THE 3 FLAVOR NAMBU–JONA-LASINIO WITH
EXPLICIT SYMMETRY BREAKING INTERACTIONS:
SCALAR AND PSEUDOSCALAR SPECTRA
AND DECAYS* **

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(Received December 5, 2014)

The effective quark interactions that break explicitly the chiral SU(3)\(_L\) × SU(3)\(_R\) and U\(_A\)(1) symmetries by current-quark mass source terms are considered in NLO in \(N_c\) counting. They are of the same order as the \('t\) Hooft flavor determinant and the eight quark interactions that extend the LO Nambu–Jona-Lasinio Lagrangian, and complete the set of non-derivative and spin 0 interactions relevant for the \(N_c\) scheme. The bosonized Lagrangian at meson tree level describes accurately the empirical ordering and magnitude of the splitting of states in the low-lying pseudoscalar and scalar meson nonets, for which the explicit symmetry breaking terms turn out to be essential. The strong interaction and radiative decays of the scalar mesons are understood in terms of the underlying microscopic multi-quark states, which are probed differently by the strong and the electromagnetic interactions. We also obtain that the anomalous two photon decays of the pseudoscalars are in very good agreement with data.

DOI:10.5506/APhysPolBSupp.8.183
PACS numbers: 11.30.Rd, 11.30.Qc, 12.39.Fe, 12.40.Yx

Effective low energy Lagrangians of QCD are operational at the scale of spontaneous breaking of chiral symmetry, of the order of \(\Lambda_{\chi SB} \sim 4\pi f_\pi\) \cite{1}. In the Nambu–Jona-Lasinio (NJL) model \cite{2}, this scale is also related to
the gap equation and given by the ultra-violet cutoff $\Lambda$ of the one-loop quark integral, above which one expects non-perturbative effects to be of less importance. We consider in our Lagrangian [3, 4] generic vertices $L_i$ of non-derivative type that contribute to the effective potential as $\Lambda \to \infty$

$$L_i \sim \frac{g_i}{\Lambda^\alpha} \chi^\alpha \Sigma^\beta,$$  

(1)

where powers of $\Lambda$ give the correct dimensionality of the interactions (below we use also unbarred couplings, $g_i = \frac{\bar{g}_i}{\Lambda^\alpha}$); the $L_i$ are C, P, T and chiral SU(3)$_L \times$ SU(3)$_R$ invariant blocks, built of powers of the sources $\chi$ which at the end give origin to the explicit symmetry breaking and have the same transformation properties as the U(3) Lie-algebra valued field $\Sigma = (s_a - ip_a)^{1/2} \lambda_a$; here $s_a = \bar{q}_a \lambda^a q$, $p_a = \bar{q}_a i\gamma_5 q$, and $a = 0, 1, \ldots, 8$, $\lambda_0 = \sqrt{2/3} \times 1$, $\lambda_a$ being the standard SU(3) Gell-Mann matrices for $1 \leq a \leq 8$.

The interaction Lagrangian without external sources $\chi$ is well known,

$$L_{\text{int}} = \bar{G} \frac{\Lambda^2}{A^2} \text{tr} \left( \Sigma^\dagger \Sigma \right) + \frac{\bar{\kappa}}{\Lambda^5} \left( \text{det} \Sigma + \text{det} \Sigma^\dagger \right) + \frac{\bar{g}_1}{\Lambda^8} \left( \text{tr} \Sigma^\dagger \Sigma \right)^2 + \frac{\bar{g}_2}{\Lambda^8} \text{tr} \left( \Sigma^\dagger \Sigma \Sigma^\dagger \Sigma \right).$$

(2)

