# GAUGE-COVARIANT DIAGONALIZATION OF $\pi a_{1}$ MIXING AND THE RESOLUTION OF A LOW-ENERGY THEOREM*** 

A.A. Osipov<br>Bogoliubov Laboratory of Theoretical Physics<br>Joint Institute for Nuclear Research, Dubna 141980, Russia<br>M.M. Khalifa<br>Moscow Institute of Physics and Technology<br>Dolgoprudny, Moscow Region 141701, Russia<br>and<br>Department of Physics, Al-Azhar University, Cairo 11751, Egypt<br>B. Hiller<br>CFisUC, Department of Physics, University of Coimbra<br>3004-516 Coimbra, Portugal

(Received June 23, 2020)

Using a recently proposed gauge covariant diagonalization of $\pi a_{1}$-mixing, we show that the low-energy theorem $F^{\pi}=e f_{\pi}^{2} F^{3 \pi}$ of current algebra, relating the anomalous form factor $F_{\gamma \rightarrow \pi^{+} \pi^{0} \pi^{-}}=F^{3 \pi}$ and the anomalous neutral pion form factor $F_{\pi^{0} \rightarrow \gamma \gamma}=F^{\pi}$, is fulfilled in the framework of the Nambu-Jona-Lasinio (NJL) model, solving a long-standing problem encountered in the extension including vector and axial-vector mesons. At the heart of the solution is the presence of a $\gamma \pi \bar{q} q$ vertex which is absent in the conventional treatment of diagonalization and leads to a deviation from the vector meson dominance (VMD) picture. It contributes to a gauge-invariant anomalous tri-axial (AAA) vertex as a pure surface term.

DOI:10.5506/APhysPolBSupp.14.187

[^0]The Wess-Zumino [1] effective action, with topological content clarified by Witten [2], describes all effects of QCD anomalies in low-energy processes with photons and Goldstone bosons, without reference to massive vector mesons. The extension to the case with spin-1 mesons is not unique, and has been addressed in different frameworks [3-5]. Important issues arise when one includes the spin-1 states. Here, we address the concept of VMD and the pseudoscalar-axial-vector mixing ( $\pi a_{1}$ mixing) of meson states. In particular, it has been shown in [4] that the complete VMD is not valid in either $\pi^{0} \rightarrow \gamma \gamma$ or $\gamma \rightarrow 3 \pi$ processes, and that mixing affects hadronic amplitudes in $[6,7]$. Therefore, one should demonstrate how the departure from VMD occurs and how $\pi a_{1}$ mixing is treated in order to comply with the predictions of the Wess-Zumino action. This is not a trivial task, in [8], it has been reported that in a number of well-known models [9-16], the $\pi a_{1}$ mixing breaks low-energy theorems (LET) for some anomalous processes, e.g., $\gamma \rightarrow 3 \pi, K^{+} K^{-} \rightarrow 3 \pi$. In [17], based on the gauge covariant treatment of $\pi a_{1}$ mixing, only recently addressed [18-22], we show precisely how the deviation of the complete VMD occurs in the framework of the NJL Lagrangian, fulfilling the LET

$$
\begin{equation*}
F^{\pi}=e f_{\pi}^{2} F^{3 \pi} \tag{1}
\end{equation*}
$$

The procedure is sufficiently general to be applied in other processes.
To be more definite, recall that the $\pi a_{1}$ diagonalization is generally performed by a linearized transformation of the axial vector field

$$
\begin{equation*}
a_{\mu} \rightarrow a_{\mu}+\frac{\partial_{\mu} \pi}{a g_{\rho} f_{\pi}} \tag{2}
\end{equation*}
$$

where $\pi=\tau_{i} \pi^{i}, a_{\mu}=\tau_{i} a_{\mu}^{i}$ and $\tau_{i}$ are the $\mathrm{SU}(2)$ Pauli matrices; $g_{\rho} \simeq$ $\sqrt{12 \pi}$ is the coupling of the $\rho$ meson to two pions, and $f_{\pi} \simeq 93 \mathrm{MeV}$ is the pion weak decay constant. In extensions of the model that couple to the electroweak sector, this replacement violates gauge invariance [18-22] in anomalous processes, leaving, however, the real part of the action invariant [20, 21]. For example, the anomalous low-energy amplitude describing the $a_{1} \rightarrow \gamma \pi^{+} \pi^{-}$decay is not transverse [18, 19]. To restore gauge invariance, the gauge covariant derivative $\mathcal{D}_{\mu} \pi$ must be used instead of $\partial_{\mu} \pi[18-22]$

