

# TOWARDS THERMAL EVOLUTION OF THE MOST GENERAL 2HDM

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We consider the scalar sector of the most general renormalizable two-Higgs-doublet model at non-zero temperature. We calculate the largest finite temperature corrections to the free-energy density and study thermal evolution of the ground state. Within the approximation chosen, we establish all possible sequences of thermal phase transitions and study their relation with the symmetries of the model. We show, in particular, that a charge-breaking or a CP-violating vacuum can arise at intermediate stages of thermal evolution, and that the first-order phase transition is associated with a discrete symmetry of the potential, but not of the entire scalar Lagrangian.

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## 1. Introduction

### 1.1. *Why general 2HDM?*

The two-Higgs-doublet model (2HDM) is one of the simplest beyond-the-Standard-Model extensions of the Higgs mechanism of the electroweak symmetry breaking, [1,2]. In this model one introduces two doublets of Higgs fields  $\phi_1$  and  $\phi_2$ , which interact with the matter fields and also self-interact via an appropriate Higgs potential.

When constructing the Higgs potential of 2HDM, one encounters many free parameters, which are not constrained by experiment. Extensive studies conducted over past decades have shown that playing even with a small subset of these free parameters one can get a rich spectrum of models with different and quite remarkable phenomenological consequences (see examples in [2]).

Still, there is something to worry about in this zoo of particular versions of 2HDM. First, it has been noted that in certain cases seemingly different 2HDM models lead to very similar, and sometimes identical, physics. These sporadic observations evolved later into the concept of reparametrization-invariance (or Higgs-basis-invariance) of the model. Understanding began to grow that it is the set of *basis-invariant features* of the theory, and not the entire set of free parameters, that really shapes the phenomenology. Papers [3,4] were driven precisely by the search for an efficient basis-invariant description of the general 2HDM. However, a method how to extract these basis-invariant features from the conventional parametrization of the potential remained elusive for a long time; search for basis-invariant conditions for the explicit CP violation conducted in [5] nicely illustrates this kind of difficulties.

Second, starting from the very first paper on this subject, [1], there has always been a general understanding that extra symmetries of the 2HDM Lagrangian have a profound effect on the resulting phenomenology. Indeed, majority of papers on 2HDM were centered around the concepts of CP violation in the scalar sector, or additional  $Z_2$  or  $U(1)$  symmetries of the potential. It has been noted that such symmetries can be implemented in seemingly different ways, still leading to similar physics, see *e.g.* recent analysis of generalized CP-transformations in [6]. However, a complete list of possible symmetries and their phenomenological consequences was very difficult to establish in a traditional approach and was missing until very recently.

These issues left many researchers wondering if the most general treatment of 2HDM can be carried through at all. If that were possible, one could generate the exhaustive list of possibilities offered by two Higgs doublets, gain a deeper understanding of various particular versions of 2HDM and clarify relations among them. This would also become a useful playground for model-builders, who wanted to construct even more complicated Higgs sectors with predefined properties.

In addition, one should not forget the Minimal Supersymmetric Standard Model (MSSM), which has always been an important motivation for the study of 2HDM. Although the Higgs potential in MSSM is very constrained by the supersymmetry, with several relations among its parameters, supersymmetry-breaking loop corrections will lift them. Thus, as stated in [3], the Higgs sector of the (broken) MSSM can be described by an effective field theory consisting of the most general 2HDM.

Thus, the most general 2HDM with no *a priori* restriction on its free parameters should be viewed as a useful *tool* rather than a viable approximation to the reality, and it is definitely worth studying in as much detail as possible.

### 1.2. Problems with the general 2HDM

The problem with the general 2HDM is that it cannot be worked out with straightforward algebra. The obstacle arises at the very first step: when minimizing the Higgs potential, one arrives at algebraic equations of sixth order, which cannot be solved in the general case. In this situation, any method that would give any non-trivial insight into the most general 2HDM is very welcome.

Recently, in [7–9] a geometric approach was developed that allows one to analyze many features of the most general 2HDM circumventing the algebraic difficulty. This approach led to proof of various coexistence theorems, classification of the number and symmetry properties of the minima of the potential, and eventually to the complete description of the phase diagram of the scalar sector of 2HDM. All this was done without explicitly computing the exact position of the global minimum of the potential.

Those results constituted the very first step towards the phenomenology of the most general 2HDM. In this paper we make another step: we analyze how the ground state of the model changes at non-zero temperature. We calculate thermal corrections to the Higgs potential in the lowest non-trivial approximation (first order in  $\lambda_i$  and enhanced by  $T^2$ -factor), and study the thermal phase transitions that take place. It is remarkable that this, too, can be done without explicitly minimizing the free-energy density.

We stress once again that it is not our aim here to provide a phenomenologically viable approximations of reality. What we do here is we develop the only formalism available so far that works for the most general 2HDM, hoping that its results will be used later in more phenomenology-oriented studies.

Thermal field theory is a very developed branch of QFT. Thermal phase transitions in multicomponent scalar field theories have been, of course, studied previously, see *e.g.* [10–15]. A detailed analysis of the Higgs potential at finite temperature in 2HDM was conducted for example in [14, 15]. We also draw reader’s attention to the paper [16] where an attempt was made to describe the phase diagram of the 2HDM and study the phase transitions possible, which we find unsatisfactory and do not support some of its claims, see details below. However, the authors of all of these works, even though starting with rather general 2HDM, quickly focus on some rather simple cases, which could be analyzed by simple algebra. Thus, the most general 2HDM at finite temperature has never been analyzed up to now in any approximation.

The structure of the paper is the following. In Section 2 we briefly review the geometric approach to the most general 2HDM. Then, in Section 3 we describe the phase diagram of the scalar part of the model. In Section 4 we analyze thermal evolution of the ground state and list possible sequences

of phase transitions. In Section 5 we discuss how symmetries of 2HDM are related with possible thermal phase transitions. Discussion and conclusions are presented in Sections 6 and 7.

