RENORMALIZATION GROUP FLOW EQUATIONS FROM THE 4PI EQUATIONS OF MOTION*

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The 4PI effective action provides a hierarchy of integral equations which have the form of Bethe–Salpeter equations. The vertex functions obtained from these equations can be used to truncate the exact renormalization group flow equations. This truncation has the property that the flow is a total derivative with respect to the flow parameter and is equivalent to solving the nPI equations of motion. This result establishes a direct connection between two non-perturbative methods.

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1. Introduction

There is a lot of interest in the study of non-perturbative systems, which cannot be solved by exploiting the existence of a small expansion parameter. In this paper, we discuss two formalisms that have been proposed to address non-perturbative problems: *n*-particle irreducible (*n*PI) effective theories, and the exact renormalization group (RG). The *n*PI formalism has been used to study finite temperature systems and non-equilibrium dynamics (see [1, 2] and references therein), and transport coefficients [3, 4]. The exact RG has been applied to a variety of problems [5].

We show that the 4PI effective action produces two Bethe–Salpeter (BS) equations that can be used to truncate the RG flow equations at the level of the second equation, and that the resulting flow equations for the 2- and 4-point functions are total derivatives whose integrals give the 4PI eom's. This result is surprising (since the full hierarchy of RG flow equations are

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obtained using a single bi-local source term), and suggests that a BS truncation at arbitrary orders produces equations whose integrals give the nPI eom's.

We work with a scalar field theory with quartic coupling and consider only the symmetric case where the expectation value of the field is zero. We use a compactified notation in which the space-time coordinates are represented by a single numerical subscript. We also use an Einstein convention in which a repeated index implies an integration over space-time variables.

2. The nPI effective theory

The *n*PI effective action is obtained by taking the n^{th} Legendre transform of the generating functional which is constructed by coupling the field to *n* source terms of the form $J_i\varphi_i + \frac{1}{2}R_{ij}\varphi_i\varphi_j + \dots$ The effective action has the form

$$\Gamma = S_{cl}[\phi] + \frac{i}{2} \operatorname{Tr} \ln G^{-1} + \frac{i}{2} \operatorname{Tr} \left[\left(G^0 \right)^{-1} G \right] - i \Phi_2 \,,$$

where Φ_2 contains all contributions which have two or more loops. For example, Φ_2 for the 4-Loop 4PI effective action in the symmetric theory [6] is shown in Fig. 1.



Fig. 1. The functional Φ_2 for the 4-Loop 4PI effective action.

The self consistent propagator and vertex are obtained through the variational principle by solving the equations produced by taking the functional derivative of the effective action and setting the result to zero. We define kernels

$$\Lambda_{abcd...rstu...}^{\text{disco}} + \Lambda_{abcd...rstu...}$$
$$= 2^{\#G} 4!^{\#V} \left(G_{rr'}^{-1} \dots \right) \frac{\delta^{(\#G) + (\#V)} \Phi}{\delta G_{ab} \delta G_{cd} \dots \delta V_{r's't'u'} \dots}.$$
 (1)

The factors #G and #V indicate the number of G's and V's with respect to which the functional derivative is taken. The inverse propagators truncate the legs that are left behind by the functional derivatives with respect to V, and they are moved through the derivative in (1) only so that the equation is easier to write — functional derivatives do not act on legs by definition. The definition of the kernel $\Lambda_{ab...}$ also excludes a disconnected piece that contains only inverse propagators.

It is well known that the 2PI effective action gives a BS equation for a diagonal 4-point function. Writing the effective action as a functional of the form $\Phi[\phi, G, J(\phi, G), R(\phi, G)]$, we obtain

$$\frac{\delta}{\delta R_{ij}}\frac{\delta}{\delta G_{kl}}\Phi = -\frac{i}{4}\left(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}\right) = \frac{\delta G_{xy}}{\delta R_{ij}}\frac{\delta^2\Phi}{\delta G_{xy}\delta G_{kl}}.$$
(2)

Calculating the derivative gives

$$-2i\frac{\delta G_{xy}}{\delta R_{ij}} = \langle \varphi_x \varphi_y \varphi_i \varphi_j \rangle - \langle \varphi_x \varphi_i \rangle \langle \varphi_y \varphi_j \rangle - \langle \varphi_x \varphi_j \rangle \langle \varphi_y \varphi_i \rangle$$
$$= G_{ia}G_{jb}G_{xc}G_{yd}M_{abcd} + G_{ix}G_{jy} + G_{iy}G_{jx}.$$
(3)