$$
\begin{equation*}
a_{\mu} \rightarrow a_{\mu}+\frac{\mathcal{D}_{\mu} \pi}{a g_{\rho} f_{\pi}}, \quad \mathcal{D}_{\mu} \pi=\partial_{\mu} \pi-i e A_{\mu}[Q, \pi], \quad Q=\frac{1}{2}\left(\tau_{3}+\frac{1}{3}\right) \tag{3}
\end{equation*}
$$

In the context of the LET, $F^{\pi}=e f_{\pi}^{2} F^{3 \pi}$ mixing occurs related to both anomalous form factors, but it has been proven in [17] that the radiative decay $\pi^{0} \rightarrow \gamma \gamma$ is not affected by the mixing, and coincides with the lowenergy result of current algebra given by the Lagrangian density [1, 2]

$$
\begin{equation*}
\mathcal{L}_{\pi \gamma \gamma}=-\frac{1}{8} F^{\pi} \pi^{0} e^{\mu \nu \alpha \beta} F_{\mu \nu} F_{\alpha \beta}, \quad F^{\pi}=\frac{N_{\mathrm{c}} e^{2}}{12 \pi^{2} f_{\pi}} \tag{4}
\end{equation*}
$$

where $e$ is the electric charge, $F_{\mu \nu}=\partial_{\mu} A_{\nu}-\partial_{\nu} A_{\mu}$ stands for the strength of the electromagnetic field, $N_{\mathrm{c}}$ is the number of quark colors. The absence of mixing is seen as follows. In the NJL model, one can switch to spin-1 variables without direct photon-quark coupling, as described in the VMD picture. Then $\mathcal{L}_{\pi \gamma \gamma}$ is related to the $\pi^{0} \omega \rho$ quark triangle shown in Fig. 1 (a). At leading order of a derivative expansion, the current-algebra result $\Gamma\left(\pi^{0} \rightarrow\right.$ $\gamma \gamma)=7.1 \mathrm{eV}$ is obtained. Diagram $1(\mathrm{~b})$, due to mixing, is described by an axial-vector vector vector (AVV) Adler-Bell-Jackiw anomaly [23-26]. The related surface term (ST) which results from the difference of two linearly divergent amplitudes is a priori arbitrary. Here, this arbitrary parameter is fixed on gauge-invariant grounds of $a_{1} \rightarrow \gamma \gamma$, upon which graph 1 (b) vanishes at leading order of a derivative expansion. This complies with the Landau-Yang theorem [27, 28] which states that a massive unit spin particle cannot decay into two on-shell massless photons.
(a)

(c)

(b)

(e)


Fig. 1. (a) and (b): the two graphs describing the $\pi^{0} \rightarrow \gamma \gamma$ decay in the NJL model, (b) for $\pi a_{1}$-mixing effects on the pion line. Quark loop contributions to $\omega \rightarrow 3 \pi$ decay, (c) full set of possible diagrams without and with 1,2 , and $3 \pi a_{1}$-mixing effects on the pion line (not drawn); (d) $\rho$ exchange diagrams without and with $\pi a_{1}$ transitions. (e) contribution to $\gamma \rightarrow 3 \pi$ decay due to covariant $\pi a_{1}$ diagonalization, see (3), with pion lines subject to $\pi a_{1}$ mixing.

Effects of $\pi a_{1}$ mixing in $\gamma \rightarrow 3 \pi$ amplitudes (due to G-parity, it is sufficient to consider the isoscalar component of the photon related to $\omega \rightarrow$ $3 \pi$ ) have been studied in detail by Wakamatsu [8], using prescription (2). He found that the amplitude of the $\omega \rightarrow 3 \pi$ decay contains uncompensated contributions generated by $\pi a_{1}$ mixing, breaking the LET at the order of $1 / a^{2}$, where $a=\frac{m_{\rho}^{2}}{g_{\rho}^{2} f_{\pi}^{2}}=1.84$ and $m_{\rho}$ is the empirical mass of the $\rho$-meson. This conclusion is based on the assumption that VMD is valid.