## 2. Brief overview of the formalism

In this work we focus on the scalar sector of 2HDM. The Higgs potential of the most general renormalizable 2HDM,  $V_H = V_2 + V_4$ , is conventionally parametrized as

$$\begin{aligned} V_2 = & -\frac{1}{2} \left[ m_{11}^2 (\phi_1^\dagger \phi_1) + m_{22}^2 (\phi_2^\dagger \phi_2) + m_{12}^2 (\phi_1^\dagger \phi_2) + m_{12}^{2*} (\phi_2^\dagger \phi_1) \right], \\ V_4 = & \frac{\lambda_1}{2} (\phi_1^\dagger \phi_1)^2 + \frac{\lambda_2}{2} (\phi_2^\dagger \phi_2)^2 + \lambda_3 (\phi_1^\dagger \phi_1) (\phi_2^\dagger \phi_2) + \lambda_4 (\phi_1^\dagger \phi_2) (\phi_2^\dagger \phi_1) \\ & + \frac{1}{2} \left[ \lambda_5 (\phi_1^\dagger \phi_2)^2 + \lambda_5^* (\phi_2^\dagger \phi_1)^2 \right] + \left\{ \left[ \lambda_6 (\phi_1^\dagger \phi_1) + \lambda_7 (\phi_2^\dagger \phi_2) \right] (\phi_1^\dagger \phi_2) + \text{h.c.} \right\}. \quad (1) \end{aligned}$$

It contains 14 free parameters: real  $m_{11}^2, m_{22}^2, \lambda_1, \lambda_2, \lambda_3, \lambda_4$  and complex  $m_{12}^2, \lambda_5, \lambda_6, \lambda_7$ . As a result the 2HDM has a very rich phenomenology even at tree level. However, different points in this 14-dimensional parameter space do not necessarily correspond to distinct physics. Indeed, if we perform any linear transformation between doublets  $\phi_1$  and  $\phi_2$ , we arrive at the same generic potential with redefined coefficients, which still corresponds to the same set of observables. Thus, the problem of minimizing the Higgs potential has a reparametrization invariance, with reparametrization group  $\text{GL}(2, \mathbb{C})$ . This property is an extension of an earlier identification of rephrasing  $\text{U}(1)$ , and unitary reparametrization  $\text{U}(2)$ , invariance of the model, [3, 4, 19, 20]; it was developed in detail in [8, 9] and helped prove several properties of the general 2HDM. In this section we will briefly review this formalism.

It starts with the observation of the internal Minkowski-space structure behind 2HDM. We first introduce the four-vector  $r^\mu = (r_0, r_i) = (\Phi^\dagger \Phi, \Phi^\dagger \sigma^i \Phi)$ , where  $\Phi = (\phi_1, \phi_2)^T$  is a 2-dimensional vector of Higgs doublets and  $\sigma^i$  are the Pauli matrices. This four vector is gauge invariant and parametrizes the gauge orbits in the space of the Higgs fields.

The general reparametrization group  $\text{GL}(2, \mathbb{C})$  can be written as  $\mathbb{C}^* \times \text{SL}(2, \mathbb{C})$ , where  $\mathbb{C}^*$  is the group of simultaneous multiplication of both  $\phi_i$  with the same complex number, while  $\text{SL}(2, \mathbb{C})$  is the special linear transformation group containing all unit-determinant transformation matrices. Multiplication of both doublets by the same number gives a freedom to arbitrarily rescale  $r^\mu$ , however, it does not modify the structure of the Higgs potential. The special linear group, on the contrary, leads to non-trivial transformations of the Higgs potential, and in subsequent analysis we focus on it.

Transformations of  $\Phi$  under the special linear group  $SL(2, \mathbb{C})$  corresponds to the  $SO(1, 3)$  transformations of  $r^\mu$ , equipping the gauge orbit space with the Minkowski-space structure. It follows from the definition of  $r^\mu$  that

$$\begin{aligned} r_0 &= (\phi_1^\dagger \phi_1) + (\phi_2^\dagger \phi_2) \geq 0, \\ r^\mu r_\mu &= 4 \left[ (\phi_1^\dagger \phi_1)(\phi_2^\dagger \phi_2) - (\phi_1^\dagger \phi_2)(\phi_2^\dagger \phi_1) \right] \geq 0. \end{aligned} \quad (2)$$

Note that  $r^\mu r_\mu > 0$  is possible thanks to the fact that  $\phi_1$  and  $\phi_2$  are themselves electroweak doublets, and the last line of (2) is just the Schwarz inequality written for  $\phi_i$ . Thus, the physically realizable vectors  $r^\mu$  populate not the entire 1 + 3-dimensional Minkowski space, but the future lightcone. The Higgs potential in the  $r^\mu$ -space can be written in a very compact form:

$$V = -M_\mu r^\mu + \frac{1}{2} A_{\mu\nu} r^\mu r^\nu. \quad (3)$$

Here the four-vector  $M_\mu$  is built from parameters  $m_{ij}^2$  in (1), while the symmetric four-tensor  $A_{\mu\nu}$  is constructed from the quartic coefficients  $\lambda_i$ . Their explicit expressions as well as some properties can be found in [8, 9]. Here we just note the most important property of  $A_{\mu\nu}$ : it can always be diagonalized by a specific  $SO(1, 3)$  transformation of the  $r^\mu$ -space, and after diagonalization it takes form

$$A_{\mu\nu} = \text{diag}(A_0, -A_1, -A_2, -A_3) \quad \text{with} \quad A_0 > 0, \quad A_0 > A_i, \quad i = 1, 2, 3, \quad (4)$$

where the inequalities among the eigenvalues result from the positivity constraint on the potential. The minus signs in front of the “space-like” eigenvalues arise from the pseudo-euclidean metric in the orbits space.

It is known that the potential (3) can have three types of minima: (i) the electroweak (EW) conserving, (ii) the EW-breaking but charge conserving (*i.e.* neutral), and (iii) the EW- and charge-breaking ones. One can use the v.e.v.s of the two doublets  $\langle \phi_i \rangle$  to construct  $\langle r^\mu \rangle$ . Then, the three type of minima correspond to: (i)  $\langle r^\mu \rangle = 0$  (the apex of the forward lightcone  $LC^+$ ), (ii)  $\langle r^\mu \rangle \neq 0$  but  $\langle r^\mu \rangle \langle r_\mu \rangle = 0$  (the surface of  $LC^+$ ), (iii)  $\langle r^\mu \rangle \neq 0$  and  $\langle r^\mu \rangle \langle r_\mu \rangle > 0$  (the interior of  $LC^+$ ).