Substituting (3) into (2) and using the kernel definition (1) produces

$$M_{xykl} = \Lambda_{xykl} + \frac{1}{2}\Lambda_{xyab}G_{ac}G_{bd}M_{cdkl} \,. \tag{4}$$

Higher order BS equations are calculated the same way [7, 8]. Using the 4PI effective action (2) becomes

$$\frac{\delta}{\delta R_{ij}}\frac{\delta}{\delta G_{kl}}\Phi = -\frac{i}{4}\left(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}\right) = \frac{\delta G_{xy}}{\delta R_{ij}}\frac{\delta^2\Phi}{\delta G_{xy}\delta G_{kl}} + \frac{\delta V_{xywz}}{\delta R_{ij}}\frac{\delta^2\Phi}{\delta V_{xywz}\delta G_{kl}}.$$

The method used to obtain (3) gives

$$\frac{\delta V_{xywz}}{\delta R_{ab}} = \frac{i}{2} G_{as} G_{bt} \left[V_{wxyzst} + (6) G_{uv} V_{xztu} V_{wysv} \right] \,,$$

and combining pieces we obtain the result in the first line of Fig. 2. Similarly, we obtain a BS equation for a 6-point function from $\delta \Phi / \delta R \delta V$

$$\frac{\delta^2 \Phi}{\delta R_{ab} \delta V_{cdef}} = \frac{\delta G_{xy}}{\delta R_{ab}} \; \frac{\delta^2 \Phi}{\delta G_{xy} \delta V_{cdef}} + \frac{\delta V_{xywz}}{\delta R_{ab}} \; \frac{\delta^2 \Phi}{\delta V_{xywz} \delta V_{cdef}}$$

Substituting the derivatives and kernels gives, after a long calculation, the second line in Fig. 2.



Fig. 2. BS equations from the 4PI effective action.

3. Renormalization group flow equations

The RG is constructed by building a family of theories indexed by a continuous parameter κ with the dimension of a momentum, such that fluctuations are smoothly taken into account as κ is lowered from the microscopic scale Λ (at which the couplings are defined) down to zero. We add to the original action a non-local term which is quadratic in the fields $(\int_{Q} = \int d^4 q/(2\pi)^4)$

$$\Delta S_{\kappa} = \frac{1}{2} \int_{Q} \mathcal{R}_{\kappa}(q)\varphi(q)\varphi(-q) \,. \tag{5}$$

The function $\mathcal{R}_{\kappa}(q)$ approaches zero for $q \geq \kappa$, so that modes $\varphi(q \geq \kappa)$ are unaffected, and κ^2 for $q \ll \kappa$, which suppresses modes $\varphi(q \ll \kappa)$ by giving them a mass $\sim \kappa$. Generating functionals are defined in the usual way but with the action S replaced by $S + \Delta S_{\kappa}$, we obtain $(\Phi = i\Gamma, R_{\kappa} = i\mathcal{R}_{\kappa})$

$$\partial_{\kappa} \Phi_{\kappa} = \frac{1}{2} \int_{Q} \partial_{\kappa} R_{\kappa}(q) G^{\kappa}(q, -q) , \qquad -(G^{\kappa})^{-1} = R_{\kappa} + \Phi_{\kappa}^{(2)} . \tag{6}$$

Functionally differentiating (6) with respect to ϕ produces the exact RG flow equations which form an infinite coupled hierarchy. The first two flow equations are (see Fig. 3)

$$\partial_{\kappa} \Phi_{\kappa}^{(2)} = \frac{1}{2} \int_{Q} (G_{\kappa} \partial_{\kappa} R_{\kappa} G_{\kappa}) \Phi_{\kappa}^{(4)}, \qquad (7)$$

$$\partial_{\kappa} \Phi_{\kappa}^{(4)} = (6) \frac{1}{2} \int_{Q} \Phi_{\kappa}^{(4)} (G_{\kappa} \partial_{\kappa} R_{\kappa} G_{\kappa}) G^{\kappa} \Phi_{\kappa}^{(4)} + \frac{1}{2} \int_{Q} (G_{\kappa} \partial_{\kappa} R_{\kappa} G_{\kappa}) \Phi_{\kappa}^{(6)}. \qquad (8)$$

The factor (6) in Eq. (8) and Fig. 3 is a short-hand notation which means that there are 6 permutations of external legs. We use numerical factors in brackets in equations (figures) to represent additional terms that correspond to permutations of external indices that are not written (drawn).