The second term is the 't Hooft determinant [5–13], the last two the 8 quark ($q$) interactions [14] which complete the number of relevant vertices in 4D for dynamical chiral symmetry breaking [15]. The interactions dependent on the sources $\chi$ contain eleven terms [3, 4],

$$L_\chi = \sum_{i=0}^{10} L_i,$$  

(3)

$$L_0 = -\text{tr} \left( \Sigma^\dagger \chi + \chi^\dagger \Sigma \right), \quad L_1 = -\frac{\bar{\kappa}_1}{A} e_{ijk} e_{mnl} \Sigma^\dagger \Sigma_{im} \chi_{jn} \chi_{kl} + \text{h.c.},$$

$$L_2 = \frac{\bar{\kappa}_2}{A^3} e_{ijk} e_{mnl} \chi_{im} \Sigma^\dagger \Sigma_{jn} \chi_{kl} + \text{h.c.}, \quad L_3 = \frac{\bar{g}_3}{A^6} \text{tr} \left( \Sigma^\dagger \Sigma \Sigma^\dagger \chi \right) + \text{h.c.},$$

$$L_4 = \frac{\bar{g}_4}{A^6} \text{tr} \left( \Sigma^\dagger \Sigma \right) \text{tr} \left( \Sigma^\dagger \chi \right) + \text{h.c.}, \quad L_5 = \frac{\bar{g}_5}{A^4} \text{tr} \left( \Sigma^\dagger \chi \Sigma^\dagger \chi \right) + \text{h.c.},$$

$$L_6 = \frac{\bar{g}_6}{A^4} \text{tr} \left( \Sigma \Sigma^\dagger \chi \chi^\dagger + \Sigma^\dagger \Sigma \chi^\dagger \chi \right), \quad L_7 = \frac{\bar{g}_7}{A^4} \left( \text{tr} \Sigma^\dagger \chi + \text{h.c.} \right)^2,$$

$$L_8 = \frac{\bar{g}_8}{A^4} \left( \text{tr} \Sigma^\dagger \chi - \text{h.c.} \right)^2, \quad L_9 = -\frac{\bar{g}_9}{A^2} \text{tr} \left( \Sigma^\dagger \chi \chi^\dagger \chi \right) + \text{h.c.},$$

$$L_{10} = -\frac{\bar{g}_{10}}{A^2} \text{tr} \left( \chi^\dagger \chi \right) \text{tr} \left( \chi^\dagger \Sigma \right) + \text{h.c.}$$

(4)
The $N_c$ assignments are $\Sigma \sim N_c$; $\Lambda \sim N^0_c \sim 1$; $\chi \sim N^0_c \sim 1$. We get that exactly the diagrams which survive as $\Lambda \to \infty$ also survive as $N_c \to \infty$ and comply with the usual requirements.

At LO in $1/N_c$ only the $4q$ interactions ($\sim G$) in Eq. (2) and $L_0$ contribute. The Zweig’s rule violating vertices are always of the order of $1/N_c$ with respect to the leading contribution. Non-OZI-violating Lagrangian pieces scaling as $N^0_c$ represent NLO contributions with one internal quark loop in $N_c$ counting; their couplings encode the admixture of a four quark component $\bar{q}q\bar{q}q$ to the leading $\bar{q}q$ at $N_c \to \infty$. Diagrams tracing Zweig’s rule violation are: $\kappa, \kappa_1, \kappa_2, g_1, g_4, g_7, g_8, g_{10}$; Diagrams with admixture of 4-quark and 2-quark states are: $g_2, g_3, g_5, g_6, g_9$.

With all the building blocks in conformity with the symmetry content of the model, one is free to choose the external source $\chi$. Putting $\chi = \frac{1}{2} \text{diag}(\mu_u, \mu_d, \mu_s)$, we obtain a consistent set of explicitly breaking chiral symmetry terms.

From the 18 model parameters, 3 of them ($\bar{\kappa}_1, \bar{g}_9, \bar{g}_{10}$) contribute to the current quark masses $m_i, i = u, d, s$ and express the Kaplan–Manohar ambiguity [16]. They can be set to 0 without loss of generality. One ends up with 5 parameters needed to describe the LO contributions (the scale $\Lambda$, the coupling $G$, and the $m_i$) and 10 in NLO ($\kappa, \kappa_2, \bar{g}_1, \ldots, \bar{g}_8$). They are controlled on the theoretical side through the symmetries of the Lagrangian and on the phenomenological side through the low energy characteristics of the pseudoscalar and the scalar mesons.

