO₃ [16],

$$\mathcal{H}(d^2) = J \sum_{\gamma} \sum_{\langle ij \rangle \parallel \gamma} \left[\left(\vec{S}_i \cdot \vec{S}_j + 1 \right) \hat{J}_{ij}^{(\gamma)}(d^2) + \hat{K}_{ij}^{(\gamma)}(d^2) \right], \quad (13)$$

follow from the $d_i^2 d_j^2 \Rightarrow d_i^1 d_j^3$ processes active on the bonds, with

$$\begin{aligned} \hat{J}_{ij}^{(\gamma)}(d^2) &= \frac{1}{2} \left[(1 + 2\eta R) \left(\vec{\tau}_i \cdot \vec{\tau}_j + \frac{1}{4} n_i n_j \right) \right. \\ &\left. - \eta r \left(\tau_i^z \tau_j^z + \frac{1}{4} n_i n_j \right) - \frac{1}{2} \eta R (n_i + n_j) \right]^{(\gamma)}, \end{aligned} \quad (14)$$

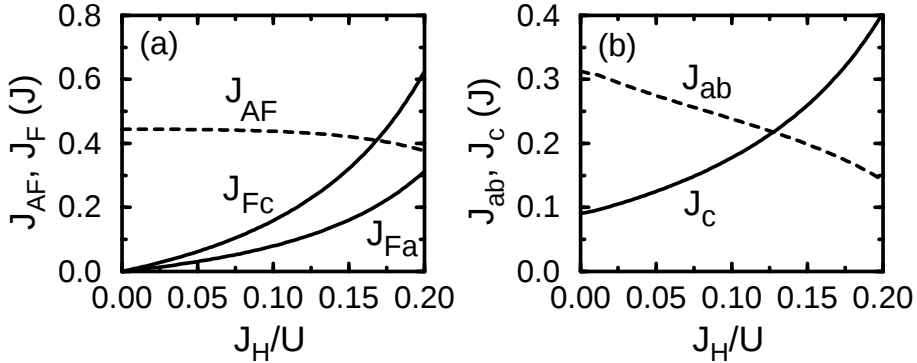


Fig. 3. Exchange constants as functions of J_H/U for t_{2g} systems: (a) G -AF (J_{AF} , dashed line) and FM (J_{Fa} and J_{Fc} , solid lines) phase in titanates; (b) AF J_{ab} (dashed line) and FM J_c (solid line) for C -AF phase in vanadates (LaVO_3).

and the orbital term $\hat{K}_{ij}^{(\gamma)}(d^2)$ given in Ref. [16]. The coefficients $R = 1/(1 - 3\eta)$ and $r = 1/(1 + 2\eta)$ follow from the multiplet structure of d^3 ions [Fig. 1(b)]. In the limit of $J_H \rightarrow 0$ one finds again the frustrated superexchange (12). While the orbital liquid cannot stabilize in this case, orbital singlets may form along the c direction when c orbitals have condensed ($n_{ic} = 1$) and the a and b orbitals fluctuate. This gives a *novel mechanism of ferromagnetic interactions* which operates already in the limit of $J_H = 0$ and gets amplified at finite J_H [16].

The exchange constants within the (a, b) planes and along the c axis:

$$J_{ab} = \frac{1}{4}J \left[1 - \eta(R + r) + (1 + 2\eta R - \eta r) \langle n_{ia} n_{ja} \rangle^{(b)} \right], \quad (15)$$

$$J_c = \frac{1}{2}J \left[(1 + 2\eta R) \langle \vec{\tau}_i \cdot \vec{\tau}_j + \frac{1}{4} \rangle^{(c)} - \eta r \langle \tau_i^z \tau_j^z + \frac{1}{4} \rangle^{(c)} - \eta R \right], \quad (16)$$

are given by orbital correlations. Their values at $\eta = 0$ were obtained from the Bethe Ansatz for a Q1D Heisenberg chain, while the orbital wave spectrum, $\omega_k^C = [\Delta^2 + R^2(1 - \cos^2 k)]^{1/2}$, with a gap

$$\Delta = \{\eta(R + r)[2R + \eta(R + r)]\}^{1/2}, \quad (17)$$

was used at finite J_H [16]. As a result, one finds increasing FM (J_c) and decreasing AF (J_{ab}) exchange constants with increasing J_H [Fig. 3(b)], and both interactions have similar values in LaVO_3 at $J_H/U \simeq 0.15$ [7].

While the cubic structure of LaVO_3 is almost undistorted [14], YVO_3 has a distorted structure, and a and b orbitals stagger in the (a, b) planes and repeat themselves along the c axis [15]. Such ordering can be promoted by the JT effect term which lowers the energy by $-2V$ on the bonds along

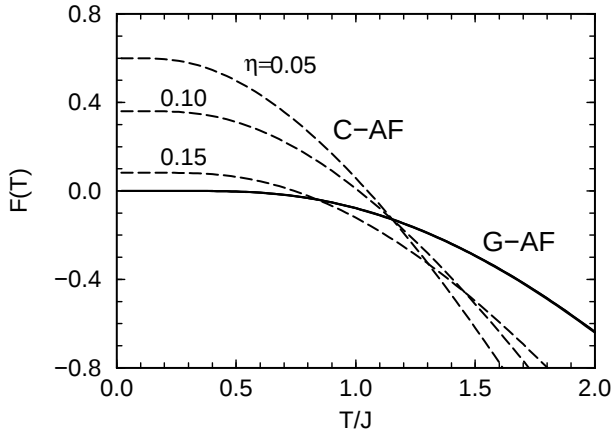


Fig. 4. Free energies $F(T) = \langle \mathcal{H}(d^2) \rangle - TS$ (in units of J) of: G -AF phase obtained with the JT interaction $V = 0.65J$ (solid line), and C -AF phase for $\eta = 0.05, 0.10$ and 0.15 (dashed lines), as functions of temperature T/J (after Ref. [16]).

the c axis when a (b) orbitals are repeated in the C -type orbital ordered state [16]. Finite $V > 0$ lowers the energy of the G -phase, but the entropy $\mathcal{S}$ determined by orbital excitations increases faster in the C -phase, and thus induces a transition from G -AF to C -AF order around $T^* \simeq 0.8J$ (Fig. 4), reproducing qualitatively the first order transition observed in YVO_3 [15].

4. Summary and open problems

In summary, the transition metal oxides with orbital degrees of freedom show a very fascinating behavior, with various types of magnetic and *orbital order*. While e_g orbitals usually order and explain A -AF phases, further stabilized by the JT effect, the t_{2g} orbitals have a generic tendency towards disorder, which leads to the orbital liquid in the isotropic G -AF phase in $LaTiO_3$. In cubic vanadates the JT interactions compete with the *orbital disorder*, and the Q1D orbital fluctuations stabilize the C -AF phase in $LaVO_3$, and also in YVO_3 at finite temperatures. A better understanding of these fluctuations is required to explain quantitatively the observed phase transitions and the strong reduction of the magnetic order parameter in $LaVO_3$ and YVO_3 . This problem is as urgent as the theoretical understanding of the colossal magnetoresistance in the manganites.

It is a pleasure to thank Lou-Fe' Feiner, Peter Horsch, Giniyat Khaliullin, and Jan Zaanen for a friendly collaboration on this subject and for numerous stimulating discussions. This work was supported by the Polish State Committee for Scientific Research (KBN), Project no. 5 P03B 055 20.

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