Fig. 3. Representation of equations (7) and (8).

In order to do calculations, the infinite hierarchy of RG flow equations must be truncated. This is a common feature of non-perturbative methods, and often leads to difficulties (see, for example, [9]). The hierarchy of RG flow equations can be truncated at the level of the first equation using the Bethe–Salpeter (BS) equation derived from the 2PI effective action [10]. We can perform the truncation at the 4PI level using the BS equations in Fig. 2. We extend these equations to the deformed theory by using kernels obtained from functional derivatives of the 4PI effective action which are subsequently evaluated at $G = G^{\kappa}$. Diagrammatically, we substitute the BS equations in Fig. 2 into the tadpole diagrams in Fig. 3. After a long calculation, this produces the diagrams in Figs. 4 and 5 [7]. We represent the sum of terms $\partial_{\kappa} R_{\kappa} + \partial_{\kappa} \Phi^{(2)}$ by a small grey/red dot.



Fig. 4. The diagrams produced when the BS equation in the first line of Fig. 2 is substituted into the tadpole diagram in the first part of Fig. 3.



Fig. 5. The diagrams produced when the BS equation in the second line of Fig. 2 is substituted into the tadpole diagrams in the second part Fig. 3.

We compare our results for $\partial_{\kappa} \Phi_{\kappa}^{(2)}$ and $\partial_{\kappa} \Phi_{\kappa}^{(4)}$ with the derivatives $\partial_{\kappa} \Sigma_{\kappa}$ and $\partial_{\kappa} V_{\kappa}$ using the 4PI equations of motion extended to the deformed theory

$$\partial_{\kappa}(\Sigma_{ij})_{\kappa} = 2\partial_{\kappa}(G_{kl})_{\kappa} \frac{\delta^{2}\Phi}{\delta G_{kl}\delta G_{ij}}\Big|_{G_{\kappa}} + 2\partial_{\kappa}(V_{klrs})_{\kappa}(G_{ka})_{\kappa}(G_{lb})_{\kappa}(G_{rc})_{\kappa}(G_{sd})_{\kappa} G_{aa'}^{-1}G_{bb'}^{-1}G_{cc'}^{-1}G_{dd'}^{-1} \frac{\delta^{2}\Phi}{\delta V_{a'b'c'd'}\delta G_{ij}}\Big|_{G_{\kappa}} = \frac{1}{2}\partial_{\kappa}(G_{kl})_{\kappa}(\Lambda_{ijkl})_{\kappa} + \frac{1}{24}\partial_{\kappa}(V_{klrs})_{\kappa}(G_{ka})_{\kappa}(G_{lb})_{\kappa}(G_{rc})_{\kappa}(G_{sd})_{\kappa}(\Lambda_{\kappa})_{abcdij}.$$
(9)

Equation (9) is precisely the result that is shown in Fig. 4 if we identify $V_{\kappa} = \Phi_{\kappa}^{(4)}$, which means we have

$$\partial_{\kappa} \Phi_{\kappa}^{(2)}(p) = \partial_{\kappa} \Sigma_{\kappa}(p) \,. \tag{10}$$

For the 4-point function, a similar calculation gives [7]

$$\partial_{\kappa} \Phi_{\kappa}^{(4)}(p_1, p_2, p_3, -p_1 - p_2 - p_3) = \partial_{\kappa} V_{\kappa}(p_1, p_2, p_3, -p_1 - p_2 - p_3).$$
(11)

Equations (10) and (11) show that the RG equations for the 2- and 4-point functions are total derivatives whose integrals can be written as the 4PI equations of motion.

4. Conclusions

We have shown that the BS truncation produces flow equations that are total derivatives with respect to the flow parameter, and that the integrals of the flow equations give the equations of motion of the 4PI effective theory. This establishes a direct connection between two non-perturbative methods. For the *n*PI formalism, there could be a practical advantage in reformulating the integral equations as flow equations, because initial value problems are usually easier to solve than non-linear integral equations. Furthermore, regarding the vertices from the *n*PI effective theory as flow equations gives new insight into the problem of how to renormalize the *n*PI effective theory for n > 2.

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