The details of bosonization in the framework of functional integrals, which lead finally from $L = \bar{q}i\gamma^\mu \partial_\mu q + L_{\text{int}} + L_\chi$ to the long distance effective mesonic Lagrangian $\mathcal{L}_{\text{bos}}$, can be found in [3, 4, 17, 18].

\[
\mathcal{L}_{\text{bos}} = \mathcal{L}_{\text{st}} + \mathcal{L}_{\text{hk}},
\]

\[
\mathcal{L}_{\text{st}} = h_a\sigma_a + \frac{h_{ab}^{(1)}}{2} \sigma_a \sigma_b + \frac{h_{ab}^{(2)}}{2} \phi_a \phi_b + \sigma_a \left( \frac{1}{3} h_{abc} \sigma_b \sigma_c + h_{abc} \phi_b \phi_c \right) + \ldots
\]

\[
W_{\text{hk}}(\sigma, \phi) = \frac{1}{2} \ln \left| \det D^\dagger E D \right| = -\int d^4x E \sum_{i=0}^{\infty} I_{i-1} \text{tr}(b_i) = \int d^4x E \mathcal{L}_{\text{hk}},
\]

\[
b_0 = 1, \quad b_1 = -Y, \quad b_2 = \frac{Y^2}{2} + \frac{\lambda_3}{2} \Delta_{ud} Y + \frac{\lambda_8}{2\sqrt{3}} (\Delta_{us} + \Delta_{ds}) Y, \quad \ldots,
\]

\[
Y = i\gamma_\alpha (\partial_\alpha \sigma + i\gamma_5 \partial_\alpha \phi) + \sigma^2 + \{\mathcal{M}, \sigma\} + \phi^2 + i\gamma_5 [\sigma + \mathcal{M}, \phi]
\]

with $\Delta_{ij} = M^2_{ij} - M^2_0$. Here, $\sigma = \lambda_a \sigma_a$ and $\phi = \lambda_a \phi_a$ are nonet valued scalar and pseudoscalar fields. The $\mathcal{L}_{\text{st}}$ is the result of the stationary phase integration at leading order, over the auxiliary bosonic variables $s_a, p_a$, shown

\footnote{The counting for $\Lambda$ is a direct consequence of the gap equation $1 \sim N_e G A^2$.}
in (5) as a series in growing powers of $\sigma_a$ and $\phi_a$. The coefficients $h_{ab...}$ in $L_{st}$ are obtained recursively from $h_a$ (which are related to the condensates). The result of the remaining Gaussian integration over the quark fields is given by $W_{hk}$, in the heat kernel approach. The Laplacian in Euclidean space-time $D_E^\dagger D_E = M^2 - \partial^2 + Y$ is associated with the Euclidean Dirac operator $D_E = i\gamma_\alpha \partial_\alpha - M - \sigma - i\gamma_5 \phi$. The constituent quark mass matrix is denoted by $M = \text{diag}(M_u, M_d, M_s)$ (fields $\sigma_a, \phi_a$ have vanishing vacuum expectation values in the spontaneously broken phase). The quantities $I_i$ are the arithmetic averages $I_i = \frac{1}{3} \sum_{f=u,d,s} J_i(M_f^2)$ over the 1-loop Euclidean momentum integrals $J_i$ with $i + 1$ vertices ($i = 0, 1, \ldots$)
\begin{equation}
J_i(M^2) = 16\pi^2 \Gamma(i + 1) \int \frac{d^4 p_E}{(2\pi)^4} \hat{\rho}_A \frac{1}{(p_E^2 + M^2)^{i+1}} ,
\end{equation}
evolved with a Pauli–Villars regulator $\hat{\rho}_A$ with two subtractions in the integrand. Note that the integrals $I_i$ do not depend on external momenta, and thus are free from $q\bar{q}$ thresholds [19]. The possible external momentum dependence of an amplitude is converted to terms involving derivative interactions in $L_{hk}$. We consider only the dominant contributions to the heat kernel series, up to $b_2$ for the meson spectra and strong decays. These involve the quadratic and logarithmic in $\Lambda$ quark loop integrals $I_0$ and $I_1$ respectively. We stress that all symmetries are respected in the process of truncation, as the heat kernel series remains an invariant order by order.

