

DIFFRACTIVE STRUCTURE FUNCTION  
IN THE DIPOLE PICTURE\*

G. BEUF

National Centre for Nuclear Research, 02-093 Warsaw, Poland

H. HÄNNINEN, T. LAPPI, H. MÄNTYSAARI

Department of Physics, University of Jyväskylä  
P.O. Box 35, 40014 Jyväskylä, Finland  
andHelsinki Institute of Physics, University of Helsinki  
P.O. Box 64, 00014 Helsinki, Finland

Y. MULIAN

Instituto Galego de Física de Altas Enerxías IGFAE  
Universidade de Santiago de Compostela  
15782 Santiago de Compostela, Galicia, Spain*Received 10 January 2023, accepted 23 January 2023,  
published online 25 May 2023*

We calculate the contribution from the  $q\bar{q}g$  component of a virtual photon state to the small- $x$  diffractive cross section in deep inelastic scattering in the saturation regime. The obtained cross section is finite by itself and a part of the full next-to-leading order result. We perform the calculation in exact kinematics in the eikonal limit, and show that the previously known high virtuality  $Q^2$  and large invariant mass  $M_X^2$  results for the structure functions can be extracted. We furthermore discuss the steps required to obtain the full next-to-leading order result.

DOI:10.5506/APhysPolBSupp.16.5-A20

**1. Introduction**

At high collision energy or small  $x$ , Deep Inelastic Scattering can be conveniently described in the dipole picture, combined with an eikonal scattering approximation for the scattering of the dipole off the target. When

---

\* Presented at the Diffraction and Low- $x$  2022 Workshop, Corigliano Calabro, Italy, 24–30 September, 2022.

gluon saturation is important, the number of gluons given by a gluon distribution is not the best way to quantify the strength of the gluonic field of a hadronic target. In the high collision energy limit, the interaction of a high-energy probe should be eikonal, meaning that the transverse coordinate of the probe does not change during the scattering. The basic degrees of freedom in our description are thus eikonal scattering amplitudes.

The amplitude for the simplest coloured probe, a single quark, has a microscopical interpretation in terms of a path-ordered exponential, Wilson line  $V(\mathbf{x})$ . The Wilson line also provides a direct connection to the classical field in the initial stage of a heavy-ion collision. In the case of DIS, the relevant dilute probe is a colour-neutral quark–antiquark dipole. Its scattering amplitude is given by the dipole amplitude

$$\mathcal{N}_{01} = 1 - S_{01} = 1 - \left\langle \frac{1}{N_c} \text{tr} V(\mathbf{x}_0) V^\dagger(\mathbf{x}_1) \right\rangle, \quad (1)$$

which automatically interpolates between colour transparency at  $|\mathbf{x}_{01}| \equiv |\mathbf{x}_0 - \mathbf{x}_1| = 0$  and saturation at  $|\mathbf{x}_{01}| \gtrsim 1/Q_s$ .

This framework leads directly to the *dipole picture of DIS*. Here, at leading order, the DIS scattering is factorized into the  $\gamma^*$  fluctuating into a  $q\bar{q}$  dipole, described by the photon light-cone wave function, and the dipole amplitude. The total cross section is given, through the optical theorem, with the dipole then transforming back to a virtual photon. Diffractive DIS, at the focus of our attention in Ref. [1], requires a specific colour-neutral final state after the target colour field. At leading order, the final state is another  $q\bar{q}$  dipole, while at NLO, it includes an additional  $q\bar{q}g$  state.

## 2. Diffractive structure function at leading order

The diffractive structure function  $F_2^{\text{D}(3)}(\beta, Q^2, x_{\mathbb{P}})$  (or equivalently the diffractive  $\gamma^*$ -target cross section  $\text{d}\sigma_{\lambda, q\bar{q}}^{\text{D}}/\text{d}M_X^2 \text{d}|t|$ , see [2] for more details) is a function of three Lorentz-invariant kinematical variables. In addition to the conventional  $Q^2$  and  $x_{\text{Bj}} = \beta x_{\mathbb{P}}$ , it also depends on how the  $\gamma^*$ -target energy is divided between the diffractive system  $X$  and the rapidity gap, with  $\beta = Q^2/(Q^2 + M_X^2)$ , where  $M_X$  is the invariant mass of the diffractive system. In this paper, we are interested in the regime where  $\beta$  is not parametrically small (*i.e.*  $M_X$  not parametrically large), so that powers of  $\alpha_s \ln 1/\beta$  do not need to be resummed. In different regimes of  $0 < \beta < 1$ , the cross section is dominated by different kinds of partonic configurations of the virtual photon [3]. At  $\beta \rightarrow 1$ , one predominantly has a  $q\bar{q}$  state in a longitudinal total helicity configuration, and at  $\beta \approx 1/2$ , in a transverse one. Although these LO  $q\bar{q}$  contributions have been known already, in Ref. [1] we