The position of the charge-breaking extremum  $\langle r_\nu \rangle_{\text{ch}}$  is given by the following coupled equations:

$$A^{\mu\nu} \langle r_\nu \rangle_{\text{ch}} = M^\mu, \quad (5)$$

If  $A^{\mu\nu}$  is not singular, a solution of this system always exists and is unique. However, solutions realizable in the 2HDM, *i.e.* such that  $\langle r_\nu \rangle_{\text{ch}}$  lies inside the forward lightcone, exist only if  $M^\mu$  lies inside some cone with the apex at the origin. If this is the case, then an additional requirement ( $A_i < 0$ ,  $i =$

1, 2, 3, *i.e.* the tensor  $\Lambda_{\mu\nu}$  is positive-definite in the entire space of non-zero vectors  $r^\mu$ ) guarantees that the charge-breaking extremum is a minimum, [8]. If, on the contrary, at least one of  $\Lambda_i$  is positive, then the charge-breaking extremum is a saddle point.

The positions of all neutral extrema  $\langle r^\mu \rangle$  are the solutions of the following coupled equations:

$$\Lambda^{\mu\nu} \langle r_\nu \rangle - \zeta \langle r^\mu \rangle = M^\mu, \quad \langle r^\mu \rangle \langle r_\mu \rangle = 0, \quad (6)$$

where  $\zeta$  is a Lagrange multiplier. This system can have up to six solutions. To distinguish a (local) minimum from other types of extrema one needs to use more involved tools, for example, geometric methods developed in [8,9]. These methods allowed to prove that the generic potential has at most two local minima in the entire orbit space (not just on the surface of the cone), while the other extrema are saddle points.

Finally, the Higgs potential (1) must be accompanied by the kinetic term, which can also be written covariantly:

$$K = K_\mu \rho^\mu, \quad \rho^\mu = (D_\alpha \Phi)^\dagger \sigma^\mu (D^\alpha \Phi), \quad (7)$$

where  $D_\alpha$  is the covariant derivative,  $\alpha$  denotes the usual space-time coordinates, while  $\mu$ , as before, denotes the coordinate in the orbit space. Note that reparametrization transformation properties of  $\rho^\mu$  are the same as  $r^\mu$ . In the default frame,  $K^\mu = (1, 0, 0, 0)$ . Upon an  $SO(1, 3)$  transformation,  $K^\mu$  acquires non-zero “space-like” coordinates, however the condition  $K^\mu K_\mu = 1$  is always satisfied (if we considered  $GL(2, \mathbb{C})$  transformations of the fields instead of  $SL(2, \mathbb{C})$ , this would not hold anymore; however, we do not use the rescaling subgroup of  $GL(2, \mathbb{C})$  just because the  $SL(2, \mathbb{C})$  group itself is sufficient in order to describe the phases and the sequences of possible phase transitions of 2HDM). The four-vector  $K^\mu$  is not involved in the search for the minimum of the potential, however it affects the mass matrix at this minimum.

This generalized kinetic term effectively incorporates the non-diagonal kinetic term, which, as was argued in [21], must be introduced in the initial Lagrangian to restore renormalizability of the model.

### 3. The phase diagram of the scalar sector of 2HDM

Before studying thermal evolution of the 2HDM vacuum, let us explicitly describe the phase diagram of the zero-temperature 2HDM. Here we use results from [9,22] but slightly reformulate them in anticipation of the study of thermal evolution.

Note that whenever we say “phase transition” in this section, we imply zero-temperature phase transition, that is, transitions from one phase to another upon continuous change of the parameters of the potential. We will

classify such zero-temperature phase transitions as the “second-order” and “first-order” ones according to whether the order parameter (the position of the global minimum of the potential) changes continuously or not.

We first switch to the  $\Lambda_{\mu\nu}$ -diagonal frame. We will focus here on the generic situation, in which all the “space-like” eigenvalues  $\Lambda_i$  are different. The phases can be then represented as regions in the  $M^\mu$ -space in this frame.

The structure of the phase diagram depends on the sign of  $M_0$ .

### 3.1. The lower half-space

In the lower half-space of  $M^\mu$ , *i.e.* with  $M_0 \leq 0$ , the phase diagram is extremely simple.

- If  $M^\mu M_\mu \geq 0$ , the only extremum of the potential is the minimum at the origin. Thus, the past lightcone in the  $M^\mu$ -space is the EW-symmetric phase.
- If  $M^\mu M_\mu < 0$ , *i.e.*  $M^\mu$  lies outside the past lightcone but still in the lower half-space, then there exists a unique non-zero neutral extremum, which is necessarily the global minimum.

Thus, in the lower half-plane we have only one surface of phase transitions, the past lightcone, at which the EW-breaking/restoring phase transition takes place.

### 3.2. The upper half-space

For  $M_0 > 0$ , the phase diagram is much richer. To describe it, let us introduce the 3-vector  $\mathbf{m}_i$ ,  $i = 1, 2, 3$ :

$$\mathbf{m}_i = \frac{1}{M_0}(M_1, M_2, M_3) \quad (8)$$

and show the phase diagram in the  $\mathbf{m}_i$ -space.

There are two generic cases to consider, which are shown in Fig. 1.