Let us recall and complement the calculations made in [8]. The diagrams contributing to the $\omega \rightarrow 3 \pi$ decay are shown in Fig. 1 (c), (d), where we have additionally taken into account the box diagram with three $\pi a_{1}$ transitions in (c) as well as the contribution of the $\omega \rho\left(a_{1} \rightarrow \pi\right)$ vertex in the $\rho$-exchange graph (d), both neglected in [8]. The corresponding amplitude is given by

$$
\begin{equation*}
A_{\omega \rightarrow 3 \pi}=-\frac{N_{\mathrm{c}} g_{\rho}}{4 \pi^{2} f_{\pi}^{3}} e_{\mu \nu \alpha \beta} \epsilon^{\mu}(q) p_{0}^{\nu} p_{+}^{\alpha} p_{-}^{\beta} F_{\omega \rightarrow 3 \pi} \tag{5}
\end{equation*}
$$

where $p_{0}, p_{+}, p_{-}$are the momenta of the pions, $\epsilon^{\mu}(q)$ the polarization of the $\omega$-meson with momentum $q$, and the form factor $F_{\omega \rightarrow 3 \pi}$ is found to be

$$
\begin{equation*}
F_{\omega \rightarrow 3 \pi}=\left(1-\frac{3}{a}+\frac{3}{2 a^{2}}+\frac{1}{8 a^{3}}\right)+\left(1-\frac{c}{2 a}\right) \sum_{k=0,+,-} \frac{g_{\rho}^{2} f_{\pi}^{2}}{m_{\rho}^{2}-\left(q-p_{k}\right)^{2}} \tag{6}
\end{equation*}
$$

In the first parentheses, the box diagrams without, with one, two, and three $\pi a_{1}$ transitions are given correspondingly. The last term represents the contribution of $\rho$-exchange graphs, where $c$ controls the magnitude of an arbitrary local part of the anomalous AVV-quark-triangle. In the low-energy limit, the sum yields $3 / a$, as one neglects the dependence on momenta in (6), leading to full cancellation among the terms of the order of $1 / a$, as is well known [8]. The ST $c$ contributes at the order of $1 / a^{2}$. For $c=0$, we reproduce the $\pi a_{1}$-mixing effect found in [8] to this order. Had $c$ been used instead to cancel the $\pi a_{1}$-mixing effect, as $c=1+1 /(12 a)$, a too low width $\Gamma\left(\omega \rightarrow \pi^{+} \pi^{0} \pi^{-}\right)=3.2 \mathrm{MeV}$ would have been obtained as compared to experiment $\Gamma\left(\omega \rightarrow \pi^{+} \pi^{0} \pi^{-}\right)=7.57 \pm 0.13 \mathrm{MeV}$. Furthermore, the value $c=0$ is also required following [29], where the chiral Ward identities (WI) for $\gamma \rightarrow 3 \pi$ imply that both the chiral triangle and the box anomaly contribute as

$$
\begin{equation*}
A_{\gamma \rightarrow 3 \pi}^{\mathrm{tot}}=\frac{3}{2} A^{\mathrm{AVV}}-\frac{1}{2} A^{\mathrm{VAAA}} \tag{7}
\end{equation*}
$$

where $A_{\gamma \rightarrow 3 \pi}^{\mathrm{tot}}, A^{\mathrm{AVV}}$ and $A^{\mathrm{VAAA}}$ are, respectively, the total $\gamma \pi \pi \pi$ amplitude, the $\gamma \rightarrow \omega \rightarrow \pi \rho \rightarrow \pi \pi \pi$ process and the point $\gamma \rightarrow \omega \rightarrow \pi \pi \pi$ amplitude. This result is consistent with both the chiral WI and with the KSFR relation $[30,31]$, which arises in the NJL model at $a=2$. One sees from Eq. (6) that, if one neglects the terms of the order of $1 / a^{2}$ and higher in the box contribution and puts $c=0$ in the $\rho$-exchange term, the amplitude $A^{\mathrm{VAAA}}$ has a factor $(1-3 / a)=-1 / 2$, and the $A^{\mathrm{AVV}}$ amplitude has a factor $(1-c /(2 a)) 3 / a=3 / 2$, as is required by the chiral WI. On the other hand, if $c$ is chosen to cancel $\pi a_{1}$-mixing effects, these amplitudes contribute with relative weights $-7 / 64$ and $71 / 64$, respectively. Therefore, the ST $c$ cannot be used to resolve the $\pi a_{1}$-mixing puzzle, the chiral WI require $c=0$. This
pattern has been considered in $[3,5,8]$, and reproduces well the phenomenological value of the width. That allows us to conclude, following [8], that if the VMD is a valid theoretical hypothesis, the $\gamma \rightarrow \omega \rightarrow 3 \pi$ amplitude contains contributions due to $\pi a_{1}$ mixing that violate the LET (1)