derived new expressions allowing for a completely general impact parameter dependence of the cross section

$$\frac{d\sigma_{\lambda, q\bar{q}}^D}{dM_X^2 d|t|} = \frac{N_c}{4\pi} \int_0^1 dz \int_{\mathbf{x}_0 \mathbf{x}_1 \bar{\mathbf{x}}_0 \bar{\mathbf{x}}_1} \mathcal{I}_{\Delta}^{(2)} \mathcal{I}_{M_X}^{(2)} \tilde{\psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1}^\dagger \tilde{\psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1} [S_{0\bar{1}}^\dagger - 1] [S_{01} - 1], \quad (2)$$

where  $z$  is a longitudinal momentum fraction. The result is expressed in terms of “transfer functions”  $\mathcal{I}_{\Delta}^{(2)}$ ,  $\mathcal{I}_{M_X}^{(2)}$ , relating the  $(q, \bar{q})$  coordinates in the amplitude  $(\mathbf{x}_0, \mathbf{x}_1)$  and conjugate  $(\mathbf{x}_{\bar{0}}, \mathbf{x}_{\bar{1}})$  to  $t$ , and  $M_X$  as

$$\mathcal{I}_{\Delta}^{(2)} = \frac{1}{4\pi} J_0 \left( \sqrt{|t|} \|z\mathbf{x}_{\bar{0}0} - (1-z)\mathbf{x}_{1\bar{1}}\| \right), \quad (3)$$

$$\mathcal{I}_{M_X}^{(2)} = \frac{1}{4\pi} J_0 \left( \sqrt{z(1-z)} M_X \|\bar{\mathbf{r}} - \mathbf{r}\| \right). \quad (4)$$

At  $\beta \ll 1$ , one starts to become sensitive to higher invariant mass states in the photon, meaning Fock states with a higher number of partons. The first one of these is the  $q\bar{q}g$  state. While the  $q\bar{q}g$  Fock component is in general an NLO correction, in the small  $\beta$  regime, it is in fact the leading contribution. Thus, contributions where a gluon is emitted before the target and survives until the final state are a special class of NLO corrections that are meaningful to be considered separately.

### 3. Contribution of the $q\bar{q}g$ Fock state

In Ref. [1] (see also [4]), we calculated the full contribution of the diagrams shown in Fig. 1 to the diffractive structure function. The calculation is performed in what we refer to as “exact eikonal kinematics,” where the only kinematical approximation is the eikonal interaction with the target. The results are expressed, analogously to Eq. (2), in terms of “transfer functions”  $\mathcal{I}_{M_X}^{(3)}$  and  $\mathcal{I}_{\Delta}^{(3)}$ . They are then multiplied by the squared gluon emission wave function

$$\begin{aligned} x_{\mathbb{P}} F_{T,L,q\bar{q}g}^{D(4)\text{NLO}}(x_{Bj}, Q^2, \beta, t) &= \int_0^1 \frac{dz_0}{z_0} \frac{dz_1}{z_1} \frac{dz_2}{z_2} \delta(z_0 + z_1 + z_2 - 1) \int_{\mathbf{x}_0, \mathbf{x}_1, \mathbf{x}_2, \bar{\mathbf{x}}_0, \bar{\mathbf{x}}_1, \bar{\mathbf{x}}_2} \\ &\times \mathcal{I}_{M_X}^{(3)} \mathcal{I}_{\Delta}^{(3)} \tilde{\psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1 g_2}^\dagger \tilde{\psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1 g_2} \left[1 - S_{0\bar{1}2}^{(3)\dagger}\right] \left[1 - S_{012}^{(3)}\right], \end{aligned} \quad (5)$$

where the final factors represent the “tripole” Wilson line operators for the  $q\bar{q}g$  states to interact with the target [5].

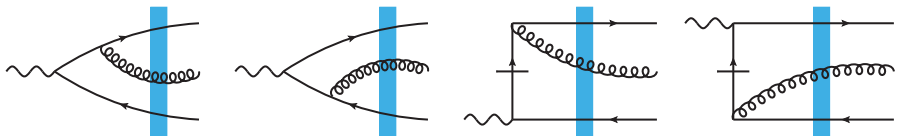


Fig. 1. Contributions to the amplitude where a gluon is emitted before the target, and produced and measured in the final state.