#### 3.2.1. All $\Lambda_i < 0$

The condition  $\Lambda_1, \Lambda_2, \Lambda_3 < 0$  is the necessary condition for the charge-violating minimum to exist. Whether this minimum is indeed realized, depends on  $\mathbf{m}_i$ , *i.e.* on the point of the phase diagram. Indeed, in the  $\Lambda_{\mu\nu}$ -diagonal frame, Eq. (5) can be rewritten as

$$\Lambda_0 \langle r_0 \rangle = M_0, \quad \Lambda_i \langle r_i \rangle = M_i. \quad (9)$$

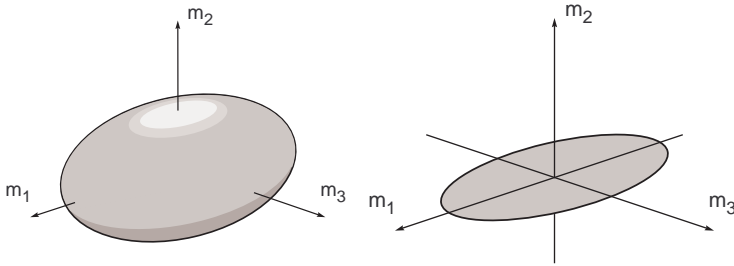


Fig. 1. The phase diagram in the  $\mathbf{m}_i$ -space. Left: all  $\Lambda_i < 0$ ; the ellipsoid separates the charge-breaking and the neutral vacua. Right:  $\Lambda_2$  is positive and greater than  $\Lambda_1$  and  $\Lambda_3$ . Inside the dark ellipse the discrete symmetry of the potential  $V$  is spontaneously broken.

Since the solution  $\langle r^\mu \rangle$  must lie inside the forward lightcone, one obtains that the charge-breaking phase in the phase diagrams lies inside the ellipsoid

$$\frac{\mathbf{m}_1^2}{a_1^2} + \frac{\mathbf{m}_2^2}{a_2^2} + \frac{\mathbf{m}_3^2}{a_3^2} < 1, \quad \text{where} \quad a_i = \frac{|\Lambda_i|}{\Lambda_0}, \quad i = 1, 2, 3, \quad (10)$$

shown in Fig. 1, left.

If  $\mathbf{m}_i$  lies outside ellipsoid (10), then the vacuum is neutral. The surface of the ellipsoid is the locus of the critical points of the phase diagram, at which the second order charge-breaking or charge-restoring phase transition takes place.

### 3.2.2. At least one $\Lambda_i > 0$

If at least one  $\Lambda_i$  is positive, then the minimum always corresponds to a neutral vacuum and its position satisfies equations (6). Writing  $\langle r^\mu \rangle$  as  $r_0(1, n_1, n_2, n_3)$  with  $|\vec{n}| = 1$ , one can eliminate the Lagrange multiplier from (6) to arrive at the following system of equations:

$$[M_0 - (\Lambda_0 - \Lambda_i)r_0]n_i = M_i, \quad i = 1, 2, 3. \quad (11)$$

The requirement that  $\vec{n}$  is a unit vector can be written as

$$\sum_i \frac{\mathbf{m}_i^2}{\left(1 - r_0 \frac{\Lambda_0 - \Lambda_i}{M_0}\right)^2} = 1. \quad (12)$$

This is a sixth order equation for  $r_0$ .

In a general case, the system cannot be solved exactly. However, the geometric approach of [8, 9] still allows one to understand the structure of the phase diagram.



First, if  $\mathbf{m}_i$  lies on one of the principal planes, then the potential has an additional discrete symmetry. The solutions of (11) can either conserve or violate this symmetry. The necessary and sufficient condition for violation of this symmetry, *e.g.* in the case  $\mathbf{m}_2 = 0$ , are

$$\frac{m_1^2}{b_1^2} + \frac{m_3^2}{b_3^2} < 1, \quad \text{where} \quad b_i = \frac{\Lambda_2 - \Lambda_i}{\Lambda_0 - \Lambda_2}, \quad i = 1, 3. \quad (13)$$

Thus, symmetry-violating extrema appear, if  $\mathbf{m}_i$  lies inside a specific ellipse on one of the principal planes.

In [8, 22] it was proved that these symmetry-violating extrema are minima, if and only if the corresponding eigenvalue of  $\Lambda_{\mu\nu}$  ( $\Lambda_2$  for  $\mathbf{m}_2 = 0$ ) is positive and is the biggest of all  $\Lambda_i$ . So, when constructing the phase diagram, we should first identify the largest eigenvalue and then consider only the ellipse that lies in the plane orthogonal to the corresponding eigenvector, as it is shown in Fig. 1, right. For points lying strictly inside the ellipse two different degenerate minima exist. In both of them the discrete symmetry is spontaneously broken<sup>1</sup>. For points in the  $(\mathbf{m}_1, \mathbf{m}_3)$  plane outside the ellipse only one minimum exists and the discrete symmetry is preserved. So, if  $\mathbf{m}_i$  lies strictly on the plane and moves from outside into the ellipse, a symmetry-breaking second-order phase transition takes place.

The points just above ( $\mathbf{m}_2 > 0$ ) or just below ( $\mathbf{m}_2 < 0$ ) the ellipse have two minima at different depths. So, if  $\mathbf{m}_i$  lies above the ellipse and moves through it downwards, the relative depth between the two minima changes sign, and a first-order phase transition takes place<sup>2</sup>. Thus, the *interior* of the ellipse is the locus of the first-order phase transitions, while its *boundary* is the locus of the second-order phase transitions.

Let us also discuss the phase diagram of 2HDM obtained in [16]. The authors of that paper used not the most general, but a rather restricted version of the 2HDM potential, with only 8 non-zero free parameters out of 14. The parameters  $\lambda_6$ ,  $\lambda_7$  and  $m_{12}^2$  were set to zero, so that the potential from the start was  $(Z_2)^2$ -symmetric. This choice automatically excludes any CP violation, which is the origin of the authors' surprising assertion that the relative phase between the two v.e.v.s "is not demonstrated in the particle properties".

The potential chosen in [16] was sufficiently simple to allow for straightforward minimization. Six phases were identified and classified according to the residual symmetry and the "number of condensates", *i.e.* whether  $\langle \phi_1^\dagger \phi_1 \rangle$

<sup>1</sup> With an appropriately symmetric kinetic term  $K^\mu$ , this extends to the symmetry of the whole scalar Lagrangian. The case depicted in Fig. 1, right, corresponds then to spontaneous CP violation.