$$
\begin{align*}
A_{\gamma \rightarrow 3 \pi} & =-F^{3 \pi} e_{\mu \nu \alpha \beta} \epsilon^{\mu}(q) p_{0}^{\nu} p_{+}^{\alpha} p_{-}^{\beta}  \tag{8}\\
F^{3 \pi} & =\frac{N_{\mathrm{c}} e}{12 \pi^{2} f_{\pi}^{3}}\left(1+\frac{3}{2 a^{2}}+\frac{1}{8 a^{3}}\right) \neq \frac{N_{\mathrm{c}} e}{12 \pi^{2} f_{\pi}^{3}} \tag{9}
\end{align*}
$$

In the following, we will show that it is possible to combine the phenomenologically successful value $c=0$ with a full cancellation of $\pi a_{1}$-mixing effects within the NJL approach by taking into account the anomalous AAA triangle shown in Fig. 1 (e), which occurs as result of (3)

$$
\begin{align*}
A= & \frac{N_{\mathrm{c}} e}{4 a^{3} f_{\pi}^{3}}\left\{p_{-}^{\sigma}\left[J_{\mu \nu \sigma}\left(p_{0}, p_{-}\right)-J_{\mu \sigma \nu}\left(p_{-}, p_{0}\right)\right]\right. \\
& \left.+p_{+}^{\sigma}\left[J_{\mu \nu \sigma}\left(p_{0}, p_{+}\right)-J_{\mu \sigma \nu}\left(p_{+}, p_{0}\right)\right]\right\} \epsilon^{\mu}(q) p_{0}^{\nu} \tag{10}
\end{align*}
$$

The low-energy expansion of the loop integral $J_{\mu \nu \sigma}$ starts from a linear term

$$
\begin{equation*}
J_{\mu \nu \sigma}\left(p_{0}, p_{-}\right)=\frac{1}{24 \pi^{2}} e_{\mu \nu \sigma \rho}\left(p_{0}-p_{-}-3 v\right)^{\rho}+\ldots \tag{11}
\end{equation*}
$$

Owing to the shift ambiguity related to the formal linear divergence of this integral, the result depends on the undetermined 4 -vector $v_{\rho}$

$$
\begin{equation*}
A=-\frac{N_{\mathrm{c}} e}{4 \pi^{2} f_{\pi}^{3}} e_{\mu \nu \sigma \rho} \epsilon^{\mu}(q) p_{0}^{\nu}\left(p_{+}+p_{-}\right)^{\sigma}\left(\frac{v^{\rho}}{4 a^{3}}\right) \tag{12}
\end{equation*}
$$

This is the complete result for this triangle diagram. The 4 -vector $v_{\rho}$ is represented as a linear combination of the independent momenta of the process, $v_{\mu}=b_{1} q_{\mu}+b_{2}\left(p_{+}-p_{-}\right)_{\mu}+b_{3}\left(p_{+}+p_{-}\right)_{\mu}$, but only the second term survives in (12). Thus, the graph shown in Fig. 1 (d) gives an additional contribution $\Delta F^{3 \pi}$ to the form factor $F^{3 \pi}$

$$
\begin{equation*}
\Delta F^{3 \pi}=\frac{N_{\mathrm{c}} e}{12 \pi^{2} f_{\pi}^{3}}\left(\frac{-3 b_{2}}{2 a^{3}}\right) \tag{13}
\end{equation*}
$$

where $b_{2}$ is dimensionless and as yet undetermined. This constitutes a further example in which an arbitrary-regularization-dependent parameter should be fixed by the physical requirements $[26,32,33]$. The AAA amplitude would have been zero had it been regularized in advance by any regularization that sets ST to zero. For a detailed discussion of this and further anomalous vertices appearing in the present calculation, we refer
to [17]. To fix $b_{2}$, we use the LET (1); requiring that the unwanted terms in (9) vanish, we find that $b_{2}=a+\frac{1}{12}=1.92$. Thus, the solution of the $\pi a_{1}$-mixing problem in the $\gamma \rightarrow 3 \pi$ amplitude can be associated with the ST of the anomalous non-VMD diagram shown on the right of Fig. 1.