The squared wave functions are lengthy, but straightforward expressions in terms of the transverse coordinates and momentum fractions; they can be found in Ref. [1]. It is interesting to discuss the different scales appearing in the expressions. The photon wave functions involve, just as in the leading order case, the Bessel  $K$  functions that exponentially cut off configurations that are too large compared to the photon virtuality  $Q$ . The argument of the Bessel function is the square root of

$$Q^2 X_{012}^2 = Q^2 [z_0 z_1 \mathbf{x}_{01}^2 + z_0 z_2 \mathbf{x}_{02}^2 + z_1 z_2 \mathbf{x}_{12}^2] \quad (6)$$

interpreted as the ratio of the formation time of the  $q\bar{q}g$  system with coordinates  $\mathbf{x}_0, \mathbf{x}_1, \mathbf{x}_2$  (with  $\mathbf{x}_{01} \equiv \mathbf{x}_0 - \mathbf{x}_1$  etc.) to the lifetime of the virtual photon. The 3-particle transfer function to  $M_X$  is

$$\mathcal{I}_{M_X}^{(3)} = 2 \frac{z_0 z_1 z_2}{(4\pi)^2} \frac{M_X}{Y_{012}} J_1(M_X Y_{012}) , \quad (7)$$

with the coordinate combination conjugate to  $M_X$

$$\mathbf{Y}_{012}^2 = z_0 z_1 (\mathbf{x}_{00} - \mathbf{x}_{11})^2 + z_1 z_2 (\mathbf{x}_{22} - \mathbf{x}_{11})^2 + z_0 z_2 (\mathbf{x}_{22} - \mathbf{x}_{00})^2 . \quad (8)$$

Finally,  $t$  is conjugate to the 3-particle center-of-mass coordinate

$$\mathcal{I}_{\Delta}^{(3)} = \frac{1}{4\pi} J_0(\sqrt{-t} \|z_0 \mathbf{x}_{00} + z_1 \mathbf{x}_{11} + z_2 \mathbf{x}_{22}\|) . \quad (9)$$

#### 4. Towards a full NLO computation

There are several additional contributions that will still need to be included for a full NLO result for the diffractive structure function. From these additional contributions, it is not easy to define any further subsets that would be finite by themselves, but they will all have to be considered together in future work. Firstly, in addition to the “emission before target” radiative corrections in Fig. 1, there are the corresponding gluon emissions from quarks after the target. Unlike the contributions that we have included, however, they will have a collinear divergence from the gluons being emitted at a small transverse momentum with respect to the emitting (anti)quark.

These collinear divergences are cancelled by wave function renormalization of the outgoing quarks, *i.e.* by “propagator correction” diagrams on the outgoing quark lines. These in turn also include a UV divergence, and thus they have to be considered together with virtual contributions. There are several such virtual corrections. The most straightforward of these are the loop corrections to the  $\gamma^* \rightarrow q\bar{q}$  wave function, which have already been calculated in Refs. [6, 7]. There are also contributions where the gluon is emitted before the target, and then crosses the target but is reabsorbed without being measured, analogously to the  $q\bar{q}g$  contributions in [5, 7]. They involve both UV divergences that must be subtracted and cancelled with the ones from before and after the target, and large logarithms of longitudinal momenta that must be factorized into BK/JIMWLK evolution of the target. Finally, there are final-state interactions with gluon exchanges between the quark and antiquark after the target. These are a rather novel kind of contribution in this context and there is still some discussion about the correct way of treating them.

## 5. Known limiting cases

While our calculation of the diffractive structure function in the “exact eikonal kinematics” is new, we have also checked that it reduces in specific limiting cases to results that are already available in the literature. In the limit of large  $M_X$ , we obtain the result (see [8] and references therein)

$$x_{\mathbb{P}} F_{T,q\bar{q}g}^{\text{D,(MS)}} = \frac{\alpha_s N_c C_F Q^2}{16\pi^5 \alpha_{\text{em}}} \int_{\mathbf{x}_0 \mathbf{x}_1 \mathbf{x}_2} \int_0^1 dz \frac{|\tilde{\psi}^{\text{LO}}|^2}{z(1-z)} \frac{\mathbf{x}_{01}^2}{\mathbf{x}_{02}^2 \mathbf{x}_{12}^2} \times [\mathcal{N}_{02} + \mathcal{N}_{12} - \mathcal{N}_{01} - \mathcal{N}_{02} \mathcal{N}_{12}]^2, \quad (10)$$

which factorizes into the LO  $\gamma^* \rightarrow q\bar{q}$  wave function, the BK kernel for the emission of a soft gluon, and a squared Wilson line operator. This result is straightforwardly obtained from ours by first approximating the gluon as being soft  $z_2 \rightarrow 0$ , in which case  $M_X$  becomes dominated by the light cone energy of the gluon. One then removes the constraint on  $M_X$  by integrating over the momentum fraction of the gluon  $z_2$ . This unconstrained integration introduces a divergence, which is however cured if final-state emissions are included in the same kinematical approximation.