<sup>2</sup> We consider here only the phase diagram at equilibrium and do not discuss the actual kinetics of this phase transition.

and  $\langle \phi_1^\dagger \phi_2 \rangle$  are non-zero. We find this picture misleading for the following reason. If one focuses on the scalar part of the theory only, then all the observables, including classification of the phases according to the properties of the ground state, must remain invariant under the group of the most general reparametrization transformations of the scalar Lagrangian. However, the classification of [16] is not reparametrization invariant. Phases II, III and IV are all related by reparametrization transformations and correspond just to the neutral vacuum, while phases V and VI correspond to the charge-breaking vacuum. This is not surprising since the form of the 2HDM potential used in [16] was rather restrictive and obscured these relations. Therefore, some of their phases do indeed correspond to truly different 2HDM phases: the governing principle is not the number of condensates, but the symmetries of the Lagrangian and of the ground state.

## 4. Thermal evolution of the ground state

### 4.1. Temperature dependence of the mass terms

Let us now consider the thermal evolution of the ground state of the model. As said in the Introduction, our motivation is not to provide a phenomenologically accurate description of thermal 2HDM, but rather to extend the developed formalism, which effectively works for the most general 2HDM, to non-zero temperatures. To this end, we stick to the following approximations:

- we consider only the scalar sector of 2HDM and neglect temperature corrections from gauge bosons and the fermions,
- we consider only the largest one-loop high-temperature corrections  $\propto \lambda_i T^2$ ,
- although the results can be trusted only at temperatures much larger than the mass parameters, we still use them to discuss the lower temperature region,  $T \sim m$ .

Although in this region our results must be strongly corrected by terms which we neglect, we still think our discussion provided a first useful glimpse at the typical patterns of phase transitions one can expect in 2HDM. It is possible that the approach used here can be developed further to remove some of these assumptions.

The largest thermal correction to the scalar  $\phi^4$ -theory comes from the hard thermal one-loop self-energy diagrams, see *e.g.* [23]. In the one-component real scalar field theory with negligible zero-temperature mass and interaction term  $\lambda\phi^4/4!$ , the thermal correction is

$$\delta m^2 = \frac{\lambda T^2}{24}.$$

In 2HDM, we have similar diagrams with various fields in the loop. In order to extract the largest,  $\propto \lambda_i T^2$  correction, we neglect mass terms in the propagators in loops, so that the scalar loops generate only a universal factor. Thus, the temperature corrections to  $m_{ij}^2$  differ only in vertex factors, and the straightforward calculation gives:

$$\begin{aligned} m_{11}^2(T) &= m_{11}^2(0) - T^2 \frac{3\lambda_1 + 2\lambda_3 + \lambda_4}{6}, \\ m_{22}^2(T) &= m_{22}^2(0) - T^2 \frac{3\lambda_2 + 2\lambda_3 + \lambda_4}{6}, \\ m_{12}^2(T) &= m_{12}^2(0) - T^2 \frac{\lambda_6 + \lambda_7}{2}. \end{aligned} \quad (14)$$

As we already said, strictly speaking, these equations are reliable only at temperatures much larger than masses of the particles in loops. At lower temperatures, the mass parameters cannot be neglected anymore, which significantly complicates the problem. However, the present study is only the first approximation to a more complete analysis of thermal 2HDM, so we adopt (14) at all temperatures and follow its physical consequences.

In the Minkowski-space formalism, one can rewrite (14) as the temperature dependence of the  $SO(1,3)$ -covariant four-vector  $M^\mu$ . Indeed, in the Appendix we show that

$$M^\mu(T) = M^\mu(0) - c^\mu T^2, \quad c_\mu = \frac{1}{12} (6A_{\mu\nu} - g_{\mu\nu} \text{Tr} A) K^\nu, \quad (15)$$

where  $\text{Tr} A \equiv A_{\mu\nu} g^{\mu\nu} = A_0 + A_1 + A_2 + A_3 = \lambda_3 - \lambda_4$ . The four-vector of kinetic terms  $K^\nu$  appears in this result due to the presence of the loop propagator. Note that  $K^\nu$  does not receive any temperature corrections within this approximation.

Expression (15) can be used in any frame. In particular, in the original frame it reproduces (14), while in the  $A_{\mu\nu}$ -diagonal frame it gives:

$$c_0 = \frac{6A_0 - \text{Tr} A}{12} K_0 = \frac{5A_0 - A_1 - A_2 - A_3}{12} K_0 > 0, \quad (16)$$

$$c_i = \frac{6A_i - \text{Tr} A}{12} K_i, \quad i = 1, 2, 3. \quad (17)$$

Note that  $c_i$  can have any sign depending on the values of  $A_i$ .

One can ask whether there is any constraint on the possible values of  $c_i$ . The answer is in the affirmative. Since  $K^\mu K_\mu = 1$ , one obtains from (15):

$$\sum_i \frac{c_i^2}{c_0^2} \left( \frac{6A_0 - \text{Tr} A}{6A_i - \text{Tr} A} \right)^2 = \sum_i \frac{K_i^2}{K_0^2} < 1. \quad (18)$$

Thus, possible  $c_i/c_0$  lie inside the ellipsoid, whose semiaxes are always smaller than 5.

Consider next  $M_0(T)$  in this frame:  $M_0(T) = M_0 - c_0 T^2$ . If  $M_0 < 0$ , it further decreases with the increasing temperature without changing its sign. Thus, the only phase transition one can have in this case is the EW-restoring phase transition.

If the initial  $M_0 > 0$ , it will change its sign at

$$T = T_* \equiv \sqrt{\frac{M_0}{c_0}}. \quad (19)$$

Then one can separate thermal evolution of the ground state into two stages, which can be dubbed the “low-temperature” ( $T < T_*$ ) and the “high-temperature” ( $T > T_*$ ) stages. Again, it is only the low-temperature stage that non-trivial phase transitions can take place, while during the high-temperature stage one can observe only the EW-restoring phase transition.