## REFERENCES

[1] J. Wess, B. Zumino, Phys. Lett. B 37, 95 (1971).
[2] E. Witten, Nucl. Phys. B 223, 422 (1983).
[3] Ö. Kaymakcalan, S. Rajeev, J. Schechter, Phys. Rev. D 30, 594 (1984).
[4] T. Fujiwara et al., Prog. Theor. Phys. 73, 926 (1985).
[5] N. Kaiser, U.-G. Meißner, Nucl. Phys. A 519, 671 (1990).
[6] S. Gasiorovicz, D.A. Geffen, Rev. Mod. Phys. 41, 531 (1969).
[7] M.K. Volkov, A.A. Osipov, Preprint JINR R2-85-390, JINR, Dubna, 1985.
[8] M. Wakamatsu, Ann. Phys. 193, 287 (1989).
[9] J. Schwinger, Phys. Lett. B 24, 473 (1967).
[10] J. Wess, B. Zumino, Phys. Rev. 163, 1727 (1967).
[11] J.J. Sakurai, «Currents and Mesons», Univ. of Chicago Press, Chicago 1969.
[12] D. Ebert, M.K. Volkov, Z. Phys. C 16, 205 (1983).
[13] M.K. Volkov, Ann. Phys. 157, 282 (1984).
[14] D. Ebert, H. Reinhardt, Nucl. Phys. B 271, 188 (1986).
[15] M. Bando et al., Phys. Rev. Lett. 54, 1215 (1985).
[16] M. Bando, T. Kugo, K. Yamawaki, Nucl. Phys. B 259, 493 (1985).
[17] A.A. Osipov, M.M. Khalifa, B. Hiller, Phys. Rev. D 101, 034012 (2020).
[18] A.A. Osipov, JETP Lett. 108, 161 (2018).
[19] A.A. Osipov, M.M. Khalifa, Phys. Rev. D 98, 036023 (2018).
[20] A.A. Osipov, B. Hiller, P.M. Zhang, Phys. Rev. D 98, 113007 (2018).
[21] A.A. Osipov, B. Hiller, P.M. Zhang, Mod. Phys. Lett. A 34, 1950301 (2019).
[22] A.A. Osipov, A.A. Pivovarov, M.K. Volkov, M.M. Khalifa, Phys. Rev. D 101, 094031 (2020), arXiv:2003. 03630 [hep-ph].
[23] S. Adler, B.W. Lee, S.B. Treiman, A. Zee, Phys. Rev. D 4, 3497 (1971).
[24] J.S. Bell, R.W. Jackiw, Nuovo Cim. A 60, 47 (1969).
[25] S.B. Treiman, R.W. Jackiw, D.J. Gross, «Lectures on Current Algebra and Its Applications. Princeton Series in Physics», Princeton University Press, Princeton, New Jersey 1972.
[26] R. Jackiw, Int. J. Mod. Phys. B 14, 2011 (2000).
[27] L.D. Landau, Dokl. Akad. Nauk SSSR 60, 207 (1948).
[28] C.N. Yang, Phys. Rev. 77, 242 (1950).
[29] T.D. Cohen, Phys. Lett. B 233, 467 (1989).
[30] K. Kawarabayashi, M. Suzuki, Phys. Rev. Lett. 16, 255 (1966).
[31] Riazuddin, Fayyazuddin, Phys. Rev. 147, 1071 (1966).
[32] A.P. Baeta Scarpelli, M. Sampaio, B. Hiller, M.C. Nemes, Phys. Rev. D 64, 046013 (2001).
[33] Y.R. Batista, B. Hiller, A. Cherchiglia, M. Sampaio, Phys. Rev. D 98, 025018 (2018).


[^0]:    * Presented by B. Hiller at Excited QCD 2020, Krynica Zdrój, Poland, February 2-8, 2020.
    ** The authors acknowledge support from CFisUC and FCT through the project UID/FIS/04564/2020 and grant CERN/FIS-COM/0035/2019, and the networking support by the COST Action CA16201.