In the following, we consider the isospin limit $\tilde{m} = m_u = m_d \neq m_s$. The low-lying characteristics of the spin 0 mesons in Table I and $m_i$ in Table II are used as input (marked by *) to obtain the parameters indicated in Tables II, III (for other sets, related to slightly different values of $m_\sigma(500)$, $\theta_P$ and $\theta_S$ see [4]). The calculated values of quark condensates are: $-\langle \bar{u}u \rangle^{\frac{1}{3}} = 232$ MeV, and $-\langle \bar{s}s \rangle^{\frac{1}{3}} = 206$ MeV. We stress that without the new explicit symmetry breaking terms the high accuracy achieved for the observables had not been possible. We find that the couplings $g_8$ and $\kappa_2$ are crucial for the high precision within the pseudoscalar sector. Furthermore, the low-lying scalar nonet mesons can be obtained according to the empirical ordering: $m_\kappa < m_{a_0} \simeq m_{f_0}$, in contrast to the $m_\sigma < m_{a_0} < m_\kappa < m_{f_0}$ sequence obtained otherwise in the framework of the NJL models, e.g. [8, 14, 18, 20, 21]. The main parameter responsible for the lower mass of $\kappa(800)$ as compared to the mass of $a_0(980)$ is $g_3$; $g_6$ allows for fine tuning. We understand the empirical masses inside the light scalar nonet as a consequence of some predominance of the explicit chiral symmetry breaking terms over the dynamical chiral symmetry breaking ones for certain states. Note that the couplings $g_3$ and $g_6$ encode $\bar{q}q\bar{q}q$ admixtures to the $\bar{q}q$ states. This establishes a link
between the asymptotic meson states obtained from the effective multiquark interactions considered to the successful approaches which support $\bar{q}q$ states with a meson–meson admixture [22] or mixing of $q\bar{q}$-states with $q^2\bar{q}^2$ [23].

The pseudoscalar and scalar mass spectra, the weak decay constants (all in MeV) and the mixing angles $\theta_P = -12^\circ$ and $\theta_S = 27.5^\circ$.

<table>
<thead>
<tr>
<th>$m_\pi$</th>
<th>$m_K$</th>
<th>$m_\eta$</th>
<th>$m_{\eta'}$</th>
<th>$f_\pi$</th>
<th>$f_K$</th>
<th>$m_\sigma$</th>
<th>$m_\kappa$</th>
<th>$m_{a_0}$</th>
<th>$m_{f_0}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>138*</td>
<td>494*</td>
<td>547*</td>
<td>958*</td>
<td>92*</td>
<td>113*</td>
<td>550</td>
<td>850*</td>
<td>980*</td>
<td>980*</td>
</tr>
</tbody>
</table>

The model parameters $\hat{m} = m_u = m_d, m_s$, and $\Lambda$ are given in MeV. The couplings have the following units: $[G] = \text{GeV}^{-2}, [\kappa] = \text{GeV}^{-5}, [g_1] = [g_2] = \text{GeV}^{-8}$. We also show here the values of constituent quark masses $\hat{M}$ and $M_s$ in MeV.

<table>
<thead>
<tr>
<th>$\hat{m}$</th>
<th>$m_s$</th>
<th>$\hat{M}$</th>
<th>$M_s$</th>
<th>$\Lambda$</th>
<th>$G$</th>
<th>$-\kappa$</th>
<th>$g_1$</th>
<th>$g_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>S4.0*</td>
<td>100*</td>
<td>373</td>
<td>544</td>
<td>828</td>
<td>10.48</td>
<td>122.0</td>
<td>3284</td>
<td>173*</td>
</tr>
</tbody>
</table>

Explicit symmetry breaking interaction couplings. The couplings have the following units: $[\kappa_1] = \text{GeV}^{-1}, [\kappa_2] = \text{GeV}^{-3}, [g_3] = [g_4] = \text{GeV}^{-6}, [g_5] = [g_6] = [g_7] = [g_8] = \text{GeV}^{-4}, [g_9] = [g_{10}] = \text{GeV}^{-2}$.