Focusing on the low-temperature stage, let us define  $\mathbf{m}_i(T)$  similarly to (8):

$$\mathbf{m}_i(T) = \frac{M_i(T)}{M_0(T)} = \left( \frac{M_1 - c_1 T^2}{M_0 - c_0 T^2}, \frac{M_2 - c_2 T^2}{M_0 - c_0 T^2}, \frac{M_3 - c_3 T^2}{M_0 - c_0 T^2} \right). \quad (20)$$

If we introduce  $x = T^2/(T_*^2 - T^2)$ , so that  $x = 0$  at zero temperature, then

$$\begin{aligned} \mathbf{m}_i(T) &= \mathbf{m}_i \left[ 1 + \left( 1 - \frac{c_i}{c_0 \mathbf{m}_i} \right) x \right], \quad \text{if } \mathbf{m}_i \neq 0, \\ \mathbf{m}_i(T) &= -\frac{c_i}{c_0} x, \quad \text{if } \mathbf{m}_i = 0. \end{aligned} \quad (21)$$

Thus, the temperature evolution  $\mathbf{m}_i(T)$  is represented on the phase diagram by a *straight ray* starting from  $\mathbf{m}_i$  at  $T = 0$  and going to infinity as  $T \rightarrow T_*$ .

It is easy to see that this result is not specific for the quadratic temperature dependence. It is based solely on the fact that the functional form of the temperature dependent correction to  $m_{ij}^2$  is the same.

#### 4.2. Sequences of thermal phase transitions

The fact that thermal evolution of  $\mathbf{m}_i$  is represented by a straight ray allows us to immediately classify possible sequences of phase transitions without any further calculation.

Depending on the eigenvalues  $\Lambda_i$ , the sign of each of the components  $\mathbf{m}_i(T)$  in (21) can either remain unchanged or can change only once at some temperature.

If the signs of all  $\mathbf{m}_i(T)$  do not change, the only phase transition one can have at growing temperature is the charge-restoring second-order phase transition, which takes place for negative  $\Lambda_i$  and  $\mathbf{m}_i$  inside the ellipsoid, or the discrete symmetry restoring phase transition, when at least one  $\Lambda_i$  is positive and  $\mathbf{m}_i$  lies inside the corresponding planar ellipse.

If one or more  $\mathbf{m}_i(T)$  change their signs, then one can have a richer spectrum of possibilities. On its way to infinity, the ray can go through various intermediate phases. In Fig. 2 we show examples of such paths for the two phase diagrams shown previously in Fig. 1. In Fig. 2, left, we show that the charge-breaking phase can be an intermediate phase of thermal evolution of the model. Fig. 2, middle, shows a path that leads to a first-order phase transition, while Fig. 2, right, demonstrates a planar ray that goes exactly through the ellipse and leads to a sequence of two second-order phase transitions: the symmetry-breaking and the symmetry-restoring ones.

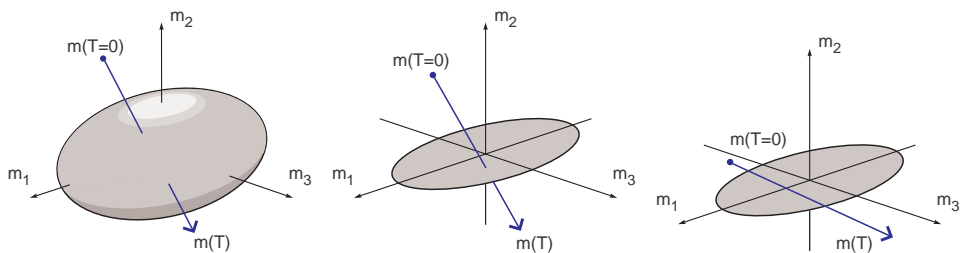


Fig. 2. Examples of the temperature evolution trajectories (blue rays) for the two phase diagrams shown in Fig. 1. In the rightmost figure the ray lies in the plane of the ellipse.

By combining various initial positions and directions of the thermal evolutions, one can easily generate a list of various sequences of phase transitions. However, from general geometric considerations (a straight line can intersect an ellipse or ellipsoid no more than twice), it follows that the longest chain of phase transitions consists of two phase transitions (followed by the electroweak phase transition at very high temperatures). Examples are shown in Fig. 2.

It can also happen that the ray barely touches but does not intersect the ellipsoid of Fig. 1, left, or the ellipse of Fig. 1, right. In such cases, the temperature evolution drives the system to a critical point and then returns back to the initial phase.

## 5. Symmetries

The scalar sector of the two-Higgs-doublet model can have various additional explicit symmetries [9, 19]. Suppose that 2HDM possesses some explicit symmetry at zero temperature. One can then ask:

- Does thermal evolution preserve this symmetry?
- How are the possible sequences of phase transitions restricted by such a symmetry?

A detailed analysis of possible symmetries of 2HDM was carried out in [9]. It was stressed there that one should distinguish between symmetries of the potential and of the entire Lagrangian, since they play different roles in the theory. A symmetry of the potential governs the vacuum structure and possible degeneracies, while a symmetry of the full Higgs Lagrangian is needed to make the mass matrix symmetric, too.

Symmetries are best explored in the  $\Lambda_{\mu\nu}$ -diagonal frame. If all  $\Lambda_i$  are different, then in this frame the quartic part of the potential has symmetry group  $Z_2$ )<sup>3</sup> generated by reflections of the three directions. If some of the spacelike components of  $M^\mu$  are zero in this frame, then the potential has some non-trivial explicit symmetry. If, in addition, the corresponding components of  $K^\mu$  are also zero, then this symmetry extends to the entire Lagrangian.

Consider now Eq. (15), which gives  $M^\mu(T)$ . It is easy to see that if at  $T = 0$  there is a symmetry of the entire Lagrangian (*i.e.* of  $\Lambda_{\mu\nu}$ ,  $M^\mu$  and  $K^\mu$ ), then it will be preserved during the entire thermal evolution. If, instead, only the Higgs potential, but not the entire Lagrangian, has some symmetry, then *generically* this symmetry is explicitly violated at non-zero temperature<sup>3</sup>. There is, however, an exceptional situation when  $\Lambda_i$  satisfy the relation  $\text{Tr} \Lambda = 6\Lambda_i$ , so that the corresponding correction coefficient  $c_i = 0$ . In this case, the symmetry of the potential is preserved during the temperature evolution.