A rather more nontrivial task is to rederive the “Wüsthoff result” [3, 9] in the limit of large  $Q^2$

$$\begin{aligned}
 x_{\mathbb{P}} F_{T,q\bar{q}g}^{\text{D,GBW}} &= \frac{\alpha_s \beta}{8\pi^4} \sum_f e_f^2 \int_{\mathbf{b}} \int_{\beta}^1 dz \int_0^{Q^2} dk^2 k^4 \left[ \left(1 - \frac{\beta}{z}\right)^2 + \left(\frac{\beta}{z}\right)^2 \right] \ln \frac{Q^2}{k^2} \\
 &\times \left[ \int_0^{\infty} dr r K_2(\sqrt{z}kr) J_2(\sqrt{1-z}kr) \mathcal{N}_{\text{adj}}(\mathbf{b}, \mathbf{r}, x_{\mathbb{P}}) \right]^2. \quad (11)
 \end{aligned}$$

This result is characterized by an explicit logarithmic dependence on  $Q^2$ , and a  $g \rightarrow q\bar{q}$  splitting function that can be associated with *target DGLAP evolution*. It also depends on an adjoint representation dipole operator, with the small-size octet  $q\bar{q}$  pair after the gluon emission acting as an effective gluon. The wave function of the photon splitting into this effective gluon dipole has a rank-2 traceless structure, which results in the Bessel functions  $J_2$  and  $K_2$  in the final result. The key point in reaching this result starting from the dipole picture is to recognize that  $z$  and  $\beta$  in Eq. (11) are to be understood as target ( $k^-$ ) momentum fractions. To arrive at this form, it is convenient to identify the target momentum fraction variables using invariant masses of the  $q\bar{q}$  and  $q\bar{q}g$  states before and after the scattering. One then finds the large- $Q^2$  limit by looking at the aligned jet kinematical limit for the dipole picture, with  $z_0 \ll z_1 \ll z_2$ . The derivation of the Wüsthoff result in the literature has not been very clearly documented, and certainly the original approach used to derive it has been very different than the one we use here. It is encouraging that, as a side result, our work has resulted in an independent rederivation of this widely and successfully used expression.

T.L. and H.M. are supported by the Academy of Finland, the Centre of Excellence in Quark Matter (project 346324), and projects 338263, 346567, and 321840. G.B. is supported in part by the National Science Centre, Poland (NCN) under research grant No. 2020/38/E/ST2/00122 (SONATA BIS 10). Y.M. acknowledges financial support from Xunta de Galicia (Centro singular de investigación de Galicia accreditation 2019-2022); the “María de Maeztu” Units of Excellence program MDM2016-0692 and the Spanish Research State Agency under project PID2020-119632GB-I00; the European Union ERDF. G.B. and Y.M. acknowledge financial support from MSCA RISE 823947 “Heavy ion collisions: collectivity and precision in saturation physics” (HIEIC). This work was also supported under the European Union’s Horizon 2020 research and innovation programme by the European Research Council (ERC, grant agreement No. ERC-2018-ADG-835105 YoctoLHC) and by the STRONG-2020 project (grant agreement No. 824093). The content of this article does not reflect the official opinion of the European Union and responsibility for the information and views expressed therein lies entirely with the authors.

## REFERENCES

- [1] G. Beuf *et al.*, *Phys. Rev. D* **106**, 094014 (2022), [arXiv:2206.13161 \[hep-ph\]](#).
- [2] C. Marquet, *Phys. Rev. D* **76**, 094017 (2007), [arXiv:0706.2682 \[hep-ph\]](#).
- [3] K.J. Golec-Biernat, M. Wüsthoff, *Phys. Rev. D* **60**, 114023 (1999), [arXiv:hep-ph/9903358](#).
- [4] H. Hänninen, [arXiv:2112.08818 \[hep-ph\]](#).
- [5] G. Beuf, *Phys. Rev. D* **96**, 074033 (2017), [arXiv:1708.06557 \[hep-ph\]](#).
- [6] G. Beuf, *Phys. Rev. D* **94**, 054016 (2016), [arXiv:1606.00777 \[hep-ph\]](#).
- [7] H. Hänninen, T. Lappi, R. Paatelainen, *Ann. Phys.* **393**, 358 (2018), [arXiv:1711.08207 \[hep-ph\]](#).
- [8] S. Munier, A. Shoshi, *Phys. Rev. D* **69**, 074022 (2004), [arXiv:hep-ph/0312022](#).
- [9] M. Wüsthoff, *Phys. Rev. D* **56**, 4311 (1997), [arXiv:hep-ph/9702201](#).