<table>
<thead>
<tr>
<th>$\kappa_2$</th>
<th>$-g_3$</th>
<th>$-g_4$</th>
<th>$g_5$</th>
<th>$-g_6$</th>
<th>$-g_7$</th>
<th>$g_8$</th>
</tr>
</thead>
<tbody>
<tr>
<td>6.17</td>
<td>6497</td>
<td>1235</td>
<td>213</td>
<td>1642</td>
<td>13.3</td>
<td>-64</td>
</tr>
</tbody>
</table>

In Table IV there are shown the strong decay widths of the scalars, which are within the current expectations. The widths of the $a_0(980) \to \pi\eta$ and $f_0(980) \to \pi\pi$ decays are well accommodated within a Flatté description. We corroborate other model calculations in which the coupling to the $KK$ channel is needed for the description of these decays. We obtain however that although the $a_0(980)$ meson couples with a large strength of the multi-quark components to the two-kaon channel in its strong decay to two pions, it evidences a dominant $q\bar{q}$ component in its radiative decay. The latter is thus fairly well described by a quark 1-loop triangle diagram, $\Gamma_{a0\gamma\gamma} = 0.38$ KeV. As opposed to this, the $\sigma$ and $f_0(980)$ mesons do not display an enhanced $q\bar{q}$ component neither in their two-photon decays nor strong decays. The quark 1-loop contributions $\Gamma_{f_0\gamma\gamma} = 0.08$ KeV, $\Gamma_{\sigma\gamma\gamma} = 0.21$ KeV,
fall thus short of describing the data. Finally, the anomalous 2 photon decays of the pseudoscalars are in very good agreement with data, see Table V. For a full discussion, see [4].

<table>
<thead>
<tr>
<th>Decays</th>
<th>$m_R$</th>
<th>$\Gamma_{BW}$</th>
<th>$\Gamma_{Fl}$</th>
<th>$\bar{g}_\beta$</th>
<th>$\bar{g}_K^S$</th>
<th>$R^S$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\sigma \to \pi\pi$</td>
<td>550</td>
<td>461</td>
<td>—</td>
<td>1.94</td>
<td>0.63</td>
<td>0.33</td>
</tr>
<tr>
<td>$f_0 \to \pi\pi$</td>
<td>980</td>
<td>62</td>
<td>30</td>
<td>0.23</td>
<td>0.30</td>
<td>3.90</td>
</tr>
<tr>
<td>$\kappa \to K\pi$</td>
<td>850</td>
<td>310</td>
<td>—</td>
<td>1.2</td>
<td>0</td>
<td>—</td>
</tr>
<tr>
<td>$a_0 \to \eta\pi$</td>
<td>980</td>
<td>420</td>
<td>46</td>
<td>1.32</td>
<td>2.73</td>
<td>2.07</td>
</tr>
</tbody>
</table>

TABLE V

Anomalous decays $\Gamma_{P\gamma\gamma}$ in KeV, corresponding to $\theta_P = -12^\circ$, $m_R$ is the particle mass in MeV.

<table>
<thead>
<tr>
<th>Decays</th>
<th>$m_R$</th>
<th>$\Gamma_{P\gamma\gamma}$</th>
<th>$\Gamma_{P\gamma\gamma}^{\text{exp}}$ [24]</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\pi^0 \to \gamma\gamma$</td>
<td>136</td>
<td>0.00798</td>
<td>$0.00774637 \div 0.00810933$</td>
</tr>
<tr>
<td>$\eta \to \gamma\gamma$</td>
<td>547</td>
<td>0.5239</td>
<td>$(39.31 \pm 0.2)% \Gamma_{tot} = 0.508 \div 0.569$</td>
</tr>
<tr>
<td>$\eta' \to \gamma\gamma$</td>
<td>958</td>
<td>5.225</td>
<td>$(2.18 \pm 0.08)% \Gamma_{tot} = 3.99 \div 4.70$</td>
</tr>
</tbody>
</table>

The response to the external parameters $T, \mu$ has been recently addressed in [25], with implications on strange quark matter formation.

REFERENCES