Let us now take a closer look at the first-order phase transition, which happens when the evolution path crosses the ellipse of Fig. 2, middle. Exactly at the phase transition there are two separate degenerate minima of the Higgs potential. From Proposition 4 of [9] it follows that at this point (and only at this point!) a discrete symmetry of the potential emerges. At higher temperature this symmetry is lost again, therefore, it cannot be the symmetry of the entire Lagrangian. Thus, we arrive at the following conclusion:

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<sup>3</sup> One must, of course, keep in mind that a symmetry of the potential not shared by the kinetic term is not stable in the perturbative series. A discussion involving such a symmetry is thus restricted to the tree-level approximation of the potential.

*The first-order phase transitions are associated with points of a discrete symmetry of the potential, but not of the entire Lagrangian.*

One concludes that the symmetries which are often studied in literature, like CP or the so-called  $Z_2$ -symmetry (which is in fact a  $(Z_2)^2$ -symmetry, see [9]) cannot be associated with first-order phase transitions in 2HDM. An example of symmetry that can be associated with the first-order phase transition will be given in [17].

Of course, this result is derived only within the approximation chosen. Higher-order corrections to the free energy potential can turn some of the second-order phase transitions into the first-order ones.

Let us also discuss the phase transitions identified in [16]. Similarly to our analysis, the authors of that paper assumed that the thermal evolution can still be described by the same fourth-order free energy density, but with the coefficients depending on temperature. They, however, did not calculate this dependence, thus overlooking a possibility of electroweak symmetry non-restoration at large temperature. Among the other results, they find the possibility of first-order phase transition between the charge-breaking and the neutral vacua (in their notation, between phases IV and V). Our analysis does not leave any room for such a phase transition. The first-order phase transitions can arise only when two separate local minima coexist. However, we proved in [8] that disjoint charge-breaking and neutral minima never coexist in any 2HDM potential. Therefore, charge-breaking and charge-restoring transitions occur via a continuous change of the vacuum expectation values. The depth of the global minimum then changes smoothly, and there is no specific heat associated with such a phase transition.

In fact, within the approximation chosen a first order phase transition can only take place between the same symmetry phases (isostructural phase transition).

## 6. Discussion

### 6.1. Non-restoration of the EW symmetry

Since the seminal paper [11] of Weinberg it is known that a symmetry, global or local, can remain broken even at very high temperatures. The simplest example of such a case is given by a  $\phi^4$ -model with two real scalars, [12]. This possibility is of course present in 2HDM, as can be seen directly from Eq. (14) giving the temperature evolution of the mass terms  $m_{ij}^2(T)$ . This happens, for example, when  $\lambda_6 = \lambda_7 = 0$ , and  $3\lambda_1 + 2\lambda_3 + \lambda_4$  and  $3\lambda_2 + 2\lambda_3 + \lambda_4$  are of opposite signs. Together with the positivity conditions this typically implies that  $\lambda_1 \gg \lambda_2$  (or vice versa), with  $2\lambda_3 + \lambda_4$  sufficiently negative.

In the Minkowskian formalism, this situation takes place, when  $M^\mu(T)$  goes down in the phase diagram, but not sufficiently steeply to cross the past lightcone, *i.e.* if the four-vector  $c^\mu$  defined in (15) is spacelike,  $c^\mu c_\mu < 0$ :

$$36(\Lambda^2)_{\mu\nu}K^\mu K^\nu - 12\text{Tr}\Lambda\Lambda_{\mu\nu}K^\mu K^\nu + (\text{Tr}\Lambda)^2 < 0. \quad (22)$$

A necessary, but not sufficient, condition for this to take place is that there exists at least one negative  $\Lambda_i$ .

Note also that the electroweak symmetry is the only symmetry that might remain broken at very large temperatures. All other symmetries are unavoidably restored, as it is obvious from the analysis of the phase diagram. This generalizes the result obtained in [13] that the spontaneously broken CP-symmetry is always restored at high temperatures in the 2HDM.

### 6.2. Beyond the approximation chosen

Within the approximation chosen, the above discussion gives an exhaustive list of sequences of phase transitions possible in 2HDM. However, various additional corrections will definitely modify this picture.

Firstly, for temperatures  $T$  comparable with the mass parameters the loop integrals determining the corrections to the mass terms of the potential are not universal. As a result, the functional  $T$ -dependence of these terms is more complicated and the thermal evolution will not follow the straight rays on the phase diagram. Second, there will be temperature corrections to  $\lambda_i$ , which will result in temperature evolution of the phase diagram itself. Third, the other fields present in 2HDM will contribute to the effective potential and will affect evolution of the ground state.

It remains to be seen whether the formalism used here can be extended further to cope with these terms, still preserving the generality of the model.

## 7. Conclusions

The two-Higgs-doublet model has a rich phase diagram even in the tree-level approximation. One can expect a similarly rich spectrum of phase transitions of 2HDM at non-zero temperatures. Unfortunately, analysis of thermal evolution of the most general 2HDM has been impossible for a long time due to algebraic complexity of the problem. In this paper, armed with the recently developed geometric approach to the most general renormalizable 2HDM, we get an insight into various properties of the model at non-zero temperature.

We have computed the largest temperature corrections to the mass terms in a basis-invariant way and demonstrated that, in the approximation adopted, the thermal evolution of the ground state is described by a straight ray on



the fixed phase diagram. This allowed to establish all possible sequences of phase transitions within this approximation. Among the results that we have obtained are: the possibility to have spontaneously CP-violating or charge-violating ground states as *intermediate* stages of the thermal evolution, and the possibility to have first order phase transitions associated with a momentary restoration of a discrete symmetry of the potential, but not of the entire scalar Lagrangian.

These findings might have intriguing cosmological consequences, which will be studied in a more phenomenology-oriented paper [17], see also some preliminary discussion in [18]. The purpose of this work was just to show how to use the geometric approach to 2HDM to get insight into its thermal dynamics.

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## Appendix A

### *Derivation of the covariant temperature corrections*

Here we derive formula (15) for the temperature corrections to the mass terms in the covariant form.

We start with the Higgs Lagrangian in the tensorial form:

$$L = K^a{}_b (\partial_\mu \phi_a^*) (\partial^\mu \phi_b) + Y^a{}_b (\phi_a^* \phi_b) - Z^a{}_b{}^c{}_d (\phi_a^* \phi_b) (\phi_c^* \phi_d). \quad (\text{A.1})$$

Note also that to make the expression more symmetric, we assumed in (A.1) that all terms are written *separately*, *i.e.* instead of  $\lambda_3(\phi_1^\dagger \phi_1)(\phi_2^\dagger \phi_2)$  we write

$$\dots + \frac{1}{2} \lambda_3 (\phi_1^\dagger \phi_1) (\phi_2^\dagger \phi_2) + \frac{1}{2} \lambda_3 (\phi_2^\dagger \phi_2) (\phi_1^\dagger \phi_1) + \dots \quad (\text{A.2})$$

In contrast to the standard tensorial form, here indices  $a \dots d$  are double indices:

$$a = \{\alpha, i\}, \quad \alpha = 1, 2, \quad i = \uparrow, \downarrow.$$

The subindex  $\alpha$  labels the doublets and  $i$  labels components of the doublet  $\alpha$ . The values of  $Y^a{}_b$  and  $Z^a{}_b{}^c{}_d$  are insensitive to  $i$ :

$$\begin{aligned} K^a{}_b &\equiv K^{\{\alpha, i\}}_{\{\beta, j\}} = K^\alpha{}_\beta \cdot \delta_j^i, & Y^a{}_b &\equiv Y^{\{\alpha, i\}}_{\{\beta, j\}} = Y^\alpha{}_\beta \cdot \delta_j^i, \\ Z^a{}_b{}^c{}_d &\equiv Z^{\{\alpha, i\}}_{\{\beta, j\}}{}^{\{\gamma, k\}}_{\{\delta, m\}} = Z^\alpha{}_\beta{}^\gamma{}_\delta \cdot \delta_j^i \delta_m^k. \end{aligned} \quad (\text{A.3})$$

Then, the 1-loop correction to the self-energy is given by the contraction of the quartic coupling with the propagator, which brings in  $K^{-1}$ :

$$\begin{aligned}\delta Y^a_b &= -C \cdot (Z^a_b{}^c_d + Z^a_d{}^c_b + Z^c_b{}^a_d + Z^c_d{}^a_b) (K^{-1})^d_c \\ &= -2C (Z^a_b{}^c_d + Z^a_d{}^c_b) (K^{-1})^d_c,\end{aligned}\quad (\text{A.4})$$

where  $C = T^2/12$ . Note that summation over all possible ways of contracting indices automatically takes into account configurations with identical fields. One can then sum over intra-doublet indices  $i$ , which gives:

$$\delta Y^\alpha_\beta = -2C (2Z^\alpha_\beta{}^\gamma_\delta + Z^\alpha_\delta{}^\gamma_\beta) (K^{-1})^\delta_\gamma. \quad (\text{A.5})$$

The extra factor 2 in the first term inside the brackets comes from  $\delta_i^j \delta_i^j = 2$ . This expression is well-known, see *e.g.* Eq. (11) in [13].

The next step is to translate (A.5) to the covariant notation. If  $A$  is a Hermitian  $2 \times 2$  matrix, then one can write

$$A = a_0 \sigma^0 - a^i \sigma^i \equiv a^\mu \sigma_\mu,$$

where  $a^\mu = (a^0, a^i)$  and  $\sigma^\mu = (\sigma^0, \sigma^i)$ . The inverse matrix can be written using  $\bar{\sigma}^\mu = (\sigma^0, -\sigma^i)$ :

$$A^{-1} = \frac{a^\mu \bar{\sigma}_\mu}{a^2}, \quad a^2 = a^\mu a_\mu.$$

It follows from definitions that  $Z^\alpha_\beta{}^\gamma_\delta$  can be represented as

$$Z^\alpha_\beta{}^\gamma_\delta = \frac{1}{2} \Lambda_{\mu\nu} (\sigma^\mu)_\beta^\alpha (\sigma^\nu)_\delta^\gamma.$$

Therefore, contraction of the first term inside brackets in (A.5) gives

$$2 \cdot \frac{1}{2} \Lambda_{\mu\nu} \sigma^\mu \cdot \frac{K^\rho}{K^2} \cdot \text{Tr}(\sigma^\nu \bar{\sigma}^\rho) = 2 \Lambda_{\mu\nu} \sigma^\mu K^\nu,$$

where for clarity we suppressed the remaining indices  $\alpha$  and  $\beta$ , and we also used  $K^2 = 1$ . To calculate the contraction of the second terms, we use identity

$$\frac{1}{2} (\sigma^\mu \bar{\sigma}^\rho \sigma^\nu + \sigma^\nu \bar{\sigma}^\rho \sigma^\mu) = g^{\mu\rho} \sigma^\nu + g^{\nu\rho} \sigma^\mu - g^{\mu\nu} \sigma^\rho,$$

which gives

$$\frac{1}{2} \Lambda_{\mu\nu} \cdot \sigma^\mu \bar{\sigma}^\rho \sigma^\nu \cdot K_\rho = \frac{1}{2} (2\Lambda_{\mu\nu} - \text{Tr} \Lambda g_{\mu\nu}) \sigma^\mu K^\nu,$$

with  $\text{Tr} \Lambda = \Lambda_{\mu\nu} g^{\mu\nu} = \lambda_3 - \lambda_4$ . Together, they make

$$\delta Y^\alpha_\beta = -C (6\Lambda_{\mu\nu} - \text{Tr} \Lambda g_{\mu\nu}) K^\nu (\sigma^\mu)^\alpha_\beta.$$

Since  $\delta Y^\alpha{}_\beta = \delta M^\mu(\sigma_\mu)^\alpha{}_\beta$ , we finally get

$$\delta M_\mu = -\frac{T^2}{12} (6\Lambda_{\mu\nu} - g_{\mu\nu} \text{Tr}\Lambda) K^\nu. \quad (\text{A.6})$$

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